

Persistent Bar Code
(DMC067)



UT General Pre-processing
(HBUTGEN)



Form ID



Priority - Normal (default)

H B A P

Scanning Team, ASV-NSC

PROCESSING CATEGORY SEPARATOR (For Scanning Documents to Unit Trust General Pre-processing Queue)

Note: This form is **FORBANK USE ONLY**.

* The number of sheets include this separator and one blank sheet (which will be placed by the scanningoperator on top of this separator before scanning).

#Please tick where applicable.

Batch Information (To be completed by the batching party)			
Date	Team	*Total Number of Sheets + (2)	Batch Prepared by

Scanning Mode (To be completed by the batching party)					
#Mode Type				OpenSetup	
<input type="radio"/> Mode 12 (For single-page simplex instruction without bar code)				UTPG - PS	
<input type="radio"/> Mode 13 (For multiple-page duplex instruction)				UTPG - PM	
Scanning Information (To be completed by the scanning party)					
Scanner Number	Julian Date	Batch Number	Number of Sheets Scanned	Remarks	Scanned by

Document Extraction Record						
Date Extracted	Image Address	Archive Index	Initial	Remarks	Date Returned	Initial



G S C

Unit Trust Processing

Bar Code Separator

Declaration 声明

1. I understand and acknowledge that any internet banking and phone banking services applying to the Account will be cancelled with effect from the Account closing date. 本人明白及同意有关上述户口内的任何网上理财及电话理财服务将于户口结束日起取消。
2. I understand once the Account is closed, the pairing relationship with my Onshore Remittance Account will be dismissed immediately. 本人明白，一旦户口结束，与本人在岸汇款户口的配对关系将立即解除。
3. I understand that all funds in my Account will need to be converted into RMB and remitted to my Onshore Remittance Account before the termination of the Wealth Management Connect services. 本人明白，理财通服务终止前，本人户口中的所有资金需全部兑换成人民币并汇入本人在岸汇款户口。

X

Signature(s) 签署

S.V.

Transfer to Onshore Remittance Account 转账至在岸汇款户口

I understand and acknowledge that the Bank will close my Account on the Account closing date and hereby authorise the Bank to make the following arrangements: 本人明白及同意，贵行于户口结束日取消本人上述户口，并授权贵行作如下安排：

Transfer of Closure Balance 结束户口的结余

To transfer all remaining balances (inclusive/net of any credit/debit interest, Monthly Service Fee accrued and any other charges incurred in any Investment/Payment Services) on or before the Account closing date to the Onshore Remittance Account as confirmed below. 于户口结束日或之前，将本人户口的结存余额（连同 / 扣除任何应计存款 / 信贷利息 / 服务月费及任何与投资 / 付款服务有关费用）转至以下确认的在岸汇款户口。

Time Deposit 定期存款

Upon maturity, to transfer the principal and interest to the Onshore Remittance Account as confirmed below. 于到期日，将本人定期存款户口的本息，转至以下确认的在岸汇款户口。

Standing Instructions 常设指示

Any standing instructions under the Account will be cancelled with effect from the Account closing date. 户口内的任何常行指示，将从户口结束日期起取消。

Forex/RMB Switching Service 外币 / 人民币转存服务

Any Forex/ RMB Switching Service Instructions under the Account will be cancelled with effect from the Account closing date. 户口内的任何外币 / 人民币转存服务，将从户口结束日期起取消。

Investment Services 投资服务

Settlement of the outstanding portfolio by selling any outstanding the investment holdings under GBA Wealth Management Connect Southbound Investment Services (380). 沽出大湾区理财通南向通投资服务(380)下的任何投资结余，结清余下的证券。

Disposal Instructions of Balances/Services under the Account 处理户口结余 / 服务的指示

I hereby authorise The Hongkong and Shanghai Banking Corporation Limited ("the Bank") to close the Account as per disposal instructions below.
本人现授权香港上海汇丰银行有限公司「贵行」根据以下处理指示结束户口：

HSBC GBA Wealth Management Connect Account 汇丰大湾区理财通户口

Closing Balance (Inclusive / Net of any Credit Interest / Debit Interest / Monthly Service Fee Accrued / Any Other Service Charges)

结束户口的结余 (连同 / 扣除任何应计存款 / 信贷利息 / 服务月费及任何与其他服务有关费用)

Settle by 按以下指示结清

transferring by Telegraphic Transfer [Please provide the mandatory details: Remittance Amount, Beneficiary Bank details (Address, Bank Code type and Bank Code or Country/Territory and Bank Name), Beneficiary Details (Full Name and Account Number)]:

以电汇汇出 [请提供必须的详细信息: 汇款金额、收款银行详细信息 (地址、银行代码类型和银行代码或国家 / 地区和银行名称)、收款人详细信息 (全名和账号)];

Details of Payment 支款详情		
Currency to be Remitted 汇款货币类别 CNY 人民币	Name of Beneficiary Bank 收款银行名称	Address of Beneficiary Bank 收款银行地址
Name and Address of Intermediary Bank (Optional, for Telegraphic Transfer only) 中间人银行的名称及地址 (非必须填写, 只适用于电汇) (If specified, payment will be paid to the Beneficiary Bank through the Intermediary Bank 如注明, 汇款将会经中间人银行转交收款银行)		
For all Countries/Regions Transfers 所有国家 / 地区的转账 SWIFT BIC <input type="text"/>		
Beneficiary Account No. 收款人户口号码 (Please state address of Beneficiary if Beneficiary Bank is not specified 如无指定的收款银行, 请在此注明收款人地址) Note 注意: It must be your Onshore Remittance Account that is paired with your HSBC GBA Wealth Management Connect Account for fund remittance under the GBA Wealth Management Connect Services. 必须是为进行理财通服务下的资金汇款而与您的汇丰大湾区理财通户口配对的在岸汇款户口。		
Name of Beneficiary 收款人名称		
Address of Beneficiary 收款人地址		
Message or Instructions to Beneficiary/Bank (if any) 给收款人 / 银行的附言或指示 (如有)		
Purpose of Payment (Please note that for overseas payments, there are additional requirements depending on the payment currency and/or the country/region of remittance. You may refer to the Customer Information Sheet for Outward Payments for details.) 汇款目的 (请注意若汇款到海外时, 将视乎收款货币及 / 或收款国家 / 地区而需要提供额外的汇款资料。您可以参阅汇出汇款客户参考资料表了解详情。)		
<input checked="" type="checkbox"/> PERSONAL / GBA WMC FOR CN RESIDENT		

For Bank Use Only 银行专用				
Action to be taken by branches				
Procedures	Initial	Procedures	Initial	
Documents Checked		Documents as per checklist on page 3/3 are completed with the following: - related screen printout(s) obtained - sufficient copy(ies) of this form taken		
Maturity Instruction Maintained				
Maintenance Request to GSC/CPD	Dept	Input Screen	For GSC/CPD Use Only	
<input type="checkbox"/> Add Closing <input type="checkbox"/> Others: <input type="text"/>	GSC	H201	Initial	Date action taken
For Investment Services only Delete Securities account profile with close reason: <input type="checkbox"/> A (normal close) <input type="checkbox"/> Others: <input type="text"/>	GSC	S121		
Safe Custody Charge <input type="checkbox"/> Waived <input type="checkbox"/> Collected				
Remarks	Authorised Signature and Branch/Department Chop			
Checklist for Transfer to Onshore Remittance Account				
Tasks	Information can be obtained from ...	Checked (Please tick)	Action Required (Y/N)	+ Request to be sent to ...
Check Customer Eligibility:				
- CDS Refer List	K072/K074/CRMS - Customer Overview			
- A/C Status	H701/H202			
- A/C Capacity	K071/K076/CRMS - Account Relationship Overview			
- Signing Instructions	Y41			
Check Portfolio of the Account to be Closed:				
- A/C Portfolio	H801/A82			
- FXSI	SIS Enquiry			
- TMD/Deposit Plus/SID	J91/J92			
- (for a/c holding RMB) Check if Existing A/C has P/A	K076			
Locate Service Information of the Account to be Closed:				
- For HKD SAV / CUA				
- Computerise SI	C371/C372			GSC via ASV NSC
- For HKD SAV/FCY SAV				
- Manual SI	PSV NSC			PSV NSC
- TTSI	SIS - TTSI Enquiry			PSV NSC
- FXSI	SIS - FXSI Enquiry			GSC via ASV NSC
- Special Instruction in REM Data Base	R417			GSC - IRTT
- Other TMD Disposal A/C	K077/J92			GSC via ASV NSC
- For INV				
- With Unit Trusts	S642/S57 / CRMS - Relationship - Investment - Details - UT Portfolio			GSC UT

Note: + For recipient as "GSC via ASV NSC", batch full set of account closure documents to ASV NSC as usual.

For other recipients, send/batch related screen printout under copy of the HSBC GBA Wealth Management Connect Account Closure Request Form (Page 1/4) with appropriate instruction marked to the respective action party.