

To: HSBC Broking Securities (Asia) Limited 滙豐金融證券（亞洲）有限公司  
 致： Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong  
 香港皇后大道中 1 號香港上海滙豐銀行總行大廈 25 樓

## Digital Trading Services Application Form 網上交易服務申請表

- Note: 1. Please complete in Block Letters.  
 注意：請用正楷填寫。
2. Please return your completed form either (1) by mail to "Level 25, HSBC Main Building, 1 Queen's Road Central, Hong Kong", or (2) by visiting our office in person.  
 請將已填妥的表格（1）寄回香港皇后大道中 1 號香港上海滙豐銀行總行大廈 25 樓收，或（2）親身交回本公司。
3. We will only process your application if you have already agreed to our Terms of Business. If you have not yet agreed to our Terms of Business, our Relationship Manager will contact you for signing a Customer Agreement in person.  
 若您已經同意我們的商業條款，我們會處理您的申請。如您仍未同意我們的商業條款，我們的客戶經理會聯絡您親身簽署客戶合約。

To protect your interests, we will send email notifications/SMS One-Time-Password ("OTP") to your email address/mobile phone respectively for authentication purposes. Therefore, please provide your latest contact information to us.  
 為保障您的利益，我們會發送電郵提示／短訊一次性密碼到您的電子郵箱地址／手提電話以作認證。因此，請您提供最新的聯絡資訊給我們。

Account Number 戶口號碼			
Full Name of Account Holder(s) in English 戶口持有人英文姓名			
Full Name of Authorised Trader in English 授權交易人英文姓名	<b>Applicable to Corporate Account only:</b> 只適用於公司戶口：		
	<table border="1"> <tr> <td>Authorised Trader's Name: 授權交易人姓名：</td> <td>Authorised Trader's Identity Document Number: 授權交易人身份證明文件號碼：</td> </tr> </table>	Authorised Trader's Name: 授權交易人姓名：	Authorised Trader's Identity Document Number: 授權交易人身份證明文件號碼：
	Authorised Trader's Name: 授權交易人姓名：	Authorised Trader's Identity Document Number: 授權交易人身份證明文件號碼：	
For corporate account, only one authorised trader can apply for digital trading services. Please provide the authorised trader's email address and mobile number below. 公司戶口，只有一位授權交易人可以申請網上交易服務，請提供這位授權交易人的電郵地址和手提電話。			
Email Address 電子郵箱地址	<b>Applicable to Individual Account Holder/Authorised Trader of Corporate Account:</b> 適用於個人戶口持有人／公司戶口的授權交易人：		
	<b>Applicable to Joint Account Holders:</b> 適用於聯名戶口持有人：		
	<table border="1"> <tr> <td>Principal Account Holder 第一戶口持有人</td> <td>Joint Account Holders 聯名戶口持有人</td> </tr> </table>	Principal Account Holder 第一戶口持有人	Joint Account Holders 聯名戶口持有人
	Principal Account Holder 第一戶口持有人	Joint Account Holders 聯名戶口持有人	
<p>1. We will send notifications relating to account activities to the email address(es) provided. 我們會發送戶口活動通知到這電子郵箱地址。</p> <p>2. If an email address provided above is different from our records, we will assist you to update it. 如果您所提供的電子郵箱地址和我們原本的記錄不同，我們會更新此電子郵箱地址為您最新的記錄。</p> <p>3. Email address for eStatement/eAdvice Services: 電子結單／電子通知書服務備註： The email address given above will supersede all email addresses that you have previously provided to us (if any) for receiving eStatements/eAdvices. Your password to view the eStatements/eAdvices remains unchanged. 上述電子郵箱地址將取代所有過去您曾提供予我們接收電子結單／電子通知書的電子郵箱地址（如有）。您查看電子結單及電子通知書的密碼維持不變。</p>			



<b>Mobile 手提電話</b>	<p>We will send SMS OTP to this mobile number. If the mobile number given below is different from our records, we will assist you to update it. If you would like to provide more than one mobile number, please submit a Change of Customer Information Instruction Form as well.          我們會發送短訊一次性密碼到此手提電話。如果您所提供的手提電話號碼和我們原本的記錄不同，我們會更新此手提電話號碼為您最新的記錄。如果您需要提供多一個手提電話號碼，請同時提交更改客戶資料指示表格。</p>			
	<b>Applicable to Individual Account Holder/Authorised Trader of Corporate Account:</b> <b>適用於個人戶口持有人/公司戶口的授權交易人：</b>			
	Country/Region Code 國家/區域編號	Area Code 地區編號	Number 號碼	
	<b>Applicable to Joint Account Holders:</b> <b>適用於聯名戶口持有人：</b>			
	Principal Account Holder 第一戶口持有人	Country/Region Code 國家/區域編號	Area Code 地區編號	Number 號碼
Joint Account Holders 聯名戶口持有人	Country/Region Code 國家/區域編號	Area Code 地區編號	Number 號碼	
<b>CUSTOMER DECLARATION</b> <b>客戶聲明</b>  I/We would like to apply for the digital trading services. 我/我們需要申請網上交易服務。  I/We confirm the Relationship Manager has invited me/us to read, ask questions and take independent advice on this form and Section C9 - Digital Trading and Schedule 1 (F) Risk Disclosure Statements - Internet Trading of the Terms of Business. I/We also confirm that I/we have read and understand these documents. 我/我們確認客戶經理曾邀請我/我們閱讀記載於商業條款 C 9 - 網上交易和附表 1 (F) 風險披露聲明 - 互聯網交易和這申請表，並就這些文件發問和獲取獨立意見。我/我們確認我/我們已閱讀和明白這些文件。				

## Signature and Other Information 簽署及其他資料

### For Individual/Joint Account 個人/聯名戶口

Signature of Principal/Sole Account Holder 第一/獨立戶口持有人簽署
X
Name of Principal/Sole Account Holder: 第一/獨立戶口持有人姓名：
Date: 日期：

Signature(s) of Joint Account Holder(s) 聯名戶口持有人簽署 (Applicable to Joint Account) (適用於聯名戶口)
X
Name(s) of Joint Account Holder(s): 聯名戶口持有人姓名：
Date: 日期：

### For Corporate Account 公司戶口

Signature(s) of authorised signatory(ies) with company chop 授權簽署人簽署及公司蓋印
X
Name(s) of authorised signatory(ies): 授權簽署人姓名：
Date: 日期：
For and on behalf of (Company name): 代表（填入公司名稱）：

#### Remarks:

##### 備註：

1. Upon successful application, we will mail out a registration UID and an initial password for you to perform a first-time online registration in our digital trading services platforms.  
成功申請後，我們便會郵寄一個登記編號和第一次登入密碼給您以便您在我們的網上交易服務平台上完成首次登記。
  - a) For individual account, we will mail to your correspondence address.  
如屬個人戶口，我們會寄到您的郵寄地址。
  - b) For joint account, each joint account holder will receive a set of registration UID and initial password separately and we will mail them to the principal account holder correspondence address.  
如屬聯名戶口，每個戶口持有人也會收到各自的登記編號和第一次登入密碼，我們會寄到主要戶口持有人的郵寄地址。
  - c) For corporate account, we will mail to the corporate correspondence address.  
如屬公司戶口，我們會郵寄到公司的郵寄地址。
2. The English text shall prevail in the event of any inconsistency between the English and Chinese Versions of this form.  
中英文本如有任何歧義，概以英文本為準。