

HSBC Broking Services (Asia) Limited  
HSBC Broking Securities (Asia) Limited  
HSBC Broking Futures (Asia) Limited  
HSBC Broking Forex (Asia) Limited

eStatement / eAdvice Services User Guide



# eStatement / eAdvice Services

## View eStatements & eAdvices

### For personal accounts:

Dear Customer

We are pleased to attach your eStatement / eAdvice ("eStatement") containing information on your recent transaction(s) with us related to your holding. Please check your eStatement promptly and report to us if there are any errors or discrepancies. You may save and retain a copy for future reference.

The eStatement is password protected. To open the file, simply **open the attachment** and enter your unique password in **8 alphanumeric characters** containing **3 parts** with the following format:

| Your unique password in <b>8 alphanumeric characters</b>         |  |                                      |
|--|--|--------------------------------------|
| Part 1 - Characters 1 to 4:                                      | Part 2 - Characters 5 to 6:  | Part 3 - Characters 7 to 8:          |
| 2 digits of your birthday date & 2 digits of your birthday month | First 2 alphanumeric characters of your identification document, according to the following priority order:<br>1. Identity Card<br>2. Passport<br>3. Travel document,<br>whichever is maintained in HSBC Broking's records | First 2 characters of your last name |

Note:

1. For letter characters, ONLY CAPITAL letter should be used.
2. For joint accounts, the unique password is formed by the personal details of the primary account holder. If you are still unsure about the password combination above, please contact your Relationship Manager or our customer service hotline.

### For corporate accounts:

Dear Customer

We are pleased to attach you the latest eStatement/eAdvice ("eStatement") containing information on your recent transaction(s) with us related to your holding. The eStatement is password protected, you may save and retain a copy for future reference. Please check your eStatement promptly and report to us if there are any errors or discrepancies.

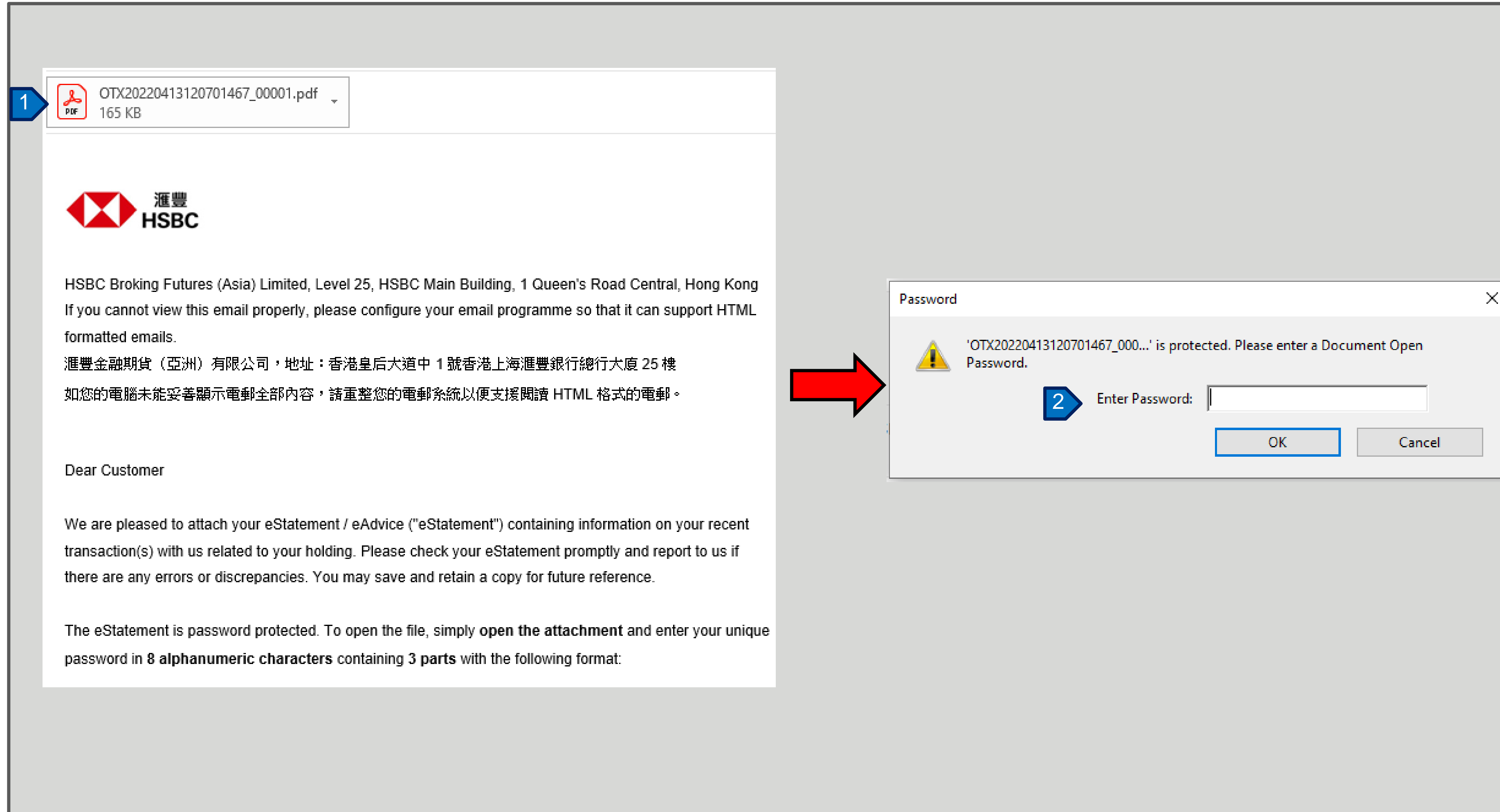
To open the file, simply **open the attachment** and enter your unique password in **8 alphanumeric characters** containing **2 parts** with the following format:

| Your unique password in <b>8 alphanumeric characters</b>   |   |
|--|---|
| Part 1 - Characters 1 to 4:  | Part 2 - Characters 5 to 8:   |
| For company, 2 digits of the date of incorporation and 2 digits of the month of incorporation          | First 4 alphanumeric characters of company or partnership firm name   |
| For partnership firm, 2 digits of the date of establishment and 2 digits of the month of establishment | Note:<br>1. For letter characters, ONLY CAPITAL letter should be used.<br>2. For company or partnership firm names with spaces, please replace it with "0".<br>3. For company or partnership firm names that are less than 4 characters, please add "0" to fill the 8 characters password requirement.<br>4. For company or partnership firm names with special characters, such as "@", "_", "-", "&" and ".", please replace it with "0". |

After you have received the eStatement / eAdvice notification email, you may follow the password composition in the email to open the attachment.

# eStatement / eAdvice Services

## View eStatements & eAdvices




The screenshot shows an email client interface. At the top left, a blue arrow labeled '1' points to a PDF attachment icon with the filename 'OTX20220413120701467\_00001.pdf' and a size of '165 KB'. Below the attachment is the HSBC logo and the text 'HSBC Broking Futures (Asia) Limited, Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong'. A red arrow points from the attachment area to a 'Password' dialog box. The dialog box contains a warning icon, the text "'OTX20220413120701467\_000...' is protected. Please enter a Document Open Password.', and a text input field labeled 'Enter Password:' with a blue arrow labeled '2' pointing to it. There are 'OK' and 'Cancel' buttons at the bottom of the dialog.

You may follow the following steps to open the attachment:

1. Click the encrypted PDF file in the email to open it.
2. Enter your unique password in 8 alphanumeric characters.

# eStatement / eAdvice Services

View eStatements & eAdvices

|   |         |  |                  |
|---|---------|--|------------------|
|   |         | <b>HSBC Broking Futures (Asia) Limited</b><br>匯豐金融期貨(亞洲)有限公司<br>Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong<br>Tel: (852) 2521 1661 Fax: (852) 2010 0145 |                  |
| DAILY ACTIVITY STATEMENT  |         |  |                  |
| Veru 006148-01 T5y26z<br>Veru 006148-01 T5y26z<br>Veru 006148-01 T5y26z<br>Veru 006148-01 T5y26z<br>hcbagrbx_teat04@126.com<br>ATTN: 006148-01 U1uV2v#3w4x15y26z  |         | DATE : 28FEB2022<br>ACCOUNT NUMBER : 006148-01<br>ACCOUNT TYPE : REGULAR<br>SALESMAN NUMBER : 304<br>PAGE : 1  |                  |
| <b>CONSOLIDATED PORTFOLIO SUMMARY (USD EQUIVALENT) :</b>  |         |  |                  |
| DESCRIPTION   |         | AMOUNT USD   |                  |
| LEDGER BALANCE (A)  |         | 434,179.50   |                  |
| TOTAL OPEN TRADE EQUITY (B)   |         | 3,314.25   |                  |
| TOTAL EQUITY (C) = (A) + (B)  |         | 437,493.75   |                  |
| TOTAL LONG OPTION MARKET VALUE (D)  |         | .00  |                  |
| TOTAL SHORT OPTION MARKET VALUE (E)   |         | .00  |                  |
| NET LIQUIDATING VALUE (F) = (C) + (D) + (E)   |         | 437,493.75   |                  |
| <b>CONSOLIDATED MARGIN SUMMARY (USD EQUIVALENT) :</b>   |         |  |                  |
| DESCRIPTION   |         | AMOUNT USD   |                  |
| TOTAL EQUITY (C)  |         | 437,493.75   |                  |
| INITIAL MARGIN REQUIREMENT (G)  |         | (105,758.38)   |                  |
| MAINTENANCE MARGIN REQUIREMENT (H)  |         | (105,758.38)   |                  |
| ACCRUED INTEREST (I)  |         | .25  |                  |
| MARGIN EXCESS (J) = (C) + (I) + (D)   |         | 331,735.62   |                  |
| <b>EXCHANGE RATE :</b><br>HKD 0.127963147   |         |  |                  |
| ***** END OF CONSOLIDATED SUMMARY *****   |         |  |                  |
| <b>ACCOUNT INFORMATION :</b>  |         |  |                  |
| NATURE  | OCY     | AMOUNT   | EXPIRY DATE      |
| EXPOSURE LIMIT  | HKD     | 4,400,000.00   | 14 JUL22         |
| EXPOSURE LIMIT IS SHARED BETWEEN ALL ACCOUNTS OPENED UNDER THE SAME ACCOUNT HOLDER AND HIS ASSOCIATES WITH HSBC BROKING SERVICES GROUP COMPANIES, INCLUDING BILLION, FUTURES AND OPTION, LEVERAGED FOREIGN EXCHANGE AND SECURITIES OPTION ACCOUNTS. EXPOSURE LIMIT IS GRANTED ON A NON-COMMITTAL BASIS AND IS SUBJECT TO CHANGE AND CANCELLATION. |         |  |                  |
| DATE  | (B) (S) | DESCRIPTION  | PRICE AMOUNT HKD |
| <b>CURRENCY HKD :</b><br>28FEB22 BALANCE BROUGHT FORWARD - HKD 3,303,422.99   |         |  |                  |
| <b>CONFIRMATION :</b><br>AS AGENT, WE HAVE MADE THE FOLLOWING TRADES FOR YOUR ACCOUNT AND RISK :  |         |  |                  |
| 28FEB22   | 1 B     | MAR22 HXFE HANG SENG IDX C 25200 (OPEN)  | 39 .00           |
| **TOTAL**   |         | BOUGHT: 1 (EXP. 30MAR22)   |                  |
|   |         | EXCHANGE FEE   | (10.00)          |
|   |         | SFC LEVY   | (.54)            |
|   |         | COMMISSION   | (50.00)          |
| 28FEB22   | 1 B     | MAR22 HXFE HANG SENG IDX C 26800 (OPEN)  | 8 .00            |
| --- CONTINUED ON NEXT PAGE ---  |         |  |                  |
| Retain For Tax Records.   |         | Terms and Conditions on Attached File.   |                  |

You may save and retain a copy as a pdf file in your device for future reference. Please check your eStatements and eAdvices promptly and report to us if there are any errors or discrepancies. All eStatements and eAdvices are in Portable Document Format (PDF). If this doesn't work, please check that you have installed Acrobat Reader on your device.



滙豐金融服務（亞洲）有限公司  
滙豐金融證券（亞洲）有限公司  
滙豐金融期貨（亞洲）有限公司  
滙豐金融外匯（亞洲）有限公司

電子結單/電子通知書服務用戶手冊



# 電子結單/電子通知書服務用戶手冊

## 查看電子結單/電子通知書

### 個人賬戶:

親愛的客戶

現附上您最近進行的交易紀錄的電子結單/電子通知書 ("電子結單")。該電子結單受密碼保護，您可妥善儲存及保留，以供日後參考。如您發現錯漏或不符，請即通知我們。

您只需要**打開附件**及輸入密碼，即可開啟結單。密碼為以下**三個部分**組成的**八個字元**：

| 您的八個字元的密碼            |   |               |
|----------------------|---|---------------|
| 第一部分: 第一至四字元:        | 第二部分: 第五至六字元:   | 第三部分: 第七至八字元: |
| 您出生日期的兩個數字及出生月份的兩個數字 | 根據滙豐金融的紀錄，您身份證明文件的首兩個英文字母或數字，按以下優先次序排列:<br>1. 身份證<br>2. 護照<br>3. 旅行證件 | 您姓氏的首兩個英文字母   |

註:

- 密碼的英文字母只接受大寫。
- 若為聯名戶口，密碼會以第一戶口持有人的個人資料組成。如果您仍然對以上密碼組合有疑問，請聯絡您的客戶經理或致電我們的客戶服務熱線。

### 公司賬戶:

親愛的客戶

現附上您最近進行的交易紀錄的電子結單/電子通知書 ("電子結單")。該電子結單受密碼保護，您可妥善儲存及保留，以供日後參考。如您發現錯漏或不符，請即通知我們。

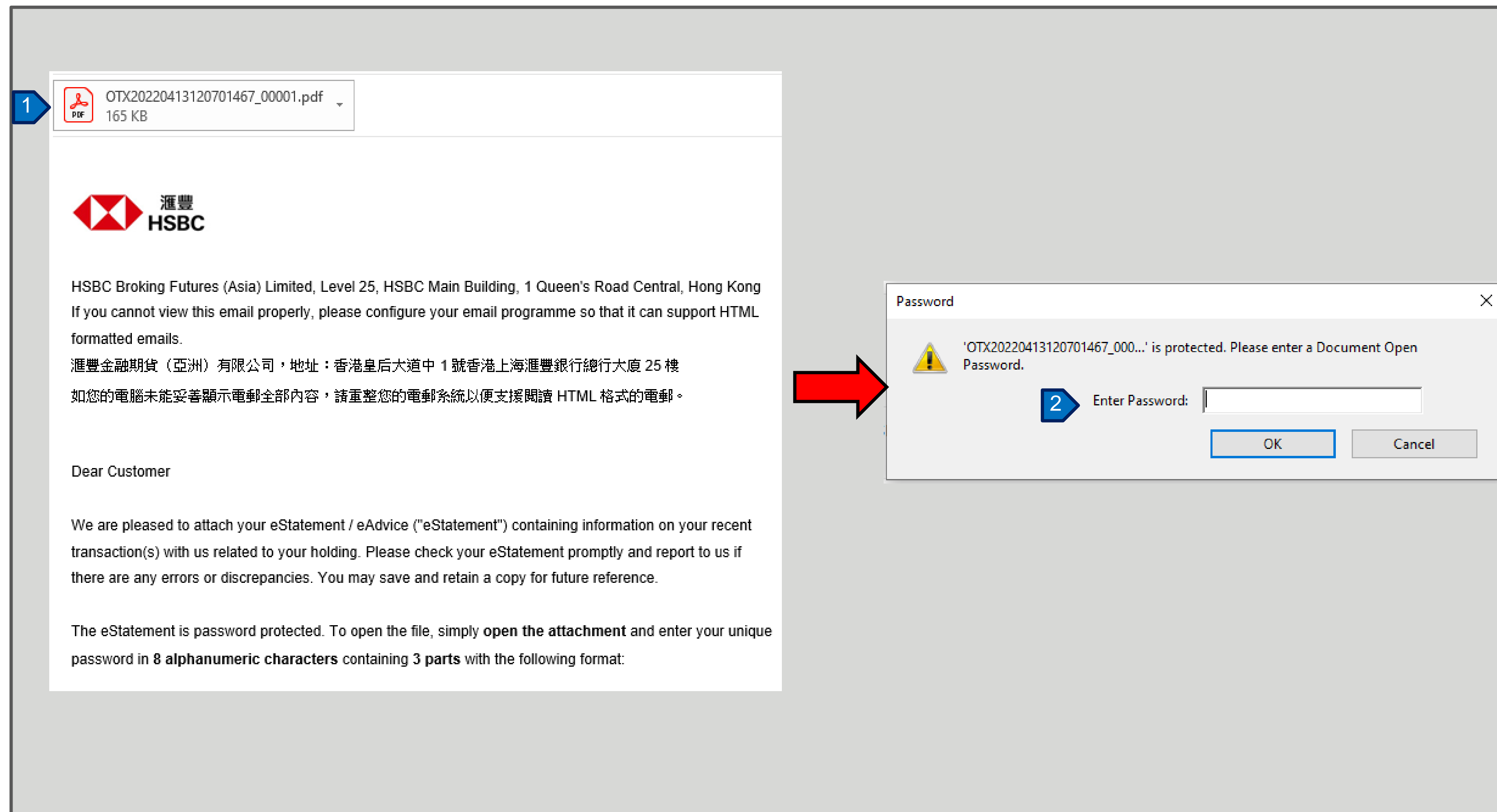
您只需要**打開附件**及輸入密碼，即可開啟結單。密碼為以下**兩個部分**組成的**八個字元**：

| 您的八個字元的密碼  |  |
|--|--|
| 第一部分: 第一至四字元:  | 第二部分: 第五至八字元:  |
| 公司成立日期的兩個數字及成立月份的兩個數字<br><br>合夥公司成立日期的兩個數字及成立月份的兩個數字 | 公司或合夥公司的名稱的首四個字元<br><br>註:<br>1. 密碼的英文字母只接受大寫。<br>2. 如公司或合夥公司名稱包含空格，請以"0"代替。<br>3. 如公司或合夥公司名稱少於四個字元，請在最後加"0"以達到八個字元的密碼要求。<br>4. 如公司或合夥公司名稱包含特殊字符，例如: "@", "_", "-", "&", "!", 請以"0"代替。 |

當您收到電子結單及電子通知書通知，您只需依照電郵中的組成方法輸入您的八個字元的密碼打開附件。

# 電子結單/電子通知書服務用戶手冊

## 查看電子結單/電子通知書



The screenshot illustrates the process of opening a password-protected PDF attachment from an email. On the left, an email client window shows a PDF attachment named 'OTX20220413120701467\_00001.pdf' (165 KB). The email body contains the HSBC logo and text: 'HSBC Broking Futures (Asia) Limited, Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong. If you cannot view this email properly, please configure your email programme so that it can support HTML formatted emails. 滙豐金融期貨(亞洲)有限公司, 地址: 香港皇后大道中 1 號香港上海滙豐銀行總行大廈 25 樓。如您的電腦未能妥善顯示電郵全部內容, 請重整您的電郵系統以便支援閱讀 HTML 格式的電郵。 Dear Customer We are pleased to attach your eStatement / eAdvice ("eStatement") containing information on your recent transaction(s) with us related to your holding. Please check your eStatement promptly and report to us if there are any errors or discrepancies. You may save and retain a copy for future reference. The eStatement is password protected. To open the file, simply open the attachment and enter your unique password in 8 alphanumeric characters containing 3 parts with the following format:'


A red arrow points from the attachment area to a 'Password' dialog box on the right. The dialog box contains a warning icon and the text: ''OTX20220413120701467\_000...' is protected. Please enter a Document Open Password.' Below this is a text input field with a blue arrow labeled '2' pointing to it, and 'OK' and 'Cancel' buttons.

當您已經收到電子結單及電子通知書通知，您只需依照下列的步驟打開附件：

1. 請點選電郵中PDF文件
2. 請輸入您的八個字元的密碼

# 電子結單/電子通知書服務用戶手冊

## 查看電子結單/電子通知書



**HSBC Broking Futures (Asia) Limited**  
匯豐金融期貨(亞洲)有限公司  
Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong  
Tel: (852) 2521 1661 Fax: (852) 2810 0145

DAILY ACTIVITY STATEMENT

Varna 006148-01 Y5y26z  
Varna 006148-01 Y5y26z  
Varna 006148-01 Y5y26z  
Varna 006148-01 Y5y26z  
hcbagrba\_tz104@126.com  
ATTN: 006148-01 U1uV2y#3w4x5y26z

DATE : 28FE2022  
ACCOUNT NUMBER : 006148-01  
ACCOUNT TYPE : REGULAR  
SALESMAN NUMBER : 304  
PAGE : 1

CONSOLIDATED PORTFOLIO SUMMARY (USD EQUIVALENT) :

| DESCRIPTION                                 | AMOUNT USD |
|---|------------|
| LEDGER BALANCE (A)                          | 434,179.50 |
| TOTAL OPEN TRADE EQUITY (B)                 | 3,314.25   |
| TOTAL EQUITY (C) = (A) + (B)                | 437,493.75 |
| TOTAL LONG OPTION MARKET VALUE (D)          | .00        |
| TOTAL SHORT OPTION MARKET VALUE (E)         | .00        |
| NET LIQUIDATING VALUE (F) = (C) + (D) + (E) | 437,493.75 |

CONSOLIDATED MARGIN SUMMARY (USD EQUIVALENT) :

| DESCRIPTION                               | AMOUNT USD   |
|---|--------------|
| TOTAL EQUITY (C)                          | 437,493.75   |
| INITIAL MARGIN REQUIREMENT (G)            | (105,758.38) |
| MAINTENANCE MARGIN REQUIREMENT (H)        | (105,758.38) |
| ACCRUED INTEREST (I)                      | .25          |
| MARGIN EXCESS (J) = (C) + (I) - (G) - (H) | 331,735.62   |

EXCHANGE RATE :

HKD 0.127963147

\*\*\*\*\* END OF CONSOLIDATED SUMMARY \*\*\*\*\*

ACCOUNT INFORMATION :

| NATURE         | CCY | AMOUNT       | EXPIRY DATE |
|----------------|-----|--------------|-------------|
| EXPOSURE LIMIT | HKD | 4,400,000.00 | 14 JUL 22   |

EXPOSURE LIMIT IS SHARED BETWEEN ALL ACCOUNTS OPENED UNDER THE SAME ACCOUNT HOLDER AND HIS ASSOCIATES WITH HSBC BROKING SERVICES GROUP COMPANIES, INCLUDING BULLION, FUTURES AND OPTION, LEVERAGED FOREIGN EXCHANGE AND SECURITIES OPTION ACCOUNTS. EXPOSURE LIMIT IS GRANTED ON A NON-COMMITAL BASIS AND IS SUBJECT TO CHANGE AND CANCELLATION.

| DATE  | (B) (S) | BOUGHT/ SOLD | DESCRIPTION                             | PRICE | AMOUNT HKD   |
|---|---------|--------------|---|-------|--------------|
| CURRENCY HKD :  |         |              |   |       |              |
| 28FE22  |         |              | BALANCE BROUGHT FORWARD - HKD           |       | 3,303,422.99 |
| CONFIRMATION :  |         |              |   |       |              |
| AS AGENT, WE HAVE MADE THE FOLLOWING TRADES FOR YOUR ACCOUNT AND RISK : |         |              |   |       |              |
| 28FE22  | 1 B     |              | MAR22 HIFE HANG SENG IDX C 25200 (OPEN) | 39    | .00          |
| **TOTAL**   |         |              |   |       |              |
|   |         |              | BOUGHT: 1 (EXPI: 30MAR22)               |       |              |
|   |         |              | EXCHANGE FEE                            |       | (10.00)      |
|   |         |              | SFC LEVY                                |       | (.54)        |
|   |         |              | COMMISSION                              |       | (50.00)      |
| 28FE22  | 1 B     |              | MAR22 HIFE HANG SENG IDX C 28800 (OPEN) | 8     | .00          |

--- CONTINUED ON NEXT PAGE ---

Retain For Tax Records. Terms and Conditions on Attached P11a.

請查看您的電子結單和電子通知書，您可以PDF格式儲存文件及保留在您自己的裝置內。如您發現錯漏或不符，請即通知我們。所有電子結單/ 電子通知書均使用Portable Document Format (PDF) 檔案格式。如未能查閱相關文件，請檢查是否已在裝置安裝Acrobat Reader。