



Personal Investment Account Opening Form

	day 日 / month 月 / year 年
Date 日期	

私人投資戶口開戶表					
Note 注意: 1. Please complete in Block Letters and tick where applicable. 請用正權填寫,並在適當的地方拉 2. Information with shading must be completed. 陰影部分的資料必須填寫。 3. Your request will normally be processed within 3 working days (excluding Saturday, Sunda public holiday) upon receipt of your form. 在一般情况下,本行將在收到您的申請表後三個工作天內(不包括星期六、日及公眾假期)處理您的申				ank Use Only 用	☐ FATCA
Requested Account Type	· 申請戶口類別				
Account Type 戶口類別	Unit Trusts 單位信託基金	☐ Securities 證券			
Personal Information 個	人資料				
Account Holder 戶口持有人	☐ Principal/Sole 第一/獨立	☐ Joint 聯名			
Identification Document Details 身分證明文件資料	Type 種類:	entity Card (I) Place of Issue 簽發地點)	
Full Name in English 英文全名	□ Mr 先生 (M) □ Mrs 太太 (R) Surname 姓	□ Miss 小姐 (I) □ Ms 女士 Given Name 名	Other Name 其他名	er 其他	
Name in Chinese 中文姓名 (if applicable 如適用)					
Chinese Commercial Code 中文姓名電碼					
Gender 性別	☐ Male 男 (M) ☐ Female 女	(F)			
Data of Divib 山上口期		Place of Birth			

出生地區

Nationality

Nationality

(if any)

(如有)

(Country/Region) 1

國籍(國家/地區)1

(Country/Region) 3

國籍(國家/地區)3

(day/month/year 日/月/年)

☐ No 否

☐ Yes 是

>> ASV-NSC

Date of Birth 出生日期

Multiple Nationalities

多重國籍(國家/地區)

(Country/Region) 2 (if any) 國籍(國家/地區) 2 (如有)

(Countries/Regions)

Nationality

Personal Information (Continued) 個人資料 (續)

		TIN 税務編號	*Reason for			
	Jurisdiction of Tax Residence 稅務管轄區	(If you are a tax resident of Hong Kong, the TIN is the Hong Kong Identification Card Number: 如您是香港税務居民・税務編號是 其香港身份證號碼。)	no TIN 沒有提供税務 編號理由 (Reason A, B or C 理由 A、B 或 C)	obtain a T	in why you are unable to IN if you have selected Reason B 請解釋不能取得税務編號的原因	
	(1)					
Jurisdiction of Tax Residence and Taxpayer	(2)					
Identification Number or its Functional Equivalent	(3)					
("TIN") 税務管轄區及税務編 號或具有等同功能的識辨編號 (以下簡稱「税務編號」)	(4)					
	(5)					
	* Reason A — The jurisdiction where you are a resident for tax purposes does not issue TINs to its residents. 理由 A — 您的税務管轄區並沒有向其居民發出税務編號。 Reason B — You are unable to obtain a TIN. Explain why you are unable to obtain a TIN if you have selected this reason. 理由 B — 您不能取得税務編號。如選取這一理由,解釋您不能取得税務編號的原因。 Reason C — TIN is not required. Select this reason only if the authorities of the jurisdiction of tax residence do not require the TIN to be disclosed. 理由 C — 您毋須提供税務編號。稅務管轄區的主管機關不需要您披露稅務編號。					
Education Level 教育程度	□ Primary or below (P) 小學或以下 □ University or above (U) 大學或以上	□ Completed Form 1-3 (F) 完成中一至中三) □ Other (X) 其他:	Completed 中學畢業	Secondary (S)	☐ Post-Secondary (M) 預料	
Marital Status 婚姻狀況	☐ Single 未婚 (S)	☐ Married 已婚 (M)	☐ Divorced #	離婚 (D)	☐ Widowed 鰥寡 (W)	
Employment Informatio	n 職業資料					
Employment Status 職業狀況			ired 退休 (R)	☐ Not Cur	me Employed 兼職 (P) rently Employed 非在職 (X) lete the employment information	
Occupation 職業						
Job Title <i>(if applicable)</i> 工作職位 <i>(如適用)</i>						
Employment Start Date (if applicable) 任職日期 (如適用)	[nth/year 月/年)				
	Name 名稱:					
Employer/Business 僱主/公司	□ Tech & Vocational Sec □ Engineering 工程 □ Union & Organisations □ Charity (Non Govt Bod □ Utilities (Gas) 公用事業 □ Science and Technology □ Other (please specify) ‡	□ Hairdressing & Beauty エ會/組織活動 □ Legal 法律 ies) 慈善活動 □ Travel & ´ (煤氣) □ Utilities (´ / 科學及資訊科技 □ Industrial 其他 (講說明):	□ Retai □ Resta d □ Amw 遊樂□ □ Gene □ Highe 理髮及美容 □ Leisu Fourism 旅遊 Water) 公用事業 工業 □ Logis	和零售	ducation 中學 sity 大學及專上課程 are 醫療服務 aent 娛樂 [Electricity] 公用事業(電力) Sports Activities 體育活動	
Monthly Salary (HKD) 月薪(港幣)	□ below 5,000 以下 (0) □ 30,000 - 49,999 (5) □	· · · · · · · · · · · · · · · · · · ·		5,000 - 19,999 <i>(3)</i> 00,000 - 199,999 <i>(</i>		

Contact Information 聯絡資料

Note > # 1 9 For everyons num	abor misers add country/region and and area and (if any) in front of the number			
Note 注意: 1. 岩 For overseas number, please add country/region code and area code (if any) in front of the number. 如海外號碼,請於號碼前加入國家/ 區域編號及地區編碼(如有)。				
transaction relate	your personal mobile number / email address that is exclusively for your own use to ensure that your confidential account or ted information is delivered to the mobile number / email address that is accessible by you only. 的手提電話號碼/電郵地址,以確保有關閣下戶口或交易的信息被傳送到只能被閣下接收及開啟的手提電話號碼/電郵地址。			
Please maintain a you will not be all	初于提電站弧幅/電郵地址,以確保有關阁下戶口或交易的信息做得达到只能被阁下接收及開啟的于提電站弧幅/電郵地址。 a valid mobile phone number record with the Bank in order to receive mandatory notification of trade execution via SMS, or lible to trade online or via mobile apps.			
4. We recommend y	- 個有效的流動電話號碼記錄,以便收取本行於您交易完成後向您發送執行交易的必要通知短訊,否則您便不能於網上進行交易。 ou to provide a valid personal email address to ensure that you can timely receive email notifications e.g. log-on notifications			
5. Please note that	rom the Bank. 我們建議您提供有效的個人電郵地址,以確保您可不時收取本行發出的各項電郵通知,例如登入通知、電子通知書。 online trading services will not be fully available to you until the next day after your investment account is activated.			
the avoidance of	may consider using other HSBC channels to place your trading order on the first day of account activation (if needed). For doubts, for any trading order placed via other channels, you are able to cancel it online or via mobile apps on the first day of			
請注意您需於啟動找	n but you will not receive SMS notification for the cancellation orders. 设資戶口的第二天才可完全使用網上交易服務。開戶首天請考慮使用滙豐的其他平台進行交易(如需要)。為免生疑問,您仍可於開戶首天			
	协應用程式取消經滙豐的其他平台進行的任何投資交易,但您將不會收到該取消指示的短訊提示。 e residential address proof. 請提供住宅地址證明。			
策 Contact Telephone Number 聯絡電話號碼	Residential 住宅 Office (if any) 公司 (如有) ^ Mobile Phone/Pager 手提電話/ 傳呼機			
策 Fax Number (if any) 傳真號碼 (如有)	Residential 住宅 Office 公司			
^ Email Address 電郵地址				
米 Residential Address 住宅地址	For Joint Account Holder, is it the same as the Principal/Sole Account Holder's record? 如屬聯名戶口持有人,是否與第一/獨立戶口持有人的紀錄相同? 【Yes 是 No (Please complete the details below) 否 (請填寫下列資料)			
● Room/Flat/Floor/Block 室/ 樓/座	□ Room室 □ Flat室 □ □ □ Block座 □ □ □ □ □ □ □ □ □ □			
● Name of Building 大廈名稱				
● Name of Estate 屋邨名稱				
 Number and Name of Street/Road 門牌號數及街道名稱 				
● District 地區	□ Hong Kong 香港 □ Kowloon 九龍 □ New Territories 新界			
 For Overseas Address Only 只適用於海外地址 Country/Region and Postal Code 				
國家/地區及郵區編碼 Effective Date for				
Residential Address 住宅地址生效日期	(month/year 月/年)			
Previous Address 前址 (If resident at current residential address is less than one year 如居於現 址少於一年,講註明之前的地址)	For Joint Account Holder, is it the same as the Principal/Sole Account Holder's record? 如屬聯名戶口持有人,是否與第一/獨立戶口持有人的紀錄相同? 【 Yes 是 No (Please complete the details below) 否 (請填寫下列資料)			
● Room/Flat/Floor/Block 室/ 樓/座	□ Room室 □ Flat室 □			
● Name of Building 大廈名稱				
● Name of Estate 屋邨名稱				
 Number and Name of Street/Road 門牌號數及街道名稱 				
District 地區 For Oversoos Address Only	□ Hong Kong 香港 □ Kowloon 九龍 □ New Territories 新界			
 For Overseas Address Only 只適用於海外地址 Country/Region and Postal Code 				
國家/ 地區及郵區編碼				
Account Opening Inform				
	Correspondence and statement to be sent to Principal/Sole Customer/Account Holder's 通訊及結單寄往第一/獨立客戶/戶口持有人的			
Correspondence Address	□ Residential Address 住宅地址 □ Work Address 工作以上 > (Please complete the "Personal Account/Investment Account Opening Form -			
通訊地址 	Work Address 工作地址			
	Dividend and Other Unit Trusts/Securities-related Payment Disposal Instruction 處理股息及其他與單位信託基金/證券有關的款項指示			
Other Instruction	□ To be credited/debited to □ Current □ Savings Account No			
其他指示	Other : 其他			

Questionnaire 問卷						
	Types of product(s) you have previously invested (Please choose	se one or more) 您曾經投資過的產品(請選擇下列一項或多項)				
Investment Experience	□ Bonds/CD □ Stocks □ Unit Trusts 債券/ 存款證 股票 單位信託基金	□ Futures & Options □ Derivatives □ None 期貨及期權 衍生工具 沒有				
投資經驗	Years of Investment Experience 投資年期					
	□ Less than 1 year □ 1 - 4 years □ 5 - 10 years 少於一年 □ 五至十年 五至十年	□ More than 10 years +年以上				
Investment Objectives 投資目標	□ Speculation 投機 □ Capital Appreciation 資本增值	☐ Regular Income 定期收入				
Financial Situation	Less than or equal to HKD500,000					
財務狀況		00,001 or above 0,001 或以上				
Note 注意	In order to open an Investment Services Account and to comply with regulatory requirements, please complete the above questionnaire. 為開立投資戶口及符合法規要求,請回答以上問卷。					
Free <i>e</i> Alerts Service - ¥ I	Free eAlerts Service - ♥IPO Update eAlerts 免費「e 提示」服務 - ♥首次公開招股計劃最新消息「e 提示					
Note 注意: © Customers who are identified as US Persons and have maintained any US residential / correspondence / work address in our records will not be able to subscribe for or receive eAlert for IPO Update. 本行視為「美國人士」並於本行登記了美國居住/通訊/工作地址的客戶將不能設立或接收「首次公開招股計劃最新消息」的「e 提示」。						
	Principal/Sole Account Holder 第一/獨立戶口持有人	Joint Account Holder 聯名戶口持有人				
eAlerts Type	I would like to apply for free 本人現申請免費的:	I would like to apply for free 本人現申請免費的:				
「e 提示」種類	☐ ¥ IPO Update <i>e</i> Alerts	☐ ¥ IPO Update <i>e</i> Alerts				
	№ 首次公開招股計劃最新消息「 <i>e</i> 提示」	№ 首次公開招股計劃最新消息「 <i>e</i> 提示」				
eAlerts Greeting Name						
(e.g. Nickname) 收件人姓名 <i>(如:暱稱)</i>						

Email 電郵

SMS 短訊:

Email 電郵:

☐ Yes 是

母IPO Update eAlerts 首次公開招股計劃最新消息「e提示」

☐ SMS 短訊

■ English 英文

■ English 英文

I would like to receive free promotional eAlerts:

□ No 否

本人願意接收免費推廣「e提示」:

(Must be Hong Kong based beginning with '9', '8', '7', '6', '5'

or '4' 電話號碼字頭必須為 '9'、'8'、'7'、'6'、'5' 或 '4' 的香港流動電話)

☐ Chinese 中文

☐ Chinese 中文

Deposit Protection Scheme 存款保障計劃

Please note that Certificates of Deposit are not protected deposits and are not protected by the Deposit Protection Scheme in Hong Kong. Please acknowledge receipt and your understanding of the foregoing by signing below. 請注意存款證並不屬於受保障存款及不會受香港的存款保障計劃保障。請按下簽署以作確認明白上述的資料。

■ Chinese 中文

母IPO Update eAlerts 首次公開招股計劃最新消息「e提示」

SMS 短訊

■ English 英文

■ English 英文

I would like to receive free promotional eAlerts:

□ No 否

本人願意接收免費推廣「e 提示」:

(Must be Hong Kong based beginning with '9', '8', '7', '6', '5'

or '4' 電話號碼字頭必須為 '9'、'8'、'7'、'6'、'5' 或 '4' 的香港流動電話)

Email 電郵

SMS 短訊:

Email 電郵:

□ Yes 是

Declaration 聲明

*e*Alerts Channels

流動電話號碼

接收「e提示」方法

eAlerts Language

免費推廣「e 提示」

「e 提示」所用語言

Mobile Phone Number

Email Address 電郵地址

Free Promotion *e*Alerts

- I/We have read, understood and agree to be bound by all the relevant terms and conditions issued by The Hongkong and Shanghai Banking Corporation Limited (HSBC) governing the above account(s) (see #Schedule) and acknowledge receipt of a copy.
- I/We hereby confirm that the information given above is correct and complete and authorise the Bank to verify the same from any source it may deem fit. I/We also undertake to notify the Bank immediately of any changes to the above information.
- For Securities account customers:
 - I/We authorise and instruct HSBC to elect Hong Kong Dollars as the currency of denomination of any cash dividend payable in relation to securities held on my/our behalf and to pay such cash dividend to the settlement account I/we may specify. I/We agree to HSBC deducting a handling charge in the event that I/we elect a scrip dividend.
 - b. I/We acknowledge that I/we have received and understand that Certificates of Deposit are not protected deposits and are not protected by the Deposit Protection Scheme in Hong Kong
- For Unit Trusts/Securities account customers:
 - I/We confirm that I/we am/are acting as principal(s) in relation to the Unit Trusts/Securities Account.
 - I/We confirm that I/we have been provided the risk disclosure statements and Explanation of Risk for Listed Derivatives (applicable for Securities account with account suffix number 381) in the language of my/our choice (English or Chinese); and I/we have been invited to read the risk disclosure statements and Explanation of Risk for Listed Derivatives (applicable for Securities account with account suffix number 381), to ask questions and to take independent advice if I/we wish.
 - I'We hereby confirm that I'we am/are NOT (1) resident(s), citizen(s) or tax payer(s) of the United States of America ("US") [and none of my/our address(es) on your record is in the US] or (2) residents of Canada ("CA") or (3) national(s) of South Korea who is/are also resident(s) of South Korea ("KR"), whether for US, CA or KR securities or tax laws or for any other purposes. I/We also confirm that I/we am/are not acting as agent on behalf of any US resident and/or US citizen and/or US tax payer and/or CA resident and/or any KR resident who is also KR national. I/We undertake to immediately notify you should I/we (in case of joint account holders, any one of us) become or be deemed to be (1) resident(s), citizen(s) or tax payer(s) of the US or (2) resident(s) of CA or (3) national(s) and resident(s) of KR or (4) if any of my/our address(es) on your record is or should be changed to US at any future time.
 - I/We declare that I/we am/are not currently employed by any licensed or registered person to carry on regulated activities as defined in the Securities and Futures Ordinance ("Ordinance"). Otherwise, I'we understand that I/we am/are required to provide to the Bank written consent(s) from my/our employer(s) before I/we can open and operate this Unit Trusts/Securities Account. I/We undertake to promptly notify the Bank if I/we become or cease to be employed by any licensed or registered person to carry on regulated activities.

A licensed person means a licensed corporation licensed under the Ordinance. A registered person means a registered institution registered under the Ordinance.

Declaration (Continued) 聲明 (續)

- 5. I/We hereby declare that I/we have read and understood the Terms and Conditions for HSBC's eAlerts Service and agree to be bound by them.
- 6. I/We, the undersigned, confirm that the Bank has not provided any tax or legal advice to me/us.
- 7. I/We understand that the remuneration for sales staff is determined based on the staff's overall performance with reference to a wide range of factors, and is subject to review from time to time, for the purpose of encouraging the building of deep, long-lasting and mutually valuable relationships with customers. It is not determined solely on financial performance.
- 8. I/We acknowledge and agree that (i) certain information contained in this application form is collected and may be kept by the Bank for the purpose of automatic exchange of financial account information, and (ii) such information and information regarding the account holder and any reportable account(s) may be reported by the Bank to the Inland Revenue Department of the Government of the Hong Kong Special Administrative Region ("IRD") and exchanged with the tax authorities of another jurisdiction or jurisdictions in which the account holder may be resident for tax purposes, pursuant to the legal provisions for exchange of financial account information provided under the Inland Revenue Ordinance (Cap.112) ("IRO"). I/We undertake to advise the Bank of any change in circumstances which affects the tax residency status of the account holder, and to provide the Bank with a suitably updated self-certification form within 30 days of such change in circumstances. [Note: Please refer to section 50A of the IRO for the meaning of "account holder" and "reportable account" used in this declaration. Please also visit the IRD website that sets out information relating to the implementation of automatic exchange of financial account information in Hong Kong: http://www.ird.gov.hk/eng/tax/dta_aeoi.htm. Warning: It is a serious offence under the IRO if any person, in making a self-certification, makes a statement that is misleading, false or incorrect in a material particular AND knows, or is reckless as to whether, the statement is misleading, false or incorrect in a material particular. Heavy penalty may apply upon conviction.]
- 9. I/We agree that the Bank may use and disclose all personal data about me/us that the Bank currently or subsequently holds for the purposes as set out in the Notice relating to the Personal Data (Privacy) Ordinance (see #Schedule).
- 1. 本人(等)已經細閱、明白及接受由香港上海滙豐銀行有限公司(滙豐)所提供的所有有關上述戶口的章則條款(請參閱#附件),並已收到有關條款乙份。
- 本人(等)證實上述資料乃屬正確及完整,並授權貴行可向任何方面證實。本人(等)亦同意如上述資料有任何改變,會立即通知貴行。
- 3. 適用於證券戶口客戶
 - a. 本人(等)授權及指示滙豐選擇港元作為代本人(等)持有的證券所派發現金股息的貨幣單位,並將該等現金股息付予本人(等)指定的結算戶口。如本人(等)選擇以股代息,本人(等)同意滙豐扣除手續費。
 - b. 本人(等)確認已收到通知,並明白存款證並不屬於受保障存款及不會受香港的存款保障計劃保障。
- 4. 適用於單位信託基金/證券戶口客戶
 - a. 本人(等)確認本人(等)是以主事人身分在單位信託基金/證券戶口進行交易。
 - b. 本人(等)確認已按照本人(等)選擇的語言(英文或中文)獲提供風險披露聲明及上市衍生產品的風險説明(適用於戶口字尾號碼 381 的證券戶口);及已獲邀閱讀該 風險聲明及上市衍生產品的風險説明(適用於戶口字尾號碼 381 的證券戶口)、提出問題及徵求獨立的意見[如本人(等)有此意願]。
 - C. 本人(等)確認,無論就美國或加拿大或南韓的證券或稅務法例或其他方面而言,本人(等)均並非(1)美國居民或美國公民或美國納稅人[及本人(等)並沒有美國地址在貴行的紀錄內]或(2)加拿大居民,或(3)南韓公民而同時為南韓居民。本人(等)亦確認,本人(等)並不代表任何美國居民及/或美國公民及/或美國納稅人及/或加拿大居民,及/或南韓居民而同時為南韓公民行事。本人(等)承諾,如本人(等)(如為聯名戶口,則其中任何一位戶口持有人)日後成為或被視為(1)美國居民或美國公民或美國納稅人或(2)加拿大居民或(3)南韓公民而同時為南韓居民或(4)本人(等)的地址是或應改為美國地址,將立即通知貴行。
 - d. 本人(等)確認本人(等)現時並非受僱於任何持牌法團或註冊機構從事證券及期貨條例(「條例」)界定的受規管活動;否則本人(等)明白本人(等)須向貴行提供本人(等)僱主所發出的同意書方可以於貴行開立及使用這單位信託基金/證券戶口。本人(等)並承諾如將受僱或不再受僱於任何持牌法團或註冊機構從事受規管活動時,立即通知貴行。

注意:持牌法團指根據該條例獲批給牌照的持牌法團。註冊機構指根據該條例註冊的認可財務機構。

- 5. 本人(等)確認本人(等)已閱讀及明白滙豐「e提示」服務條款及條件,並同意接受該等條款所約束。
- 6. 本人(等),即表格簽署人,謹此確定貴行並無向本人(等)提供任何税務或法律意見。
- 本人(等)明白為鼓勵銷售人員與客戶建立深厚、持久及互利的關係,其薪酬會參照多種因素及因應其整體表現不時檢討,並不單純按其財務表現來釐定。
- 8. 本人(等)知悉及同意,貴行可根據《税務條例》(第 112 章)有關交換財務賬戶資料的法律條文,(i)收集本申請表格所載的某些資料並可備存作自動交換財務賬戶資料用 途及(ii)把該等資料和關於賬戶持有人及任何須申報賬戶的資料向香港特別行政區政府税務局(「税務局」)申報,從而把資料轉交到賬戶持有人的稅務管轄區的稅務當局。 本人(等)承諾,如情況有所改變,以致影響賬戶持有人的稅務居民身分,本人(等)會通知貴行,並會在情況發生改變後 30 日內,向貴行提交一份已適當更新的自我證明表 格。[**備註:**有關在這一聲明中「賬戶持有人」和「須申報賬戶」的含義,請參閱《稅務條例》第 50A 條。另請參閱稅務局網站 http://www.ird.gov.hk/chi/tax/dta_aeoi.htm 了解香港實施自動交換財務賬戶資料的詳情。警告:根據《稅務條例》,如任何人在作出自我證明時,在明知一項陳述在要項上屬具誤導性、虛假或不正確,或罔顧一項陳述是 否在要項上屬具誤導性、虛假或不正確下,作出該項陳述,即屬嚴重罪行。一經定罪可致重罰。]

				1 1-0 1-10 1-10					
9.	本人(等)	同意貴行可以根據列載於	《關於個人資料(私隱)	條例的通知》	(請參閱#附件)的用途:	,而使用和披露貴行目前或隨後持有的有關本人	(等)	的所有個人資	資料。

X	
Signature 簽署	

Schedule 附件

Terms and Conditions 章則條款	Account Type that Apply 適用的戶口類別			
Terms and Conditions 早別林家	Securities 證券	Unit Trusts 單位信託基金		
General Terms and Conditions together with "Notice relating to the Personal Data (Privacy) Ordinance" 一般條款及細則及「關於個人資料(私隱)條例的通知」	✓	✓		
Securities Account Terms and Conditions 證券戶口條款及細則	✓			
Unit Trusts Account Terms and Conditions 單位信託基金戶口條款及細則		✓		

	For Ba	nk Use Only 銀行專用			
Account Number					
Account Short Name					
Customer Type	RET STF Other				
Account Type	☐ INS ☐ INV ☐ Othe	r			
Staff Declaration	 I hereby declare that the risk disclosure statements in the language of the customer's choice (English or Chinese) have been provided to the customer(s); and the customer(s) has/have been invited to read the risk disclosure statements, ask questions and to take independent advice if the customer(s) so wishes/wish. I have already explained to the customer(s) that if he/she is or they are currently employed by any registered or licensed person to carry on regulated activities, the customer(s) is/are required to provide to the Bank a written consent(s) from his/her/their employer(s) in support of this investment services application. 				
	Signature	НК	KMA Registration Number:		
	Name of Staff (in Block Letters):				
	Written Consent Received Yes	[For joint account, specify c	customer name(s)]		
	Regulatory Requirement - Derivativ	ve Knowledge/Explanation of	Derivative-related Risks		
	updated in CRMS (applicable to	381 account suffix only).	of risk on listed derivatives. Explanation of risk (generic) no have gone through training during investment account		
	ID Copy				
	Branch/SD Action Check List ☐ SANC Risk Indicators checked ☐ Add CDS code "SANT" after approval				
	Approval Required on CDS		PEP (Please complete KYC Profile) KYC2		
			ection of the Personal Account/Investment Account Opening additional KYC information.		
	Maintenance Required	SCC but not PEP			
	Add CDS Code (for new SCC	,			
	Customer CDS Code	CDS Remarks (Please sp	specify reason to be SCC and/or PEP)		
All Accounts	PEP "SCCS" and "PEPS"				
	SCC but not PEP "SCCS"				
	Other Maintenance				
	Remarks				
	Data Prepared by:	J	Date Account Opened:		
	Application Recommended by (if applicable)		Application Approved by (if applicable)		
	(Name and Authorised Signature)		(Name and Authorised Signature)		
	Branch Chop and Authorised Signa	ture			