

UT General Pre-processing (HBUTGEN)



Form ID



Priority	- Normal	(default))

HBAP

Scanning Team, ASV-NSC

PROCESSING CATEGORY SEPARATOR (For Scanning Documents to Unit Trust General Pre-processing Queue)

Note: This form is FOR BANK USE ONLY.

* The number of sheets include this separator and one blank sheet (which will be placed by the scanningoperator on top of this separator before scanning).

#Please tick where applicable.

Batch Information (To be completed by the batching party)

	1	01 27						
Date		Team	*Total Number	of Sheets + (2)	Batch	Prepared by		
Scanning Mode (To be completed by the batching party)								
#Mode Type OpenSetup								
Mode 12 (For single-page simplex instruction without bar code)						UTPG - PS		
Mode 13 (For multiple-page duplex instruction)						UTPG - PM		
Scanning Information (To be completed by the scanning party)								
Scanner Number	Julian Date	Batch Number	Number of	Remarks		Scanned by		
Scamic Number	Julian Date	Batch Number	Sheets Scanned	Remarks		Scanned by		

Document Extraction Record							
Date Extracted	Image Address	Archive Index	Initial	Remarks	Date Returned	Initial	
						\perp	
)	

SEC388R1-NSC (080722) W



G S C

Unit Trust Processing

Bar Code Separator

To 致: The Hongkong and Shanghai Banking Corporation Limited 香港上海汇丰银行有限公司

HSBC GBA Wealth Management Connect Account Closure Request Form

Southbound 汇丰大湾区理财通户口结束申请表格

For Bank Use Only 银行专用	Balance settled by Branch Sales Staff ID
Date 日期	day 日 / month 月 / year 年

Please post your completed form to "The Hongkong and Shanghai Banking Corporation Limited, P O Box 72677, Kowloon Central Post Office, Kowloon,
Hong Kong". Or take it to your nearest HSBC Branch.

- Note 注意: 1. Please complete in Block Letters and tick where applicable. 请用正槽填写,并在适当的地方加上剔号。
 - 2. The GBA Wealth Management Connect Account (the "Account") closure is subject to the redemption of all wealth management products and all amounts relating to the investment (including all investment income) successfully remitted back to the Onshore Remittance Account maintained with the partner bank in mainland China. 结束汇丰大湾区理财通户口(「户口」)取决于户口内的全部理财产品已赎回,以及与投资相关的全部款项(包括全部投资收 益)已成功汇回于中国大陆合作银行开设的在岸汇款户口。
 - 3. (Applicable to customer who opts in for eStatement / eAdvice 适用于电子结单 / 电子通知书的客户) Please be reminded to save / print all previously issued eStatement / eAdvice of the to-be-closed account for record-keeping purposes, where applicable. The eStatement / eAdvice will not be available via online banking after the Account is closed. 请在结束户口前打印或储存该户口的电子结单/电子通知书作往后的参考或备用(如适用)。户口一经结束,有关的电子结单/电子通知书将无法透过网上银行使用。
 - 4. \$\psi\$To facilitate the Account closure processing, please complete the "Disposal Instructions of Balances/Services" if there is an outstanding balance. ↑为确保客户能完成注销户口程序,请填妥「户口结余 / 服务的指示」,以便安排处理户口的余额。
 - 5. Your Personal Internet Banking account will be deregistered within 7 days of closing all your accounts with HSBC. 如果您结束所有汇丰户口,您的个人网上理财户口将于 7 日内被注销。
 - 6. The Bank may verify information with you by phone in order to complete the Account closure. Please ensure you have a valid contact telephone number maintained in the Bank's record. 为完成结束户口程序,银行有可能以电话与您核实资料,请确保您已于本行记录了有效的联络电话号码。
 - 7. For mail-in instruction, please be reminded to first redeem all wealth management products in the Account before submitting the account closure request form. 如您是通过邮寄提交户口结束申请表格,请在提交申请前先赎回户口内的全部理财产品。
 - 8. Please print out the full set of HSBC GBA Wealth Management Connect Account Closure Request Form including document covers. Missing pages/ incomplete form will not be processed. 请务必填妥并打印完整的汇丰大湾区理财通户口结束申请表格包括文件封面。缺页或不完整的申请表将不予处理。

Details of Account to be Closed 结束户口的资料

Account Name 户口名称	Account Number 户口号码					
Future Correspondence Address (Please complete if different from the Bank's record) 日后通回特別(加巨大祭	- (4) - (4)					
Future Correspondence Address (Please complete if different from the Bank's record.) 日后通讯地址(如与本行纪录不同,请填写此栏。)						

Account Closure Reasons (Applicable to HSBC GBA Wealth Management Connect Account)

	(话用于汇丰大湾区理财通户口)					
Account C	ure Reasons 结束户口原因					
\square (1)	ermanent departure from Hong Kong. 永久离开香港。					
\square (2)	and transferred to other bank due to attractive account offers. 资金转移至其他银行,因为其他银行提供更吸引优惠。					
(3)	und consolidated to main bank. 资金合并于主要银行。					
☐ <i>(4)</i>	ot satisfied with the branch service e.g. limited branch, inconvenient location, queue time. 满意分行提供的服务,例如:分行数量太少,分行位置不方便,轮侯时间过长。					
☐ (5)	ot satisfied with call centre service e.g. waiting time. 不满意电话理财服务,例如: 等候时间太长。					
(6)	ot satisfied with online capability. 不满意网上理财服务。					
(7)	ot satisfied with product / features. 不满意产品 / 服务。					
(8)	ot satisfied with fees and pricing. 不满意收费及产品价格。					
(9)	ot satisfied with HSBC brand. 不满意汇丰的品牌形象。					
(10)	Premier only) Not satisfied with RM service (e.g. due to frequent change of RM). (只适用于汇丰卓越理财)不满意客户经理的服务,例如:经常转换客户经	6理。				
[] (11)	ot satisfied with the Bank's compliance / KYC review process. 不满意银行的审查 / KYC 程序。					
☐ <i>(12)</i>	educe number of accounts in HSBC (e.g. holding 2 accounts before, (Premier + Wealth Management Connect), now reduce to 1 account). 少持有汇丰的户口数量,例如:由原本的 2 个户口减少到 1 个户口。					
(13)	unds usage for life events (marriage, education, home renovation). 资金用于其它用途,例如:结婚、教育、楼宇装修。					
(14)	No longer use the product / features / account. 不再使用这个产品 / 服务 / 户口。					
☐ <i>(15)</i>	Expiry of promotions. 推广优惠期满。					
(16)	5) Not satisfied with staff behaviour, e.g. staff attitude, staff unhelpful. 不满意职员服务,例如:职员态度、职员不友善。					
(17)	7) Staff error e.g. lack of knowledge, error. 职员错误,例如缺乏知识,犯错。					
[] (18)	8) Mis-informed / Mis-sold product. 提供错误讯息,错误销售产品。					
(19)	9) Unhappy with the Bank's policy. 不满意银行政策。					
(20)						
(21)	efuse to provide reason. 拒绝提供原因。					
(22)	eceased. 死亡。					
<u>(23)</u>	ther(s) 其他 (Please specify 请注明:)				

Declaration 声明

- 1. I understand and acknowledge that any internet banking and phone banking services applying to the Account will be cancelled with effect from the Account closing date. 本人明白及同意有关上述户口内的任何网上理财及电话理财服务将于户口结束日起取消。
- 2. I understand once the Account is closed, the pairing relationship with my Onshore Remittance Account will be dismissed immediately. 本人明白,一旦户口结束,与本人在岸汇款户口的配对关系将立即解除。
- 3. I understand that all funds in my Account will need to be converted into RMB and remitted to my Onshore Remittance Account before the termination of the Wealth Management Connect services. 本人明白,理财通服务终止前,本人户口中的所有资金需全部兑换成人民币并汇入本人在岸汇款户口。



X

Signature(s) 签署

Transfer to Onshore Remittance Account 转账至在岸汇款户口

I understand and acknowledge that the Bank will close my Account on the Account closing date and hereby authorise the Bank to make the following arrangements: 本人明白及同意,贵行于户口结束日取消本人上述户口,并授权贵行作如下安排:

Transfer of Closure Balance 结束户口的结余

To transfer all remaining balances (inclusive/net of any credit/debit interest, Monthly Service Fee accrued and any other charges incurred in any Investment/Payment Services) on or before the Account closing date to the Onshore Remittance Account as confirmed below. 于户口结束日或之前,将本人户口的结存余额(连同 / 扣除任何应计存款 / 信货利息 / 服务月费及任何与投资 / 付款服务有关费用)转至以下确认的在岸汇款户口。

Time Deposit 定期存款

Upon maturity, to transfer the principal and interest to the Onshore Remittance Account as confirmed below. 于到期日,将本人定期存款户口的本息,转至以下确认的在岸汇款户口。

Standing Instructions 常设指示

Any standing instructions under the Account will be cancelled with effect from the Account closing date. 户口内的任何常行指示,将从户口结束日期起取消。

Forex/RMB Switching Service 外币 / 人民币转存服务

Any Forex/ RMB Switching Service Instructions under the Account will be cancelled with effect from the Account closing date. 户口内的任何外币 / 人民币转存服务,将从户口结束日期起取消。

Investment Services 投资服务

Settlement of the outstanding portfolio by selling any outstanding the investment holdings under GBA Wealth Management Connect Southbound Investment Services (380). 沾出大湾区理财通南向通投资服务(380)下的任何投资结余,结清余下的证券。

♥Disposal Instructions of Balances/Services under the Account 处理户口结余/服务的指示

		Shanghai Banking Corporation I 亍」根据以下处理指示结束户口:	Limited ("the Bank") to close the Account as per disposal instructions below.				
☐ HSBC] HSBC GBA Wealth Management Connect Account 汇丰大湾区理财通户口						
结束	户口的结余 (连同/扣除任何D	et of any Credit Interest/ Debit I. 设计存款 / 信货利息 / 服务月费及任何	nterest/ Monthly Service Fee Accrued / Any Other Service Charges) 何与其他服务有关费用)				
	le by 按以下指示结清	Tuanafan [Dlagga muasida tha ma	undatory details: Remittance Amount, Beneficiary Bank details (Address, Bank Code type				
	and Bank Code or Country/	Territory and Bank Name), Bene	indutory details. Remittance Amount, Beneficiary Bank details (Address, Bank Code type efficiary Details (Full Name and Account Number)]: (地址、银行代码类型和银行代码或国家/地区和银行名称)、受款人详细信息(全名和账号)]:				
	Details of Payment 支款详	青					
	Currency to be Remitted 汇款货币类别	Name of Beneficiary Bank 受款银行名称	Address of Beneficiary Bank 受款银行地址				
	CNY 人民币						
			lelegraphic Transfer only) 中间人银行的名称及地址 (非必须填写,只适用于电汇) ough the Intermediary Bank 如注明,汇款将会经中间人银行转交受款银行)				
	For all Countries/Regions Transfers 所有国家 / 地区的转账 SWIFT BIC						
	Beneficiary Account No. 受款人户口号码 (Please state address of Beneficiary if Beneficiary Bank is not specified 如无指定的受款银行,请在此注明受款人地址) Note 注意: It must be your Onshore Remittance Account that is paired with your HSBC GBA Wealth Management Connect Account for fund remittance under the GBA Wealth Management Connect Services. 必须是为进行理财通服务下的资金汇款而与您的汇丰大湾区理财通户口配对 的在岸汇款户口。						
	Name of Beneficiary 受款	人名称					
	Address of Beneficiary 受款人地址						
	Message or Instructions to Beneficiary/Bank (if any) 给受款人 / 银行的附言或指示(如有)						
	country/region of remittan	ce. You may refer to the Custon	nents, there are additional requirements depending on the payment currency and/or the ner Information Sheet for Outward Payments for details.) 国家/地区而需要提供额外的汇款资料。您可以参阅汇出汇款客户参考资料表了解详情。)				
	PERSONAL / GBA W	VMC FOR CN RESIDENT					

For Bank Use Only 银行专用							
Action to be taken by branches							
Procedures		Initial	Procedures				Initial
Documents Checked			Documents as following: - related screen	•		completed with the	
Maturity Instruction Maintained			- sufficient co		form taken		
Maintenance Request to GSC/CPD			Dept	Input Screen	For C	Date action taken	1
Add Closing			GSC	H201			
Others:							
For Investment Services only							
Delete Securities account profile with close reason: A (normal close)			GSC	S121			
☐ Others:							
Safe Custody Charge Waived Collected							
Remarks			Authorised Si	gnature and Br	anch/Departmo	ent Chop	
Checklist for Transfer to Onshore Remittance Account	nt						
Tasks	Information car	n be obta	ined from	Checked (Please tick)	Action Required (Y/N)	+ Request to be ser	nt to
Check Customer Eligibility:							
- CDS Refer List	K072/K074/CRMS	- Custor	ner Overview				
- A/C Status	H701/H202						
- A/C Capacity	K071/K076/CRMS - Account Relation		erview				
- Signing Instructions	Y41						
Check Portfolio of the Account to be Closed:							
- A/C Portfolio	H801/A82						
- FXSI	SIS Enquiry						
- TMD/Deposit Plus/SID	J91/J92						
- (for a/c holding RMB) Check if Existing A/C has P/A	K076						
Locate Service Information of the Account to be Clos	ed:						
- For HKD SAV / CUA							
- Computerise SI	C371/C372					GSC via ASV NSC	
- For HKD SAV/FCY SAV							
- Manual SI PSV NSC						PSV NSC	
- TTSI	SIS - TTSI Enquiry	у				PSV NSC	
- FXSI	SIS - FXSI Enquiry	у				GSC via ASV NSC	
- Special Instruction in REM Data Base	R417					GSC - IRTT	
- Other TMD Disposal A/C	K077/J92					GSC via ASV NSC	
- For INV							
- With Unit Trusts	S642/S57 / CRMS Investment - Detail					GSC UT	

Note: + For recipient as "GSC via ASV NSC", batch full set of account closure documents to ASV NSC as usual.

For other recipients, send/batch related screen printout under copy of the HSBC GBA Wealth Management Connect Account Closure Request Form (Page 1/4) with appropriate instruction marked to the respective action party.