

# HSBC Broking Securities (Asia) Limited

HSBC Broking Internet Trading User Guide



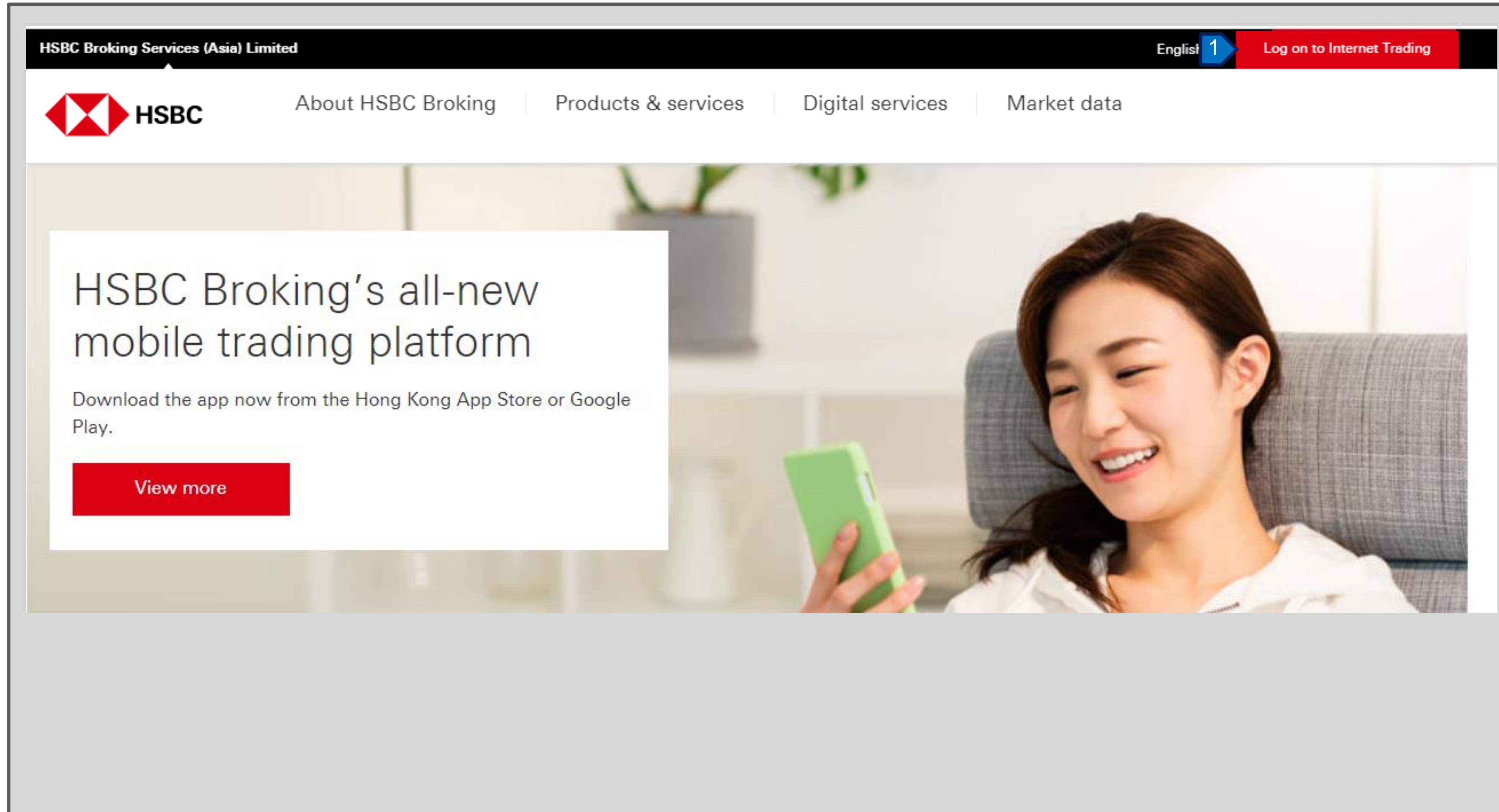


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# HSBC Broking Internet Trading

Registration or Log On > Registration for Digital Trading



1. Click "Internet Trading".

# HSBC Broking Internet Trading

Registration or Log On > Registration for Digital Trading

HSBC Broking  
Good morning, welcome to digital trading services

Please enter your username ?

Enter username

Continue

1 Register for Digital Trading Service >

## Register for Digital Trading Service

Account registration information

Registration UID

2

Registration PIN

Personal Identification Document No. ? 3

Cancel

Next 4

After you have received the Registration UID and Registration PIN from us, you may follow the following steps to complete the registration:

1. Click "Register for Digital Trading Services".
2. Enter your Registration UID, Registration PIN and registered Personal ID No. .
3. If you are not certain about which Personal ID No. should be entered, you may click the "?" for detailed guide.
4. After all fields are correctly filled, you may click "Next".

# HSBC Broking Internet Trading

Registration or Log On > Registration for Digital Trading

HSBC Log on to digital trading services English

## Register for Digital Trading Service

Log on information

Username 1

Password 2

Re-enter password

Second password 3

Re-enter second password

4

**Remarks**

- Your Username, Password and Second Password must not be the same combination.
- You may use letters, numbers, spacing and some special characters such as (@), underscore (\_), hyphen (-), apostrophe (') and period (.) as part of your passwords. Other special characters will not be accepted.

You will then be able to setup your account username and passwords.

1. Create your own username.
2. Create your password.
3. Create your second password.
4. Click "Submit" to complete the registration.

Please follow the parameters below when creating your own username and passwords.

### **Username**

Length: 5 – 76 characters  
(Letters or numbers only)

### **Password**

Length: 6 – 30 characters

### **Second Password**

Length: 8 – 30 characters

# HSBC Broking Internet Trading

Registration or Log On > Log On to Internet Trading

The screenshot illustrates the login process for HSBC Broking. It is divided into two panels. The left panel, titled "HSBC Broking", shows a login form with the text "Good afternoon, welcome to digital trading services". It prompts the user to "Please enter your username" and features a text input field with the placeholder "Enter username". A blue arrow labeled "1" points to this field. A red "Continue" button is positioned below the field. At the bottom left, there is a link: "Register for Digital Trading Service >". A large red arrow points from the "Continue" button to the right panel. The right panel, titled "Hello, muat0\*\*\*", shows the password entry screen. It prompts the user to "Please enter your password" and features a text input field with a blue arrow labeled "2" pointing to it. Below the field is a link: "Forgot your password(s)? >". Underneath, there is a "Select log on mode" section with two radio button options: "Security code" and "Second password". A "Continue" button is located at the bottom right of this panel.

1. Enter your username and click "Continue".
2. Enter your first password here, and then select log on mode.



# HSBC Broking Internet Trading

Registration or Log On > Log On to Internet Trading

Log on with Security Device


## Log on with Security Device

Please enter security code

1

[Forgot security device PIN? >](#)


**Steps to generate Security Code:**

Step 1: Press and hold this button  for 2 seconds to switch on your Security Device.

Step 2: Enter your Security Device PIN.

Step 3: Press this button  again and a 6-digit Security Code will appear.

Step 4: Enter the 6-digit Security Code in the input field above.



**Important note**

Please activate your new security device before use. To activate the new security device, please log on to digital trading services with Dual Password or your existing Security device.

[Back](#) [Log on](#)

1. If you have selected to log on with Security Device, you may follow the steps on screen and enter the 6-digit security code generated by your security device to log on.

# HSBC Broking Internet Trading

Registration or Log On > Log On to Internet Trading

Log on with Second Password + SMS one time security code.

## Log on with Second Password

Please enter 2nd, 3rd and 4th character of your second password [?](#)

1  2nd  3rd  4th

[Forgot your password\(s\)? >](#)

**2**

## A Security Code is required for this activity

A Security Code will be sent to your mobile number  
**8529190\*\*\*\*** [?](#)

[Log on with Security Device >](#)

**3**

## A Security Code is required for this activity

A Security Code has been sent to your mobile number:  
**8529012\*\*\*\***

Please enter security code

1. If you have selected to log on with Second Password and SMS one time security code. You may follow the instruction on screen and enter the corresponding characters of your second password as required then click "Log on".
2. Click "Get Security Code" and check your mobile phone for an SMS with a one time security code.
3. Enter the one time security code and click "Confirm" to log on.



# HSBC Broking Internet Trading

Registration or Log On > Log On to Internet Trading

**HSBC Broking**  
Good afternoon, welcome to digital trading services

Please enter your username <sup>?</sup>

1 Enter username

Continue

Register for Digital Trading Service >

Hello, muat0\*\*\*

Please enter your password

Forgot your password(s)? > 2

Select log on mode

Security code  Second password

Continue

**Forgot passwords**

**!** Important note If you forget your digital trading services password(s), please define your new password(s) and complete the following required details to us. Due to security reason, our Customer Service Representative(s) will contact you in the next two working days to confirm your new passwords. Please note that before the confirmation from our Customer Service Representative(s), your new passwords are not yet effective.

Username:

New password <sup>?</sup>

Retype new password <sup>?</sup>

New Second password <sup>?</sup>

Retype new second password <sup>?</sup>

Cancel 3 Submit

If you forgot your password, you may follow the steps to raise a password reset request. Our customer representative will contact you in two working days.

1. Enter your username and click "Continue".
2. Click "Forgot your password(s)?".
3. Fill all fields and click "Submit".

# HSBC Broking Internet Trading

Registration or Log On > Portfolio Tracking (Landing Page)

HSBC Portfolio Market Order status My account More English Log off

Hang Seng Index 24,740.16 ▲ +54.66 +0.22% CSI 300 Index 4,896.44 ▼ -20.22 -0.41%

Hong Kong Search by stock code / stock name

Good afternoon  
Your last log on was at 16:18 25 Nov 2021 (HKT)

Hong Kong China A Shares Other Markets

My holdings

Account number 90001001

Market value 2,718,880.00 HKD Real-time quotes as at 15:56 on 25 Nov 2021

Symbol	Price	Change	Quantity	Tradable quantity	Market value
00005 HSBC HOLDINGS PLC	42.900 HKD	▲ +0.150 +0.351 %	30,400	30,400	1,304,160.00 HKD
00011 HANG SENG BANK ...	150.000 HKD	▼ -1.600 -1.055 %	8,000	8,000	1,200,000.00 HKD
02800 TRACKER FUND OF ...	26.840 HKD	▲ +0.800 +3.072 %	8,000	8,000	214,720.00 HKD

Quick Links

- Transaction history
- Account balance

News for you

- BRIEF-HSBC Announces Issuance Of Senior Unsecured Notes  
09:08 on 23 Nov 2021 HKT
- Bosses of HSBC, Tata, Macquarie urge governments to do more for green transition  
20:26 on 18 Nov 2021 HKT

After you have successfully logged on the Internet Trading service, you will be able to see this landing page-where you can track your portfolio.

1. You can find information about your holdings including current price, daily changes and market value etc.

You can always return to this page by pressing the "Portfolio" tab on the top menu.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Stock Quote

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there are navigation links: Portfolio, Market, Order status, My account, and More. The user is logged in as 'Hong Kong' and the language is set to 'English'. A 'Log off' button is visible in the top right corner.

The main content area is divided into several sections:

- Market Indices:** Hang Seng Index (24,740.16, +54.66, +0.22%) and CSI 300 Index (4,896.44, -20.22, -0.41%).
- Search:** A search bar with 'HSBC' entered. A dropdown menu shows search results: 00005 HSBC HOLDINGS PLC, 00820 HSBC CHINA DRAGON FUND, 11756 HT-HSBC HOLDINGS PLC EUROPEAN CALL WARR..., 11831 UB-HSBC HOLDINGS PLC EUROPEAN CALL WARR..., and 12231 CS-HSBC HOLDINGS PLC EUROPEAN PUT WARRA... A blue arrow labeled '1' points to the search bar.
- My holdings:** Account number 90001001, Market value 2,718,880.00 HKD. Real-time quotes as at 15:56 on 25 Nov 2021.
- Table of Holdings:**

Symbol	Price	Change	Quantity	Tradable quantity	Market value
00005 HSBC HOLDINGS PLC	42.900 HKD	▲ +0.150 +0.351 %	30,400	30,400	1,304,160.00 HKD
00011 HANG SENG BANK ...	150.000 HKD	▼ -1.600 -1.055 %	8,000	8,000	1,200,000.00 HKD
02800 TRACKER FUND OF ...	26.840 HKD	▲ +0.800 +3.072 %	8,000	8,000	214,720.00 HKD

Quick Links:

- Transaction history
- Account balance

News for you:

- BRIEF-HSBC Announces Issuance Of Senior Unsecured Notes (09:08 on 23 Nov 2021 HKT)
- Bosses of HSBC, Tata, Macquarie urge governments to do more for green transition (20:26 on 18 Nov 2021 HKT)

You can get a stock quote with price and other information.

1. Enter a stock code or stock name, then the result from predictive search will be displayed. Click the stock you want to access the information.

This feature is available in all pages of Internet Trading, you can find it next to the magnifier on the top right hand corner.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Stock Quote

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there are navigation tabs: Portfolio, Market, Order status, My account, and More. The current page is the Stock Quote page for HSBC HOLDINGS PLC (00005 HK). The stock price is HKD 42.900, up +0.150 (+0.35%). The bid is 42.850 and the ask is 42.900. A blue arrow labeled '1' points to the stock name. Below the quote is a line chart showing the stock price movement from 09:30 to 16:00. The chart shows a general upward trend with some volatility. A blue arrow labeled '2' points to the 'Buy' radio button in the order placement form. The order placement form includes fields for Stock code and name (00005 HSBC HOLDINGS), Order instruction (Buy/Sell), Account number (90001001), Maximum Amount for buy order\* (HKD \*\*\*\*\*), and Order type (Enhanced Limit Order).

HSBC

Portfolio Market Order status My account More

Hang Seng Index 24,740.16 ▲ +54.66 +0.22% CSI 300 Index 4,896.44 ▼ -20.22 -0.41%

Hong Kong Search by stock code / stock name

00005 (HK) HSBC HOLDINGS PLC

HKD 42.900 ▲ +0.150 +0.35%

Bid: 42.850 | Ask: 42.900

Chart

1D 1M 6M 1Y

46.200

46.000

45.800

45.600

09:30 12:00 13:00 16:00

Market information shown is delayed by at least 15 minutes.

Information

Order placement

Stock code and name  
00005 HSBC HOLDINGS

Order instruction  
 Buy  Sell

Account number  
90001001

Maximum Amount for buy order\* : (for reference only)  
HKD \*\*\*\*\* View

Order type  
Enhanced Limit Order

1. You should then be able to view the quote.
2. You can also place order here.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Placement

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and links for Portfolio, Market, Order status, My account, and More. A language dropdown is set to English, and a Log off button is visible. Below the navigation bar, market indices are shown: Hang Seng Index at 24,740.16 (+54.66, +0.22%) and CSI 300 Index at 4,896.44 (-20.22, -0.41%). A search bar is set to Hong Kong, and a search button is present. The main content area shows the stock quote for 00005 (HK) HSBC HOLDINGS PLC, with a price of HKD 42.900 (+0.150, +0.35%). Bid and Ask prices are 42.850 and 42.900 respectively. A chart section is visible, showing a line graph of the stock price over time. The chart has tabs for 1D, 1M, 6M, and 1Y, with 1D selected. The y-axis ranges from 45.600 to 46.200, and the x-axis shows times from 09:30 to 16:00. A note below the chart states: "Market information shown is delayed by at least 15 minutes." The order placement section is highlighted with a blue arrow and the number 1. It contains the following fields: Stock code and name (00005 HSBC HOLDINGS), Order instruction (Buy selected, Sell unselected), Account number (90001001), Maximum Amount for buy order\* (for reference only) (HKD \*\*\*\*\* with a View button), and Order type (Enhanced Limit Order).

1. On the stock quote page, click "Buy" or "Sell" for your order instruction.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Placement

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there are navigation tabs: Portfolio, Market, Order status, My account, and More. The current page is 'Market'. The main header shows the stock symbol '00005 (HK) HSBC HOLDINGS PLC' and its current price 'HKD 42.900' with a green upward arrow indicating a change of '+0.150 +0.35%'. The bid and ask prices are 'Bid: 42.850 | Ask: 42.900'. A line chart shows the stock price movement from 09:30 to 16:00. Below the chart, there is a note: 'Market information shown is delayed by at least 15 minutes.' On the right side, the 'Order placement' section is visible. It includes a field for 'Maximum Amount for buy order\*' (HKD \*\*\*\*\*), a dropdown for 'Order type' (Enhanced Limit Order), a 'Price' field (42.900), a 'Quantity (Lot size: 400)' field (400), and an 'Expiry date' dropdown (25 Nov 2021). A red 'Preview Order' button is at the bottom of this section. A blue arrow with the number '1' points to the 'Price' field.

Information			
Day low	42.100	Day high	43.050
52 wk low	27.500	52 wk high	50.850
Open	42.700	Prev. close	42.750
Volume	25.448M	Currency	HKD
P/E ratio	29.126	Market cap	876.280B
Lot size	400	Spread	0.050/0.050
Div yield	2.699%	EPS	1.473
Closing Auction Session (CAS) Stock	Y	Pre-Opening Session (POS) Stock	Y

1. Enter the order details here, including order type, price, quantity and expiry date, then click "Preview Order" to check the order details.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Placement

The screenshot displays the HSBC Broking Internet Trading interface for order placement. The page is titled "Verify" and contains two main sections: "Summary" and "Transaction fee".

**Summary**

Account number	90001001
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Lot size	400
Order type	Enhanced Limit Order
Currency	HKD
Price	42.900
Quantity	400
Expiry date	25 Nov 2021 HKT

**Transaction fee**

Est. commission	100.00
Est. transaction levy	0.45
Est. trading fee	0.84
Est. stamp duty	22.00
Est. investment	16,820.00
Est. consideration	<b>16,943.29</b>

At the bottom of the page, there is a blue arrow with the number "1" pointing to a red "Confirm" button, and a white "Back" button.

1. Review all the details to ensure that all the information is correct and read the important note, then click "Confirm" to place the order.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Placement

HSBC Portfolio Market Order status My account More English Log off

## Confirm

✔ Your instruction has been received. Please note the reference number for your records.

### Summary

Reference number	P-111621
Account number	
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Lot size	400
Order type	Enhanced Limit Order
Currency	HKD
Price	42.900
Quantity	400
Expiry date	25 Nov 2021 HKT

1 Go to my order

1. On the order acknowledgement page, you may click “Go to my order” to visit the order status page.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Status

HSBC Portfolio Market **1** Order status My account More

Hang Seng Index 26,205.91 ▲ +489.91 +1.91% CSI 300 Index 5,013.52 ▲ +43.51 +0.88%

Hong Kong Search by stock code / stock name

Hong Kong China A Shares

Account number Status

Apply Reset

Date	Status	Securities	Price	Quantity	Order number	More
10 Sep 2021 HKT	Cancelled	Buy: 00005 HSBC HOLDINGS PLC	42.900 HKD	400	P-9I1021	▼
10 Sep 2021 HKT	Order watch	Sell: 00700 TENCENT HLDGS LTD	476.000 HKD	100	S-3I1021	▲

Total executed quantity 0 Outstanding quantity 100

Order type Enhanced Limit Order

Modify Cancel **2** View details

02 Sep 2021 HKT	Pending dealing	Sell: 00005 HSBC HOLDINGS PLC	Market Price	200	S-8I0221	▼
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Page 1 of 1 pages Go to page 1 Go

1. To view the status with details of your order(s), click “Order status” tab on the top menu.
2. Click the order to view the order status and details.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Amendment

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status' (highlighted), 'My account', and 'More'. On the right side of the navigation bar, there are icons for a message, 'English' with a dropdown arrow, and a red 'Log off' button. Below the navigation bar, there is a 'Back' button and a refresh icon. The main heading is 'Order details' for 'Buy: 00005 HSBC HOLDINGS PLC'. Below this, there is an 'Order watch' link. A blue arrow labeled '1' points to the 'Modify' button, which is next to a 'Cancel' button. The main content area is titled 'Summary' and contains a table of order details.

Reference number	P-211321
Account number	
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Order type	Enhanced Limit Order
Currency	HKD
Price	42.050
Quantity	400
Total executed quantity	0
Outstanding quantity	400
Expiry date	13 Sep 2021 HKT
Transaction date	13 Sep 2021 HKT

1. If you want to modify the order, click "Modify" to perform order amendment accordingly.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Amendment

The screenshot displays the HSBC Broking Internet Trading interface. The top navigation bar includes 'Portfolio', 'Market', 'Order status', 'My account', and 'More'. The main content area is divided into two panels. The left panel, titled 'Modify Buy Order', shows the order placement details for HSBC HOLDINGS PLC (00005 HK). It includes fields for 'Price' (42.200), 'Quantity' (400), and 'Expiry date' (13 Sep 2021). A blue arrow labeled '1' points to the price input field. The right panel, titled 'Verify', shows a summary of the order details, including the reference number (P-211321), account number, instruction (Buy), stock code (00005), stock name (HSBC HOLDINGS PLC), market (Hong Kong), order type (Enhanced Limit Order), currency (HKD), price (42.200), quantity (400), expiry date (13 Sep 2021 HKT), transaction date (13 Sep 2021 HKT), and transaction time (10:44am HKT). A blue arrow labeled '2' points to the 'Confirm' button. A red arrow points from the 'Modify Buy Order' panel to the 'Verify' panel.

1. You can amend the price and/or quantity and/or expiry date of you order.
2. Review all the details to ensure that all information is correct, read the important note, and click "Confirm" to place the instruction.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Amendment

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status' (highlighted with a red underline), 'My account', and 'More'. On the right side of the navigation bar, there is a notification icon, 'English' with a dropdown arrow, and a red 'Log off' button. Below the navigation bar, the main heading is 'Confirm'. A light blue notification box contains a checkmark icon and the text: 'Your instruction has been received. Please note the reference number for your records.' Below this, there is a section titled 'Summary' with a vertical red bar to its left. The summary table lists the following details:

Reference number	P-211321
Account number	
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Order type	Enhanced Limit Order
Currency	HKD
Price	42.100
Quantity	400
Expiry date	13 Sep 2021 HKT
Transaction date	13 Sep 2021 HKT
Transaction time	10:44am HKT

At the bottom left of the summary section, there is a blue arrow icon with the number '1' inside, pointing to a black button labeled 'Go to my order'.

1. On the order acknowledgement page, you can click "Go to my order" to visit the order status page.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Cancellation

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there are navigation links for Portfolio, Market, Order status (highlighted), My account, and More. A search bar is present with a dropdown for 'Hong Kong' and a search icon. Below the search bar, the 'Order status' section is active, with tabs for 'Hong Kong' and 'China A Shares'. A filter section includes an 'Account number' dropdown, a 'Status' dropdown set to 'All', and 'Apply' and 'Reset' buttons. The main content is a table of orders:

Date	Status	Securities	Price	Quantity	Order number	More
13 Sep 2021 HKT	Order watch	Buy: 00005 HSBC HOLDINGS PLC	42.100 HKD	400	P-211321	^
Total executed quantity		0	Outstanding quantity		400	
Order type		Enhanced Limit Order				
		<a href="#">Modify</a>	<a href="#">Cancel</a>	<a href="#">View details</a>		
13 Sep 2021 HKT	Cancelled	Buy: 00388 HK EXCHANGES AND CLEARING LTD	493.600 HKD	100	P-111321	v
02 Sep 2021 HKT	Pending dealing	Sell: 00005 HSBC HOLDINGS PLC	Market Price	200	S-810221	v

At the bottom, there is a pagination control showing 'Page 1 of 1 pages' and a 'Go to page' dropdown set to '1'.

1. If you want to cancel the order, click “Cancel” button on the order status page to submit a cancellation request.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Order Cancellation

The screenshot displays two sequential pages in the HSBC Broking Internet Trading interface. The left page is titled 'Cancel Buy Order: Verify' and contains a summary table with the following details:

Reference number	P-211321
Account number	
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Order type	Enhanced Limit Order
Currency	HKD
Price	42.100
Quantity	400
Expiry date	13 Sep 2021 HKT
Transaction date	13 Sep 2021 HKT
Transaction time	10:44am HKT

Below the table is an 'Important note' section with a checkbox and the text: 'By clicking the Confirm button, I confirm that I have read, understood and agreed the Important Note.' At the bottom, there are two buttons: 'Confirm' (highlighted with a blue arrow labeled '1') and 'Back'.

A red arrow points from the 'Confirm' button on the left page to the 'Confirm' page on the right. The right page is titled 'Confirm' and features a green success message: 'Your instruction has been received. Please note the reference number for your records.' Below this is a summary table with the same details as the left page:

Reference number	P-211321
Account number	
Instruction	Buy
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Market	Hong Kong
Order type	Enhanced Limit Order
Currency	HKD
Price	42.100
Quantity	400
Expiry date	13 Sep 2021 HKT
Transaction date	13 Sep 2021 HKT
Transaction time	10:44am HKT

At the bottom of the right page, there is a button labeled 'Go to my order' (highlighted with a blue arrow labeled '2').

1. Review all the details and ensure all information is correct, read the important note, and click "Confirm" to place the instruction.
2. On the order acknowledgement page, you can click "Go to my order" to visit the order status page.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Market Data

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market' (highlighted with a blue arrow and the number '1'), 'status', 'My account', and 'More'. On the right side of the navigation bar, there are icons for a message, 'English', and a 'Log off' button.

Below the navigation bar, the market data section is titled 'Market' and includes tabs for 'Hong Kong' and 'China A Shares'. The 'Market indices' section shows the Hang Seng Index at 25,654.63, down 551.28 (-2.10%), and the CSI 300 Index at 4,987.52, down 26.00 (-0.52%). A search bar is available for stock codes or names, and a dropdown menu is set to 'Hong Kong'.

The 'Sector' section lists various sectors with their percentage changes:

Sector	Change
Energy	▲ 3.99%
Collective Investments	▲ 2.56%
Industrials	▲ 2.50%
Banking & Investment Services	▲ 1.57%
Consumer Cyclical	▲ 1.48%
Technology	▲ 1.28%
Insurance	▲ 1.19%
Basic Materials	▲ 1.07%
Investment Holding Companies	▲ 0.54%
Healthcare	▲ 0.53%

The 'Market indices' section also features a line chart for the Hang Seng Index, showing its performance over time. The chart includes a legend for '1D', '1M', '6M', and '1Y' views. The current view is '1D', showing the index value of 25,654.63 as of 14:13 on 13 Sep 2021 HKT. The chart shows a general downward trend from 09:30 to 13:15, followed by a slight recovery towards 16:00.

1. Click the "Market" tab on the top menu to view the market page with various market information, including indices update, performance by sector, top movers, news, etc.



# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Research Report

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation menu with 'More' highlighted (1). Below the menu, there is a sub-menu with 'Research reports' highlighted (2). The main content area is titled 'Research reports' and has two tabs: 'Company' and 'Sector'. Below the tabs, there is a search filter section with three dropdown menus: 'Market' (3), 'Sector', and 'Company'. The 'Market' dropdown is currently set to 'Select market'. Below the dropdowns are 'Continue' and 'Reset' buttons. The main content area displays 'Latest reports' with a table listing reports for AIA and KUAISHOU TECHNOLOGY, both dated 23 Nov 2021. The page is on 1 of 1 pages.

Company	Date
AIA	23 Nov 2021
KUAISHOU TECHNOLOGY	23 Nov 2021

You can access the research reports by following the steps below”

1. Click the “More” tab on the top menu.
2. Click “Research reports”. After agreeing to the declaration and Terms and Conditions, you will be able to see the latest research reports.
3. You can also search the reports by market, company or sector.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Placement

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status', 'My account', and a 'More' tab (1). To the right of the navigation bar are icons for a message, language selection ('English'), and a 'Log off' button. Below the navigation bar, a sub-menu is visible with 'IPO application' (2) and 'Research reports'. Under 'IPO application', there are tabs for 'Current IPO', 'Historical IPO', and 'IPO Status'. The 'Current IPO' tab is active, showing a table of IPO listings. The first row of the table is highlighted with a blue arrow (3) pointing to the stock name 'ACOTEC SCIENTIFIC HOLDINGS LTD - B'. The table has three columns: 'Stock code', 'Stock name', and 'Online application closing date'. At the bottom of the page, there is a pagination control showing 'Page 1 of 1 pages' and a 'Go to page' dropdown menu set to '1'.

Stock code	Stock name	Online application closing date
06669	ACOTEC SCIENTIFIC HOLDINGS LTD - B	17 Sep 2021, 16:00 HKT
07777	IPO GLOBAL EQUITY CNY	17 Sep 2021, 16:00 HKT
08888	GEM IPO	17 Sep 2021, 16:00 HKT

You can submit IPO application by following the steps below:

1. Click the "More" tab on the top menu.
2. Click "IPO application".
3. Click the IPO that you would like to apply under the "Current IPO" section and start the application process.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Placement

The screenshot displays two panels of the HSBC Broking Internet Trading interface. The left panel shows the 'IPO details' page with a table of information. The right panel shows the 'Application details' page with form fields for account number, offer price, quantity range, and quantity applied for. A red arrow points from the 'Apply now' button in the left panel to the 'Apply now' button in the right panel. Blue callout boxes with numbers 4 and 5 are placed over the 'Apply now' buttons in the left and right panels, respectively.

Field	Value
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Issued quantity	6,864,000
Offer price	23.80 HKD
Online application closing date	17 Sep 2021, 16:00 HKT
Listing date	24 Sep 2021
Cheque return date	24 Sep 2021
Stock return date	24 Sep 2021

**Application details**

Stock code and name  
06669 - ACOTEC SCIENTIFIC HOLDINGS LTD - B

Account number

Offer price  
23.80 HKD

Quantity range  
1,000 - 10,000

Quantity applied for  
5,000

4. Review the IPO details and click "Apply now" to continue.
5. After agreeing to the Terms and Conditions, select the quantity range and quantity from the drop down menus. Review the application details and click "Apply now".



# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Placement

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and links for Portfolio, Market, Order status, My account, and More. On the right side of the navigation bar, there is a mail icon, a language dropdown set to English, and a red Log off button. The main content area is titled "Verify" and is divided into two sections: "Summary" and "Application Summary".

**Summary**

Account number	
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Offer price	23.800 HKD
Quantity applied for	5,000
Currency	HKD

**Application Summary**

Application money	119,000.00
Brokerage	1,190.00
Transaction levy	3.21
Trading fee	5.95
Service charge	0.00
<b>Total subscription cost</b>	<b>120,199.16</b>

At the bottom left of the main content area, there are two buttons: a red "Confirm" button with a blue arrow and the number "6" inside, and a white "Back" button.

6. Review all the details and ensure all information is correct, then click "Confirm" to place the application.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Placement

The screenshot shows the 'Confirm' page in the HSBC Broking Internet Trading system. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status', 'My account', and 'More' (which is underlined). On the right side of the navigation bar, there is a mail icon, 'English' with a dropdown arrow, and a red 'Log off' button. Below the navigation bar, the main heading is 'Confirm'. A light blue notification bar with a checkmark icon contains the text 'Your instruction has been received.' Below this, there are two sections: 'Summary' and 'Application Summary'. The 'Summary' section lists the following details: Account number, Stock code (06669), Stock name (ACOTEC SCIENTIFIC HOLDINGS LTD - B), Offer price (23.800 HKD), Quantity applied for (5,000), Currency (HKD), Funds available for IPO (14,808,086.85), Funds withheld for this IPO (120,199.16), and Funds available for next IPO (14,687,887.69). The 'Application Summary' section is a table with the following items: Application money (119,000.00), Brokerage (1,190.00), Transaction levy (3.21), Trading fee (5.95), Service charge (0.00), and Total subscription cost (120,199.16). At the bottom left of the page, there is a black button labeled 'View application status' and a blue arrow icon with the number '7' inside it.

Summary	
Account number	
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Offer price	23.800 HKD
Quantity applied for	5,000
Currency	HKD
Funds available for IPO	14,808,086.85
Funds withheld for this IPO	120,199.16
Funds available for next IPO	14,687,887.69

Application Summary	
Application money	119,000.00
Brokerage	1,190.00
Transaction levy	3.21
Trading fee	5.95
Service charge	0.00
<b>Total subscription cost</b>	<b>120,199.16</b>

7. On the confirm page, you can press "View application status" to check the status of this application

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and menu items: Portfolio, Market, Order status, My account, and More. The 'More' tab is highlighted with a blue arrow labeled '1'. To the right of the navigation bar are a mail icon, a language dropdown set to 'English', and a red 'Log off' button.

Below the navigation bar, there are market indices: Hang Seng Index (25,744.53, -461.38, -1.76%) and CSI 300 Index (4,991.66, -21.86, -0.44%). A search bar is present with a dropdown menu set to 'Hong Kong' and a search icon.

The main content area has three tabs: 'Current IPO', 'Historical IPO', and 'IPO Status'. The 'IPO Status' tab is highlighted with a blue arrow labeled '2'. Below the tabs is a table with the following data:

Stock code	Stock name	Quantity	Application date	Status
06669	ACOTEC SCIENTIFIC HOLDINGS LTD - B	5,000	13 Sep 2021, 14:35 HKT	● Active
06609	SHANGHAI HEARTCARE MED TECH - H SHS	25,000	23 Aug 2021, 19:12 HKT	● IPO lodged

At the bottom of the table, there is a pagination control showing 'Page 1 of 1 pages' and a 'Go to page' dropdown set to '1' with a 'Go' button. A blue arrow labeled '3' points to the first row of the table.

You can amend the IPO Application by following the steps below:

1. Click the "More" tab on the top menu, then click "IPO status".
2. Under the "IPO Status", you can find all of the IPO application(s) you have placed. You can only those with "Active" status can be amended or cancelled.
3. Click the application you want to amend or cancel.



# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation menu with links for Portfolio, Market, Order status, My account, and More. The 'More' link is currently selected. To the right of the menu, there is a notification icon, a language dropdown set to 'English', and a red 'Log off' button. Below the navigation menu, the page title is 'IPO details' followed by '06669 ACOTEC SCIENTIFIC HOLDINGS LTD - B'. A status indicator shows 'Active'. To the right of the title, there are two buttons: 'Modify' (with a pencil icon) and 'Cancel application' (with a close icon). A blue arrow with the number '4' points to the 'Modify' button. Below the title and buttons, there is a 'Summary' section with a table of details:

Account number	
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Offer price	23.800 HKD
Quantity applied for	5,000
Application date	13 Sep 2021, 14:35 HKT
Currency	HKD
Total subscription cost	120,199.16
Allotment date	24 Sep 2021
Refund date	24 Sep 2021
Listing date	24 Sep 2021

4. Click "Modify" to start the modification process.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The screenshot displays two pages from the HSBC Broking Internet Trading interface. The left page is titled 'Modify IPO' and shows the 'Application details' section. It includes fields for 'Stock code and name' (06669 - ACOTEC SCIENTIFIC HOLDINGS LTD - B), 'Account number' (a dropdown menu), 'Offer price' (23.80 HKD), 'Quantity range' (12,000 - 20,000), and 'Quantity applied for' (12,000). A blue '5' icon points to the 'Quantity applied for' field. Below these fields are 'Preview application' and 'Back' buttons. The right page is titled 'Verify' and shows a 'Summary' section with the following details: Account number, Stock code (06669), Stock name (ACOTEC SCIENTIFIC HOLDINGS LTD - B), Offer price (23.800 HKD), Quantity applied for (12,000), and Currency (HKD). A blue '6' icon points to the 'Confirm' button, which is highlighted in red. Next to it is a 'Cancel application' button. A large red arrow points from the 'Modify IPO' page to the 'Verify' page.

5. On the Modify IPO page, amend the quantity accordingly.
6. Review all the details and ensure all information is correct, then click "Confirm" to place the IPO amendment instruction.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with links for Portfolio, Market, Order status, My account, and More. The main content area is divided into two sections. The upper section shows a table of IPO applications with columns for Stock code, Stock name, Quantity, Application date, and Status. A blue arrow labeled '1' points to the 'ACOTEC SCIENTIFIC HOLDINGS LTD - B' application. The lower section shows the 'IPO details' for the selected application, including a 'Cancel application' button. A blue arrow labeled '2' points to this button. The interface also includes market indices (Hang Seng Index, CSI 300 Index) and a search bar.

Stock code	Stock name	Quantity	Application date	Status
06669	ACOTEC SCIENTIFIC HOLDINGS LTD - B	5,000	13 Sep 2021, 14:35 HKT	Active
06609	SHANGHAI HEARTCARE MED TECH - H SHS	25,000	23 Aug 2021, 19:12 HKT	IPO lodged

**IPO details**  
06669 ACOTEC SCIENTIFIC HOLDINGS LTD - B  
Active

**Summary**

Account number	00094701
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Offer price	23.800 HKD
Quantity applied for	5,000
Application date	13 Sep 2021, 14:35 HKT
Currency	HKD
Total subscription cost	120,199.16
Allotment date	24 Sep 2021
Refund date	24 Sep 2021
Listing date	24 Sep 2021

1. If you want to cancel the application instead, you can click the application you want to cancel under the "IPO Status".
2. Click to "Cancel application" to cancel the IPO application.



# HSBC Broking Internet Trading

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and links for Portfolio, Market, Order status, My account, and More. On the right side of the navigation bar, there is a notification icon, a language dropdown set to English, and a red Log off button.

## Cancel application: Verify

**Summary**

Account number	
Stock code	06669
Stock name	ACOTEC SCIENTIFIC HOLDINGS LTD - B
Offer price	23.800 HKD
Quantity applied for	5,000
Currency	HKD

**Application Summary**

Application money	119,000.00
Brokerage	1,190.00
Transaction levy	3.21
Trading fee	5.95
Service charge	0.00
<b>Total subscription cost</b>	<b>120,199.16</b>

At the bottom left of the page, there is a blue arrow icon with the number 3, followed by a red Confirm cancel button and a white Back button.

3. Verify all details and ensure all information is correct, then click "Confirm" to cancel the application.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > Corporate Event Election and Amendment (applicable to HK Stocks only)

The screenshot shows the HSBC Broking Internet Trading interface. The top navigation bar includes 'Portfolio', 'Market', 'Order status', 'My account', and 'More'. The 'More' tab is selected. In the left sidebar, a blue arrow with the number '1' points to the 'Corporate event' link. The main content area displays a 'Good morning' greeting, a 'My holdings' table, and a 'Quick Links' section.

**My holdings**

Account number:

Market value: **416,481,172.93 HKD** (Real-time quotes as at 10:01 on 16 Sep 2021)

Symbol	Price	Change	Quantity	Tradable quantity	Market value
00005 HSBC HOLDINGS PLC	42.450 HKD	▼ -0.300 -0.702 %	1,201,801	1,201,801	51,016,452.45 HKD
00700 TENCENT HLDGS LTD	477.800 HKD	▲ +30.600 +6.843 %	10,000	10,000	4,778,000.00 HKD
00770 SHANGHAI GROWTH	0.265 USD	0.000 0.000 %	30,000	30,000	7,950.00 USD
02823 ISHARES FTSE A50 ...	17.930 HKD	▲ +0.390 +2.223 %	5,000	5,000	89,650.00 HKD
03049 XTRACKERS CSI300 ...	11.300 HKD	0.000 0.000 %	10,000	10,000	113,000.00 HKD
07312 SAMSUNG HSI DAIL...	NA	NA NA	10,000	10,000	NA

**Quick Links**

- Transaction history
- Account balance

**News for you**

- RPT-UPDATE 1-Yum China warns quarterly profit to take over 50% hit due to Delta variant  
07:27 on 15 Sep 2021 HKT
- BRIEF-Yum China Says Based On Current Trend, Adjusted Operating Profit, Which Excludes Special Items, May Be...  
05:49 on 15 Sep 2021 HKT
- UPDATE 1-Yum China warns quarterly profit to take over 50% hit due to Delta variant  
05:31 on 15 Sep 2021 HKT

[More >](#)

You can submit electronic instructions regarding dividend payment in cash or scrip for Hong Kong listed stocks held in your account with us. Please note that other corporate events including company privatization or merger and acquisition is not supported by the Corporate Event Service at this stage.

1. Click the "More" tab on the top menu, then click "Corporate event".

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > Corporate Event Election and Amendment (applicable to HK Stocks only)

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation menu with links for Portfolio, Market, Order status, My account, and More. The 'More' link is currently selected. To the right of the menu, there is a language dropdown set to 'English' and a 'Log off' button. Below the menu, there are two market indices: Hang Seng Index (24,758.30, -274.91, -1.10%) and CSI 300 Index (4,851.08, -16.24, -0.33%). A search bar is located to the right of the indices, with a dropdown menu set to 'Hong Kong' and a search button. Below the search bar, the page title 'Corporate event' is displayed. A table with the following columns: Stock code, Stock name, Event type, Election cut-off date, and Status. The table contains two rows: one for TENCENT HLDGS LTD (00700) with a 'Closed' status, and one for HSBC HOLDINGS PLC (00005) with an 'Instruction placed' status. A blue callout box with the number '2' points to the 'Instruction placed' status of the HSBC HOLDINGS PLC event. Below the table, there is a pagination control showing 'Page 1 of 1 pages' and a 'Go to page' dropdown set to '1'.

Stock code	Stock name	Event type	Election cut-off date	Status
00700	TENCENT HLDGS LTD	Dividend with scrip option	16 Jul 2021, 12:00 HKT	● Closed
00005	HSBC HOLDINGS PLC	Dividend with scrip option	16 Dec 2021, 12:00 HKT	● Instruction placed

2. Click the corporate event to elect or change.



# HSBC Broking Internet Trading

IPO Applications and Corporate Events > Corporate Event Election and Amendment (applicable to HK Stocks only)

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status', 'My account', and 'More' (highlighted with a red underline). On the right side of the navigation bar, there is a mail icon, 'English' with a dropdown arrow, and a red 'Log off' button. Below the navigation bar, the main content area is titled 'Dividend election history'. It contains a table with the following data:

Update time	Holding entitlement	Dividend election	Instruction placed by
11:55 on 14 Jul 2021 HKT	1,200,401	No. of shares in HKD: 1,200,401 No. of shares in USD: 0 No. of shares in scrip: 0	Account owner / Authorized trader
14:36 on 24 Jun 2021 HKT	1,200,401	No. of shares in HKD: 1,000,015 No. of shares in USD: 200,000 No. of shares in scrip: 386	Account owner / Authorized trader
16:27 on 22 Jun 2021 HKT	1,200,401	No. of shares in HKD: 1,000,015 No. of shares in USD: 200,000 No. of shares in scrip: 386	Account owner / Authorized trader
12:50 on 22 Jun 2021 HKT	1,200,401	No. of shares in HKD: 1,000,015 No. of shares in USD: 200,000 No. of shares in scrip: 386	Account owner / Authorized trader
19:14 on 21 Jun 2021 HKT	1,200,401	No. of shares in HKD: 1,000,015 No. of shares in USD: 200,000 No. of shares in scrip: 386	Account owner / Authorized trader
16:16 on 07 Jun 2021 HKT	1,200,401	No. of shares in HKD: 0 No. of shares in USD: 0 No. of shares in scrip: 0	System

At the bottom left of the main content area, there is a blue arrow icon with the number '3' inside, followed by a red button labeled 'Elect / Change'.

3. Click "Elect/Change" to proceed.

# HSBC Broking Internet Trading

IPO Applications and Corporate Events > Corporate Event Election and Amendment (applicable to HK Stocks only)

The screenshot shows the 'Verify' page in the HSBC Broking Internet Trading system. The page displays the following details for a dividend election:

- Account number
- Stock code: 00005
- Stock name: HSBC HOLDINGS PLC
- Entitlement: Third Interim Dividend USD 0.1
- Options: Cash (HKD/USD) and scrip
- Default option: Cash in HKD
- Re-Investment price: 7.7133 USD
- Ex-date: 03 Jun 2021
- Tentative cash payable date: 09 Aug 2021 HKT
- Tentative scrip payable date: 09 Aug 2021 HKT
- Election cut-off date: 12:00 on 16 Dec 2021 HKT
- Residual in cash: No
- Holding entitlement: 1,200,401

Below the details, there is a section titled 'Your current dividend options' with a table:

Dividend election	From	To
No. of shares in HKD	1,000,000	1,000,000
No. of shares in USD	200,000	200,000
No. of shares in scrip	401	401

At the bottom of the page, there is a blue arrow with the number '4' pointing to the 'Confirm' button, and a 'Back' button is also visible.

4. Verify all details and ensure all information is correct, then click "Confirm" to place the corporate event instruction.

# HSBC Broking Internet Trading

Trading or Stock Tracking Related > Corporate Event Election and Amendment (applicable to HK Stocks only)

The screenshot shows the 'Confirm' page in the HSBC Broking Internet Trading system. At the top, there is a navigation bar with the HSBC logo, 'Portfolio', 'Market', 'Order status', 'My account', and 'More' (highlighted). On the right side of the navigation bar, there are icons for a message, 'English', and a 'Log off' button. Below the navigation bar, the page title is 'Confirm'. A green notification bar states 'Your instruction has been received.' Below this, there is a section titled 'Dividend details' with the following information:

Account number	
Stock code	00005
Stock name	HSBC HOLDINGS PLC
Entitlement	Third Interim Dividend USD 0.1
Options	Cash (HKD/USD) and scrip
Default option	Cash in HKD
Re-Investment price	7.7133 USD
Ex-date	03 Jun 2021
Tentative cash payable date	09 Aug 2021 HKT
Tentative scrip payable date	09 Aug 2021 HKT
Election cut-off date	12:00 on 16 Dec 2021 HKT
Residual in cash	No
Holding entitlement	1,200,401

Below the dividend details, there is a section titled 'Your current dividend options' with a table:

Dividend election	
No. of shares in HKD	1,000,000
No. of shares in USD	200,000
No. of shares in scrip	401

At the bottom left of the page, there is a blue arrow icon with the number '5'. At the bottom center, there is a black button labeled 'Back to corporate event'.

5. On the confirmation page, you can click "Back to corporate event" to view this instruction.



# HSBC Broking Internet Trading

Account Details and Services > Account balance

Account balance summary

Account number

Currency	Ledger balance	Funds available
AUD	9,999,548.28	9,999,548.28
CNY	100,012,500.33	100,012,500.33
GBP	10,000,557.82	10,000,557.82
HKD	100,011,096.37	42,912,150.61
JPY	10,000,004.00	10,000,004.00
USD	547,341.73	547,341.73

You can view various information about your account by clicking the “My account” tab on the top menu.

1. Click “Account balance” to check the account balance summary.
2. You may check the balance of your sub-accounts by selecting Account number using the drop down menu.

# HSBC Broking Internet Trading

Account Details and Services > Transaction history

The screenshot displays the 'My account' page with the 'Transaction history' section active. The sidebar on the left contains a list of services, with 'Transaction history' highlighted and marked with a blue arrow '1'. The main content area features a 'Transaction history' header with a refresh icon. Below this is a filter section with dropdowns for 'Account number', 'Currency' (set to HKD), 'From' (03 Sep 2021), and 'To' (17 Sep 2021). A blue arrow '2' points to the 'From' date field. 'Apply' and 'Reset' buttons are present. The transaction table below has the following data:

Transaction date	Settlement date	Description	Price (HKD)	Quantity	Amount (HKD)
Balance brought forward					100,002,859.27 CR
03 Sep 2021	03 Sep 2021	INTEREST FROM 06AUG21 TO 02SEP21	0.000	0	76.73 CR
10 Sep 2021	14 Sep 2021	SELL HSBC HOLDINGS PLC	41.350	200	8,160.37 CR
Balance carried forward					100,011,096.37 CR

At the bottom of the table, there are navigation controls: 'Page 1 of 1 pages', left and right arrow buttons, 'Go to page', a dropdown menu showing '1', and a 'Go' button. A disclaimer at the bottom states: 'The transaction history shown above is for your reference only. Please refer to your daily and monthly activity statements for the actual fees and charges applicable to your account(s).'

1. On the My account page, click "Transaction history". You will be able to view the account balance along with transaction history.
2. You can filter the history by selecting the date range. Transactions from the beginning of last month (at most two months) can be shown.

# HSBC Broking Internet Trading

Account Details and Services > Account information

The screenshot shows the HSBC Broking Internet Trading interface. At the top, there is a navigation menu with 'My account' selected. Below the menu, there are market indices (Hang Seng Index and CSI 300 Index) and a search bar. The main content area is titled 'My account' and contains a sidebar with options like 'Account balance', 'Transaction history', and 'Account information'. The 'Account information' option is highlighted with a blue arrow and the number '1'. The main content area displays 'Your account information' with fields for Account number, Account name, Account address, Contact number, Email address, Account executive, and Account opening date.

Your account information	
Account number	
Account name	L2IM3mN Endora
	L2IM3mN Endora
	L2IM3mN Endora
	L2IM3mN Endora
Account address	9sT0tU1uV2vW3wX4 ADDRESS LINE 1 9sT0tU1uV2vW3wX4 ADDRESS LINE 2 9sT0tU1uV2vW3wX4 ADDRESS LINE 3 9sT0tU1uV2vW3wX4 ADDRESS LINE 4 HK
Contact number	
Email address	uat@uat.com
Account executive	
Account opening date	03 Oct 2017 HKT

1. On the My account page, click "Account information". You can view your account information including your address, contact number, etc.

# HSBC Broking Internet Trading

Account Details and Services > Fund your account with Hong Kong Dollar currency and Fund your account with Foreign

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and menu items: Portfolio, Market, Order status, My account (highlighted), and More. On the right of the navigation bar, there is a notification icon, a language dropdown set to 'English', and a 'Log off' button. Below the navigation bar, there are market indices: Hang Seng Index (25,727.36, -86.45, -0.33%) and CSI 300 Index (4,954.39, -37.27, -0.75%). A search bar is present with a dropdown for 'Hong Kong' and a search icon. The main content area is titled 'My account' and features a sidebar with the following menu items: Account balance, Transaction history, Account information, Fund your account with Hong Kong Dollar and Renminbi (highlighted with a blue arrow and the number '1'), Fund your account with Foreign currency, Change logon password, Change second password for dual-password logon, Activate security device, and Preferences. The main content area is divided into two sections: 'Fund your account with Hong Kong Dollar and Renminbi' and 'Bill payment'. The 'Bill payment' section includes a paragraph explaining that users can credit their account with HSBC Broking in Hong Kong Dollar and Renminbi through various channels: branches of HSBC in Hong Kong, HSBC and Hang Seng Bank ATMs in Hong Kong, HSBC Phone Banking, HSBC Internet Banking, and HSBC Mobile Apps. It also states that users must set up a Daily Transaction Limit for their HSBC account for making payments to Securities Brokers before they can use bill payment to transfer money to their HSBC Broking account. Below this, there is a form with a label 'Merchant code / name' and the value '0021 HSBC Broking'. The 'Bill type' section is partially visible at the bottom.



1. Click the “ My account ” tab on the top menu and select “Fund your account with Hong Kong Dollar and Renminbi ” and “Fund your account with Foreign currency”. You can find the information on how to deposit to your account (i.e. transfer fund to HSBC Broking account).



# HSBC Broking Internet Trading

Account Details and Services > Change logon password and Change second password for dual-password logon

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and menu items: Portfolio, Market, Order status, My account (highlighted), and More. On the right, there are links for English and Log off. Below the navigation bar, market data for the Hang Seng Index (25,727.36, -86.45, -0.33%) and CSI 300 Index (4,954.39, -37.27, -0.75%) is shown. A search bar for stock codes/names is also present. The 'My account' section on the left lists various services, with 'Change logon password' highlighted and marked with a blue '1' in a box. The main content area is titled 'Change your logon password' and includes a security code input field and instructions for generating a Security Code using a device. The instructions are as follows:

- Step 1: Press and hold this button  for 2 seconds to switch on your Security Device.
- Step 2: Enter your Security Device PIN.
- Step 3: Press this button  again and a 6-digit Security Code will appear.
- Step 4: Enter the 6-digit Security Code in the input field above.

An image of a red HSBC Broking Security (Pass) device is shown, with the numeric keypad and power button highlighted. A 'Confirm' button is located at the bottom of the form.

1. On the My account page, click-“Change logon password ” and/or “Change second password for dual-password logon”. You can follow the steps on screen to change your password(s).

# HSBC Broking Internet Trading

Account Details and Services > Activate security device

The screenshot displays the HSBC Broking Internet Trading 'My account' page. The top navigation bar includes the HSBC logo, 'Portfolio', 'Market', 'Order status', 'My account', and 'More'. The 'My account' section is active, and a blue arrow labeled '1' points to the 'Activate security device' option in the left sidebar. The main content area is titled 'Activate your security device' and features two images of the security device: a red keypad and a red card with a PIN. Below the images are input fields for 'Serial number' and 'Security code'. An information icon and the text 'Activate the Security Device' are present, followed by two steps: 'Step 1: Press and hold the green button for 2 seconds to turn on your Security Device and then, enter your Security Device PIN.' and 'Step 2: Press the green button to obtain the 6-digit Security Code.' A red 'Activate' button is at the bottom.

1. On the My account page, click “Activate security device”. You can follow the steps on screen to activate your security device.

# HSBC Broking Internet Trading

Account Details and Services > Preferences

HSBC Portfolio Market Order status **My account** More

Hang Seng Index 25,620.63 ▼ -193.18 -0.75% CSI 300 Index 4,935.14 ▼ -56.52 -1.13%

Hong Kong Search by stock code / stock name

## My account

- Account balance
- Transaction history
- Account information
- Fund your account with Hong Kong Dollar and Renminbi
- Fund your account with Foreign currency
- Change logon password
- Change second password for dual-password logon
- Activate security device
- 1** Preferences

### Preferences

Up / down colour

Select the colours you would like to represent Up / Down movements. This only applied with your current browser.

Green Up / Red Down

Apply

1. On the My account page, click "Preferences". You can change the up / down colour preferences.

# HSBC Broking Internet Trading

Others > Email and Notice

HSBC Portfolio Market Order status My account More

Hang Seng Index 25,813.81 ▼ -392.10 -1.50% CSI 300 Index 4,991.66 ▼ -21.86 -0.44%

Hong Kong Search by stock code / stock name

## Message and notice

Message Notice

New message

All Unread

Dividend Deleted 31 Mar 2021

Order Completion Message 41K1720 17 Nov 2020

Order Completion Message 20K1620 16 Nov 2020

Order Completion Message 62K1220 12 Nov 2020

Order Amendment Message 62K1220 12 Nov 2020

Order Amendment Message 62K1220 12 Nov 2020

### Order Completion Message 41K1720

Subject: Order Completion Message 41K1720  
From: Customer Services  
Date: 17 Nov 2020

Dear MR.ABC ICDEF.ABCDEF.ABCDE,

We refer to your order numbered 41K1720, placed with us on 2020/11/17 and to previous email confirming trade execution. We confirm that the order has completed. For convenience, we summarize the trades execution for this order as below:

Quantity	Price
3000 \$	40.0000

Buy CK HUTCHISON HLDS LIMITED (1)

A contract note and daily activity statement will be issued to you accordingly. This message does not constitute a contract note. In the event of any dispute between this

1. On any of the pages, you may click the envelope icon to check the message and notice. You can then able to view the message from us.
2. You can also send a message to us by clicking "New message". We will get back to you timely.

Please check the Message and notice page regularly.



# HSBC Broking Internet Trading

Others > Email and Notice

The screenshot displays the HSBC Broking Internet Trading website interface. At the top, there is a navigation bar with the HSBC logo, links for Portfolio, Market, Order status, My account, and More, along with a notification icon, language selector (English), and a Log off button. Below the navigation bar, market data for the Hang Seng Index (25,533.36, -1.09%) and CSI 300 Index (4,928.46, -1.27%) is shown, along with a search bar for Hong Kong stocks. The main content area is titled 'Message and notice' and features a tabbed interface with 'Message' (1) and 'Notice' tabs. A list of notices is displayed on the left, including: 'News: Investments made easy with HSBC Broking's all-new mobile trading app' (29 Mar 2021), 'Important Notice: China Connect Service Update' (27 Mar 2020), 'Important Notice: To protect your interest, please change your NetTrader passwords periodically.' (01 Jan 2020), 'Important Notice: Trading arrangements during Typhoons and Black Rainstorms.' (01 Jan 2019), and 'News: Research reports will be available under the NetTrader platform starting from 14 August 2017 onwards.' (14 Jul 2017). The main notice area displays the selected notice: 'News: Investments made easy with HSBC Broking's all-new mobile trading app' dated 29 Mar 2021. The text of the notice states: 'HSBC Broking is always looking to evolve and to offer new and better services to our customers. We are pleased to inform you that we have launched our new HSBC Broking Services mobile trading app! The new HSBC Broking Services mobile trading app is available in Hong Kong Apple Appstore and Google Playstore for download. You can keep track of your trading portfolio, access real-time Hong Kong and China Connect stock information and place order straight into the market through your mobile device. If you have any queries, please contact your Account Executive or our customer service hotline at (852) 3989 8181. Our hotline service hours are from 8.30 am to 5.30 pm, Mondays to Fridays (except public holidays).'

1. On the My account page, click the "Notice" tab, you can then able to view the system notice.

Please check the Message and notice page regularly.

# HSBC Broking Internet Trading

Others > Log off

The screenshot displays the HSBC Broking Internet Trading interface. At the top, there is a navigation bar with the HSBC logo and links for Portfolio, Market, Order status, My account, and More. On the right, there is a language selector set to English and a red 'Log off' button. Below the navigation bar, market indices are shown: Hang Seng Index at 25,494.13 (-1.24%) and CSI 300 Index at 4,915.59 (-1.52%). A search bar is available for stock codes or names. The main content area includes a greeting 'Good afternoon' and a note about the last log on time. There are tabs for 'Hong Kong', 'China A Shares', and 'Other Markets'. The 'My holdings' section shows an account number dropdown and a market value of 407,529,876.86 HKD. A table of holdings is displayed below, listing symbols, prices, changes, quantities, tradable quantities, and market values for various stocks. On the right, there are 'Quick Links' for Transaction history and Account balance, and a 'News for you' section with three news items.

Account number:

Market value: **407,529,876.86 HKD**

Real-time quotes as at 14:15 on 14 Sep 2021

Symbol	Price	Change	Quantity	Tradable quantity	Market value
00005 HSBC HOLDINGS PLC	42.850 HKD	▲ +0.100 +0.234 %	1,200,401	1,200,201	51,428,612.85 HKD
00700 TENCENT HLDGS LTD	487.800 HKD	▲ +40.600 +9.079 %	11,200	11,200	5,463,360.00 HKD
00770 SHANGHAI GROWTH	0.265 USD	0.000 0.000 %	30,000	30,000	7,950.00 USD
02823 ISHARES FTSE A60 ...	17.740 HKD	▲ +0.200 +1.140 %	5,000	5,000	88,700.00 HKD
03049	11.520 HKD	▲ +0.220	10,000	10,000	115,200.00 HKD

**Quick Links**

- Transaction history
- Account balance

**News for you**

- UPDATE 1-Chinese content platforms pledge self-discipline - industry group  
19:16 on 11 Sep 2021 HKT
- China tells Alibaba, Tencent to open platforms up to each other - media  
18:15 on 11 Sep 2021 HKT
- Chinese content platforms pledge self-discipline - industry group  
16:46 on 11 Sep 2021 HKT

1. To log off safely, click the "Log off" button.

