

# HSBC Broking Securities (Asia) Limited

HSBC Broking Mobile Trading App User Guide

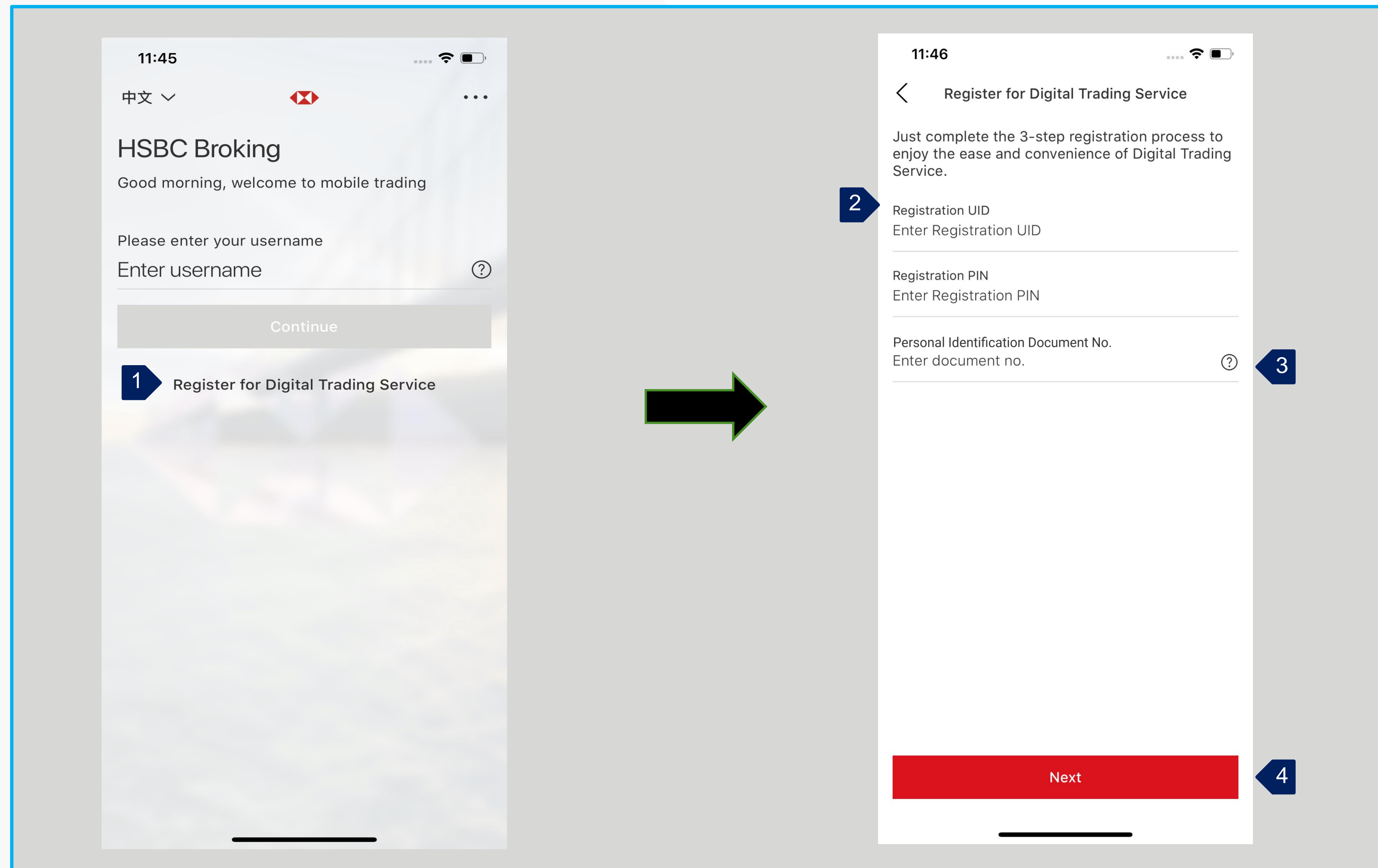


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# HSBC Broking Mobile Trading App

Log On or Registration > Registration for Digital Trading Services

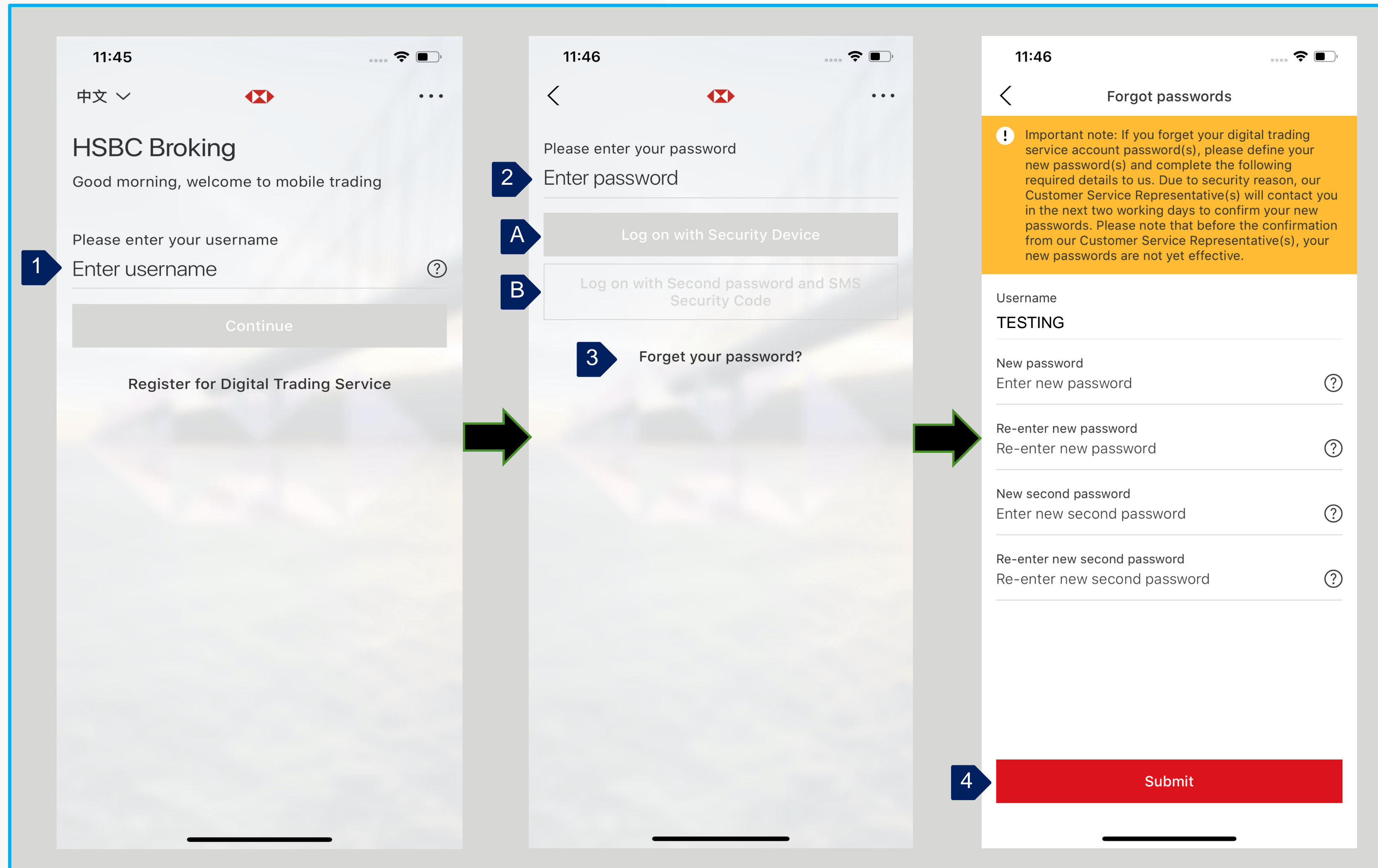


After you have received the Registration UID and Registration PIN from us, you may complete the registration by following the steps below:

1. Press "Register for Digital Trading Service".
2. Enter your Registration UID, Registration PIN and registered Personal ID No.
3. If you are not certain about which Personal ID No. should be entered, you may press the "?" for detailed guide.
4. After all fields are correctly filled, you may press "Next".

# HSBC Broking Mobile Trading App

Log On or Registration > Log On to Mobile Trading App



You will then be able to setup the log on mode.

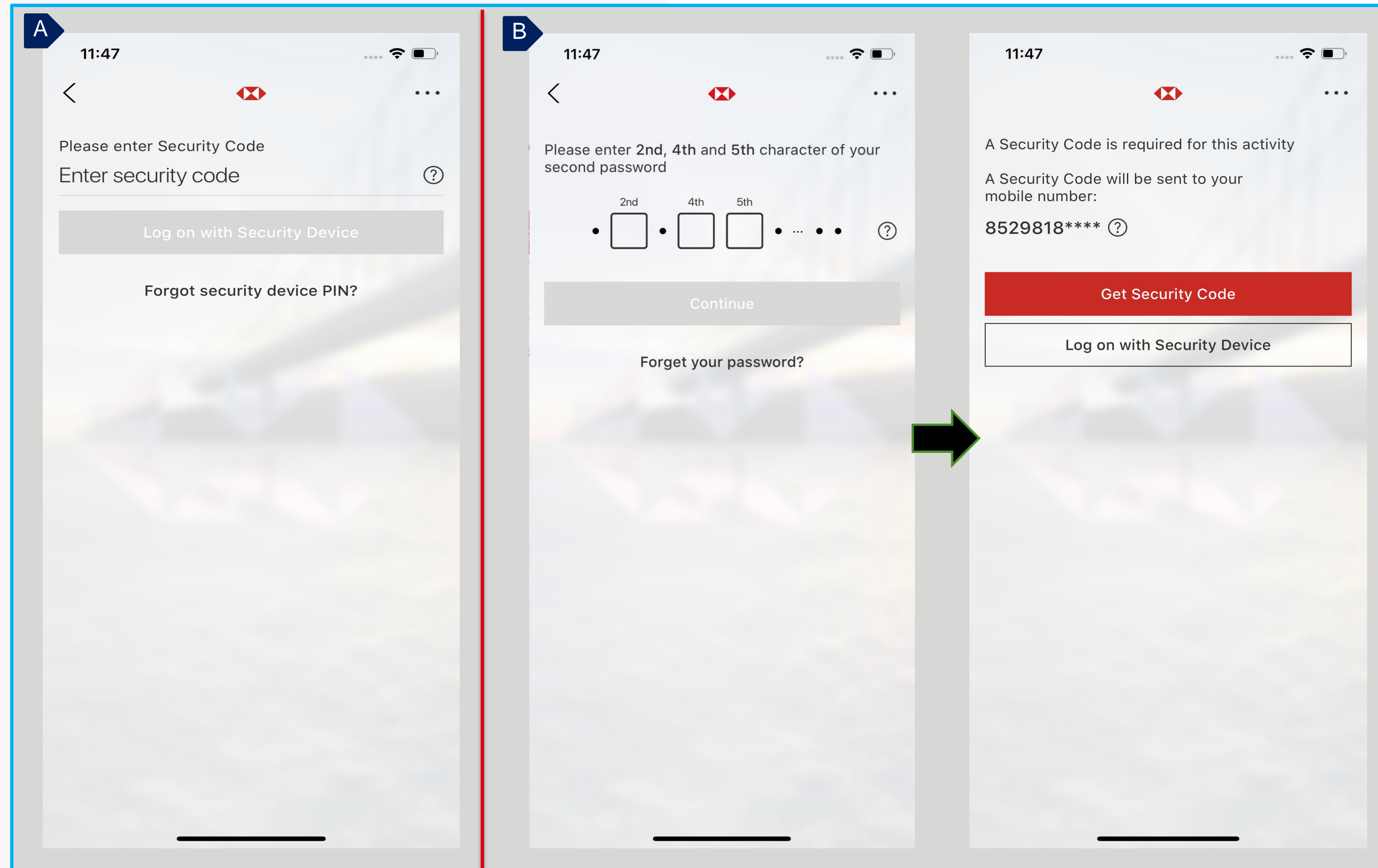
1. Enter your username and press "Continue".
2. Enter your password and then select one of the log on methods.
  - A. Log on with Security Device
  - B. Log on with Second password and SMS one time Security code
3. If you forgot your password, you can press "Forget your password?".
4. Fill in all the fields and press "Submit". Our Customer Service Representative will contact you in 2 working days.

You can always contact your designated Relationship Manager if you wish to place an order before your digital trading service is resumed.



# HSBC Broking Mobile Trading App

## Log On to Mobile Trading App



### Method A: Log on with Security Device

Enter the 6-digit security code generated by your security device to log on.

### Method B: Log on with Second password and SMS Security Code

1. Please follow the instruction on screen and enter the corresponding characters of your second password as required then press "Continue".
2. Press "Get Security Code" and check your mobile phone for an SMS with a one time security code. Enter the one time security code to log on.

# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Portfolio Tracking

3:22 5G

Portfolio

Hong Kong China A Shares US UK

Hang Seng Index  
24,671.52 ▲ +315.81 +1.30%

Account number

Market value  
HKD

Real time quote as at 15:22 on 16 Feb 2022 HKT

Symbol	Price	Change
00001 CK HUTCHISON H...	57.400 HKD	▼ -0.100 -0.174%
00005 HSBC HOLDINGS...	42.950 HKD	▲ +0.200 +0.468%
00010 HANG LUNG GRO...	19.220 HKD	▲ +0.280 +1.478%
00700 TENCENT HLDGS...	490.800 HKD	▲ +43.600 +9.750%

1

2

Portfolio Watchlist Buy Market More

After you have successfully logged on, you will be able to see this landing page – where you can view your portfolio.

1. You can find information about your holdings including current price, daily changes and market value etc.
2. You can always return to this page by pressing the “Portfolio” tab on the bottom left hand corner of the bottom menu.

# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Stock Quote

The image illustrates the steps to find a stock quote in the HSBC Broking Mobile Trading App:

- Step 1:** On the landing page or any page, you can find a magnifier on the top right hand corner, press to enter stock quote mode.
- Step 2:** Enter the stock code or stock name for a predictive search. Press the corresponding stock you want.
- Step 3:** You should then be able to view the stock quote. You can also press to buy or sell the stocks here.

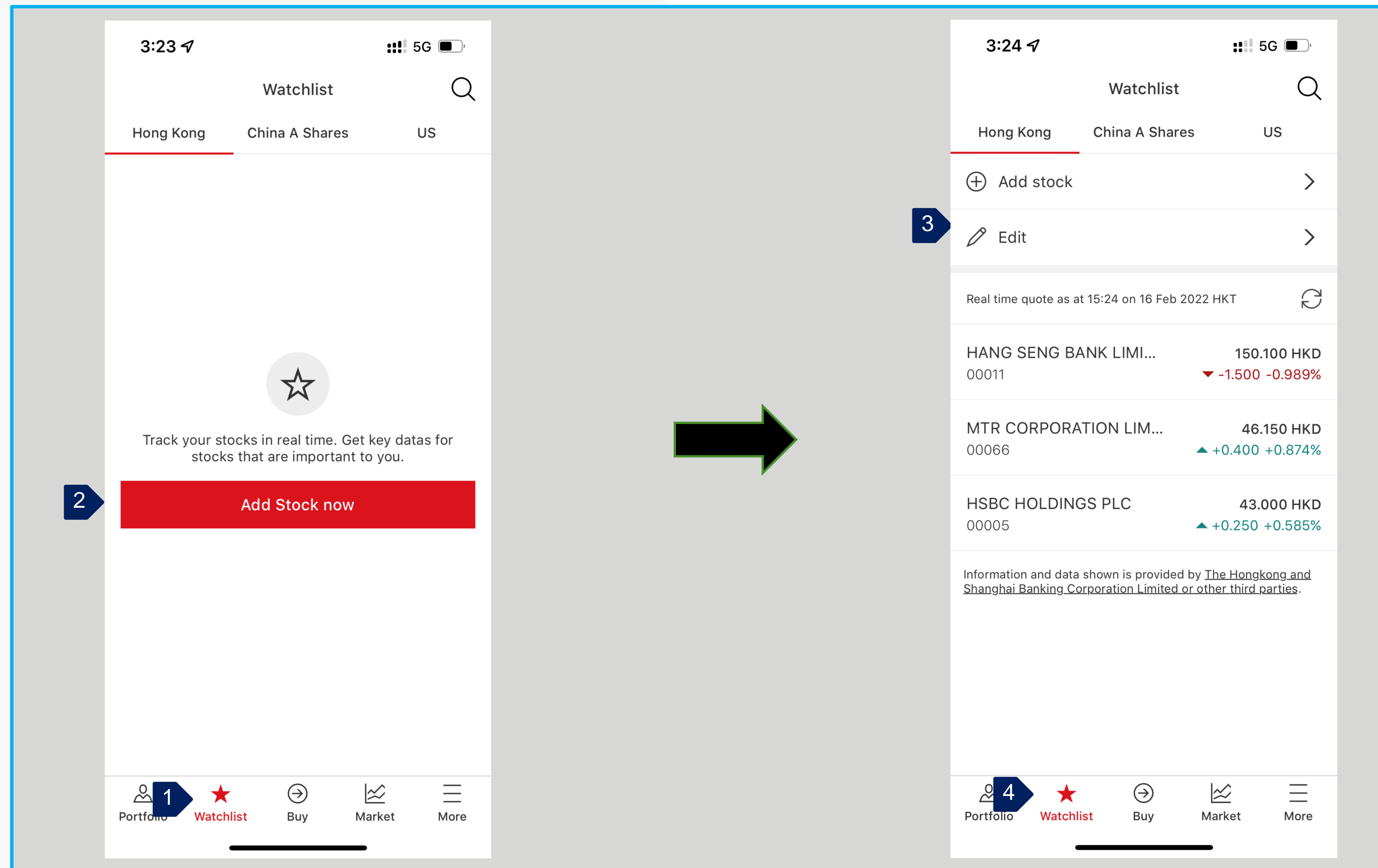
You may get a stock quote with price and other information by following the steps below:

1. On the landing page or any page, you can find a magnifier on the top right hand corner, press to enter stock quote mode.
2. Enter the stock code or stock name for a predictive search. Press the corresponding stock you want.
3. You should then be able to view the stock quote. You can also press to buy or sell the stocks here.



# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Watchlist



1. You will be able to access your watchlist by pressing the 2<sup>nd</sup> tab on the bottom menu.
2. Your watchlist would be empty at first. Press the red button to add stock to your watchlist and start tracking.
3. If you have an existing watchlist, you can add more stock to it or press edit to amend or remove stock. You can also press individual stock to access the stock quote page.
4. You can always return to this page by pressing the "Watchlist" tab on the bottom menu.



# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Order Placement

The image displays three sequential screenshots of the HSBC Broking Mobile Trading App interface, illustrating the order placement process for HSBC HOLDINGS PLC (00005 HK).

**Screenshot 1 (Left):** Shows the stock quote page. The current price is 30.700 HKD, up 0.550 (+1.82%). The bid is 30.650 and the ask is 30.700. A line chart shows price movement over time. A red button labeled "Buy" is highlighted with a blue arrow and the number 1.

**Screenshot 2 (Middle):** Shows the "Buy" order placement screen. The order type is "Enhanced Limit Order" and the price is 30.700. The quantity is 400. A red button labeled "Preview Order" is highlighted with a blue arrow and the number 2.

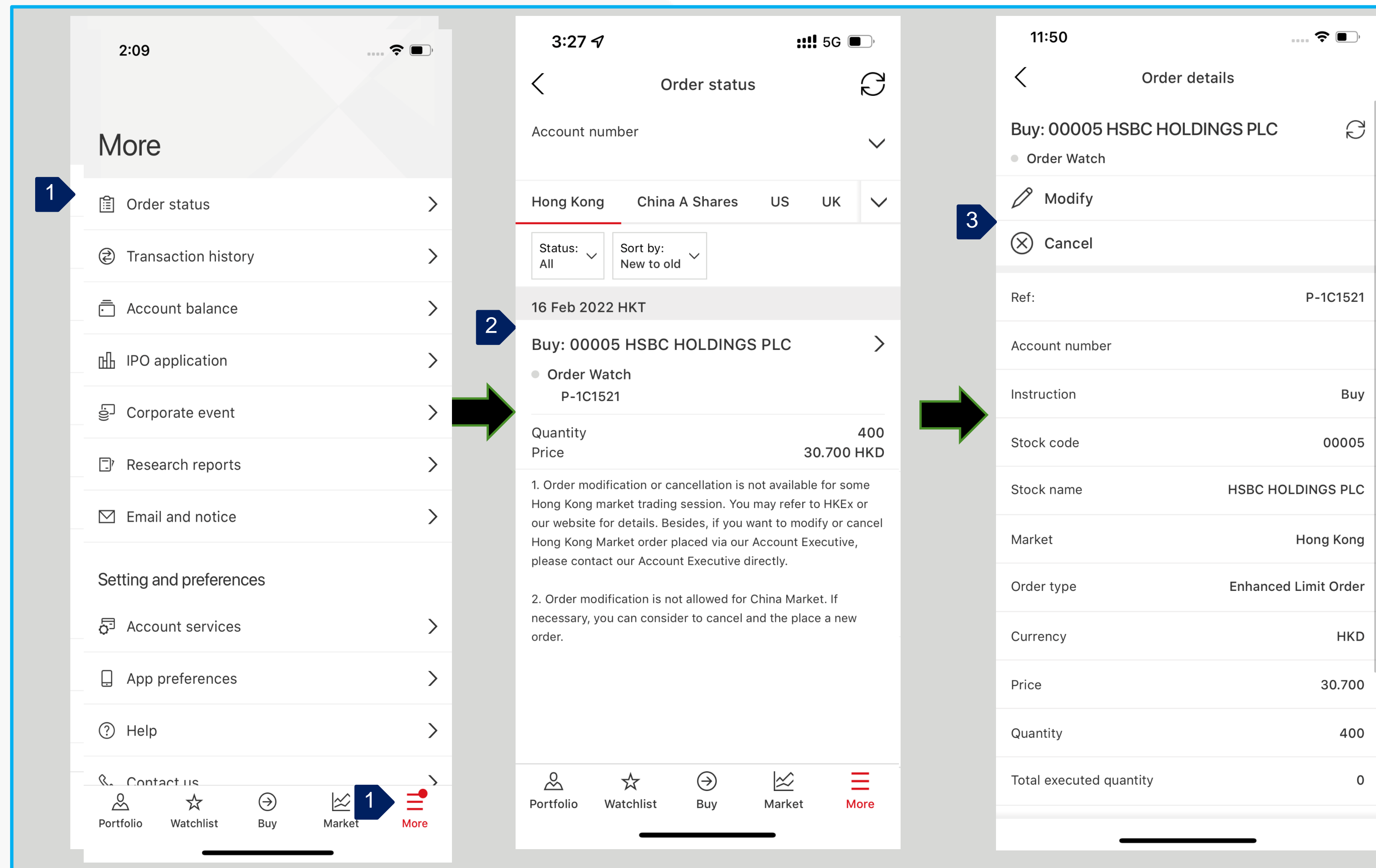
**Screenshot 3 (Right):** Shows the "Verify" screen. The order details are confirmed: Account number, Instruction (Buy), Stock code (00005), Stock name (HSBC HOLDINGS PLC), Market (Hong Kong), Lot size (400), Order type (Enhanced Limit Order), Currency (HKD), Price (30.700), Quantity (400), Expiry date (15 Mar 2021 HKT), and Est. Consideration (12,393.94). A red button labeled "Confirm" is highlighted with a blue arrow and the number 3.

1. On the stock quote page, press "Buy" or "Sell" to place your order.
2. Input the order details here, including order type, price, quantity and expiry date.
3. Review all the details to ensure that all the information is correct and read the important note, then press "Confirm" to place the order.



# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Order Status



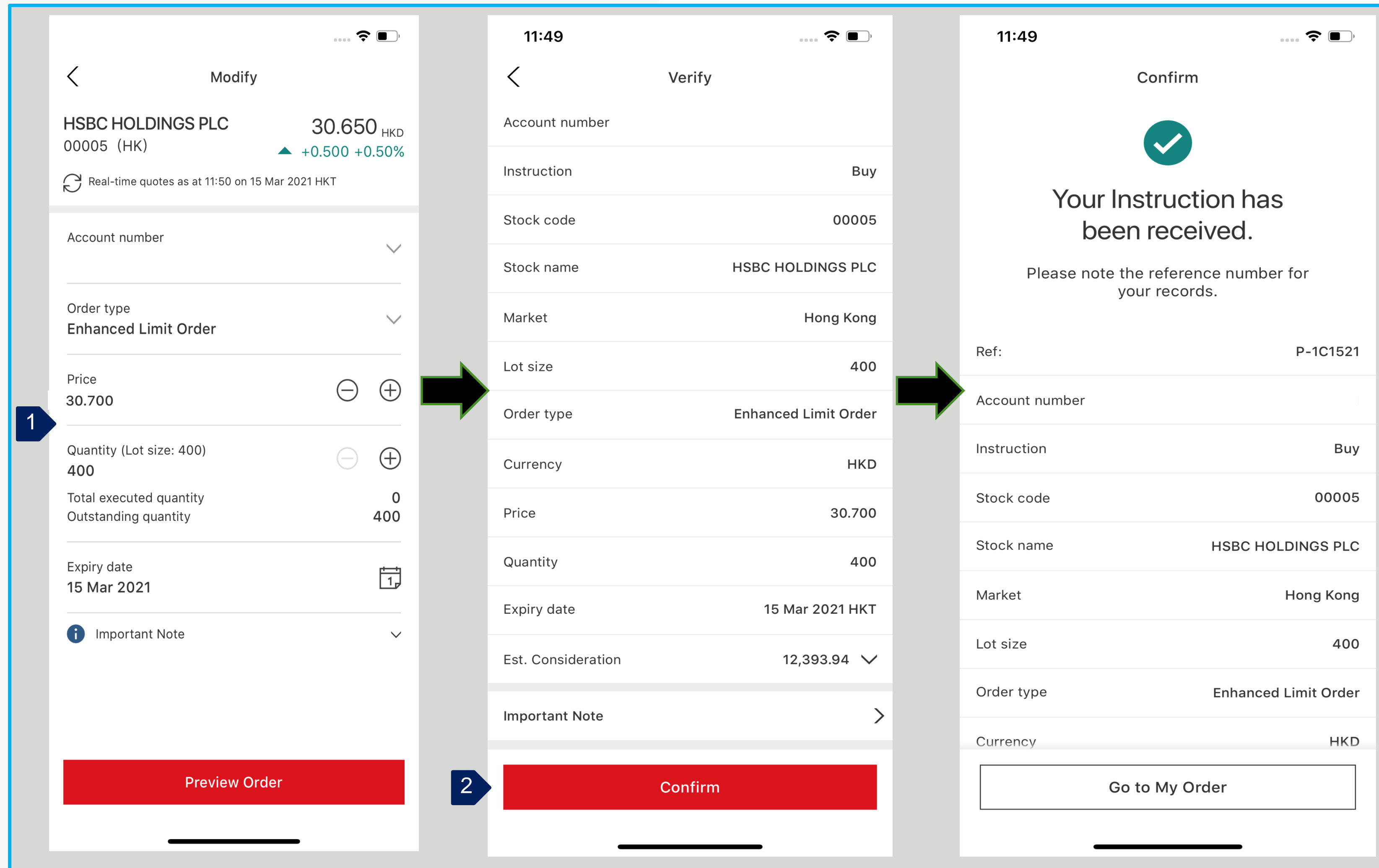
On the order acknowledgement page, you may press “Go to My Order” to view the order status page.

1. Press the “More” tab at the bottom right hand corner and press “Order Status” to check the order status.
2. Press the corresponding order to view the order details and status.
3. In case you need to amend or cancel the order, you may press “Modify” or “Cancel” to perform order amendment or cancellation respectively.



# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Order Amendment



On the order status page after pressing “Modify”, you will be able to view the Modify page.

1. On the Modify page, you can amend the price and / or quantity and / or expiry date of your order.
2. Review all the details to ensure that all information is correct, read the important note, and press “Confirm” to place the instruction.

# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Order Cancellation

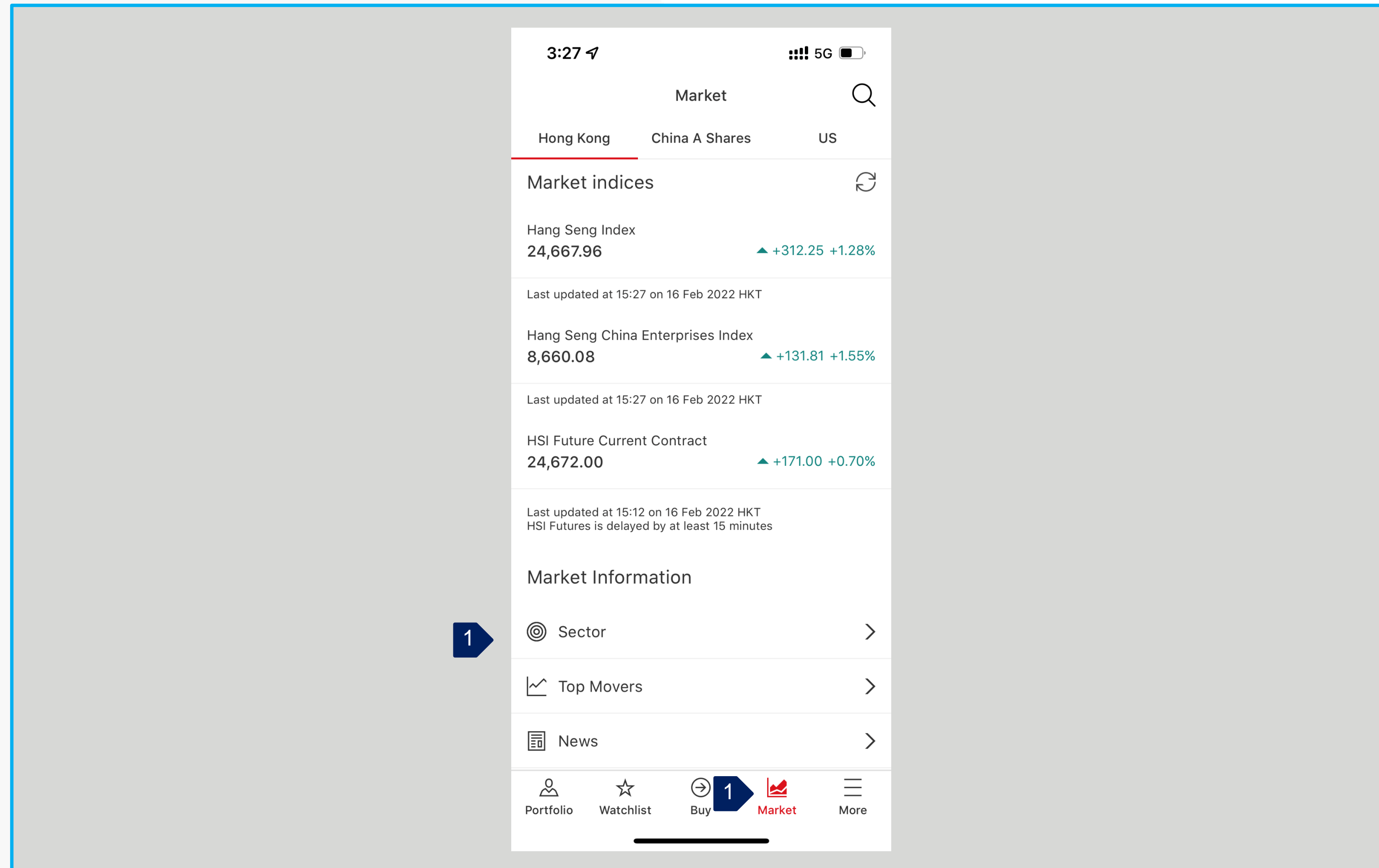
The image displays three sequential screenshots from the HSBC Broking Mobile Trading App, illustrating the order cancellation process. The first screenshot (2:10) shows the 'Order details' page for a buy order of 00005 HSBC HOLDINGS PLC. A blue arrow labeled '1' points to the 'Cancel' button. The second screenshot (2:30) shows the 'Verify' page with order details and a red 'Confirm' button highlighted by a blue arrow labeled '2'. The third screenshot (2:30) shows the 'Confirm' page with a green checkmark and the message 'Your Instruction has been received.'

1. On the order status page after pressing "Cancel", you will be able to view the Cancel Buy Order page.
2. Review all the details and ensure all information is correct, read the important note, and press "Confirm" to place the instruction.



# HSBC Broking Mobile Trading App

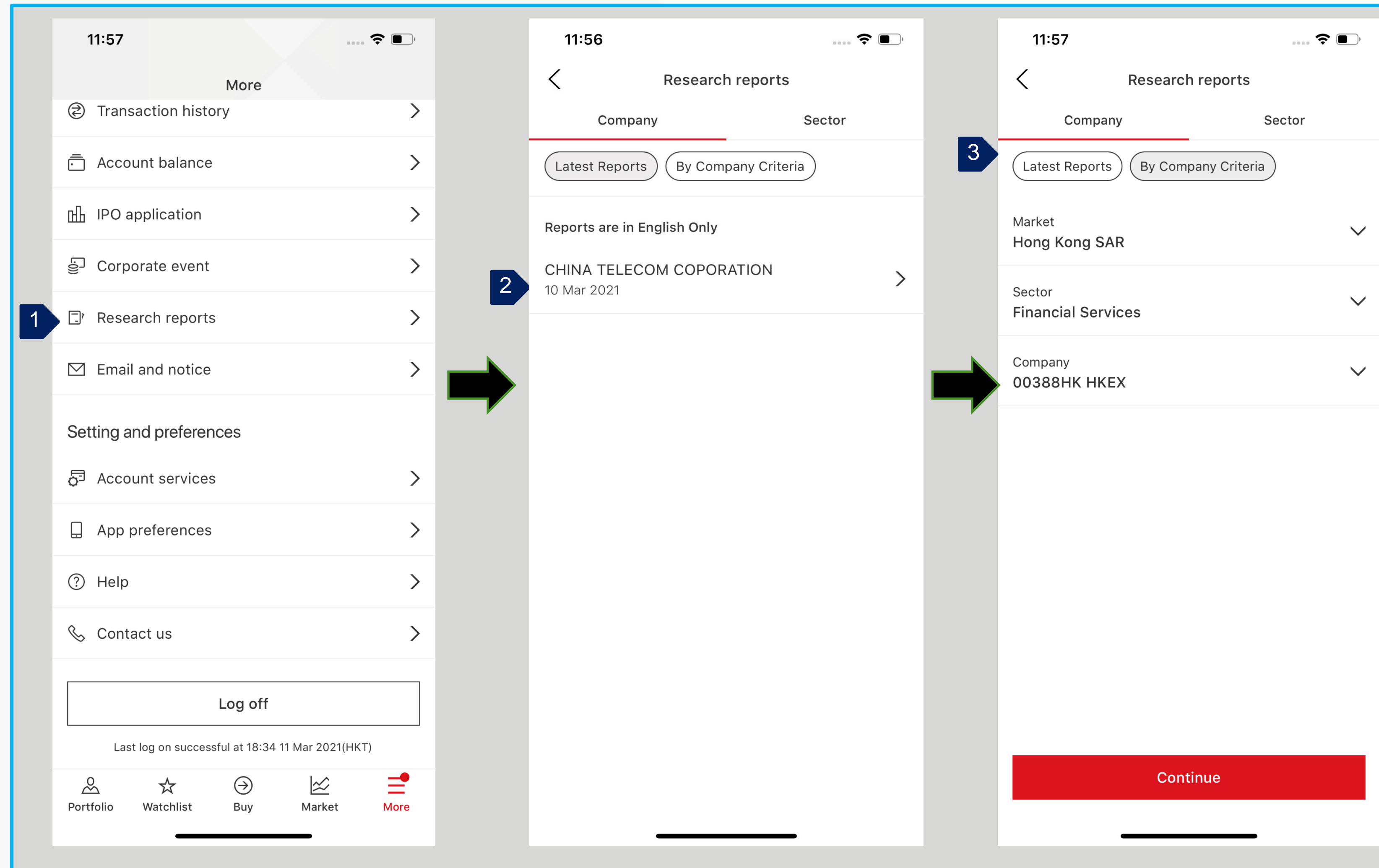
Trading or Stock Tracking Related > Market Data



1. You may press the “Market” tab at the bottom menu to view the market page with various market information, including indices update, performance by sector, top movers, news, etc.

# HSBC Broking Mobile Trading App

Trading or Stock Tracking Related > Research Reports

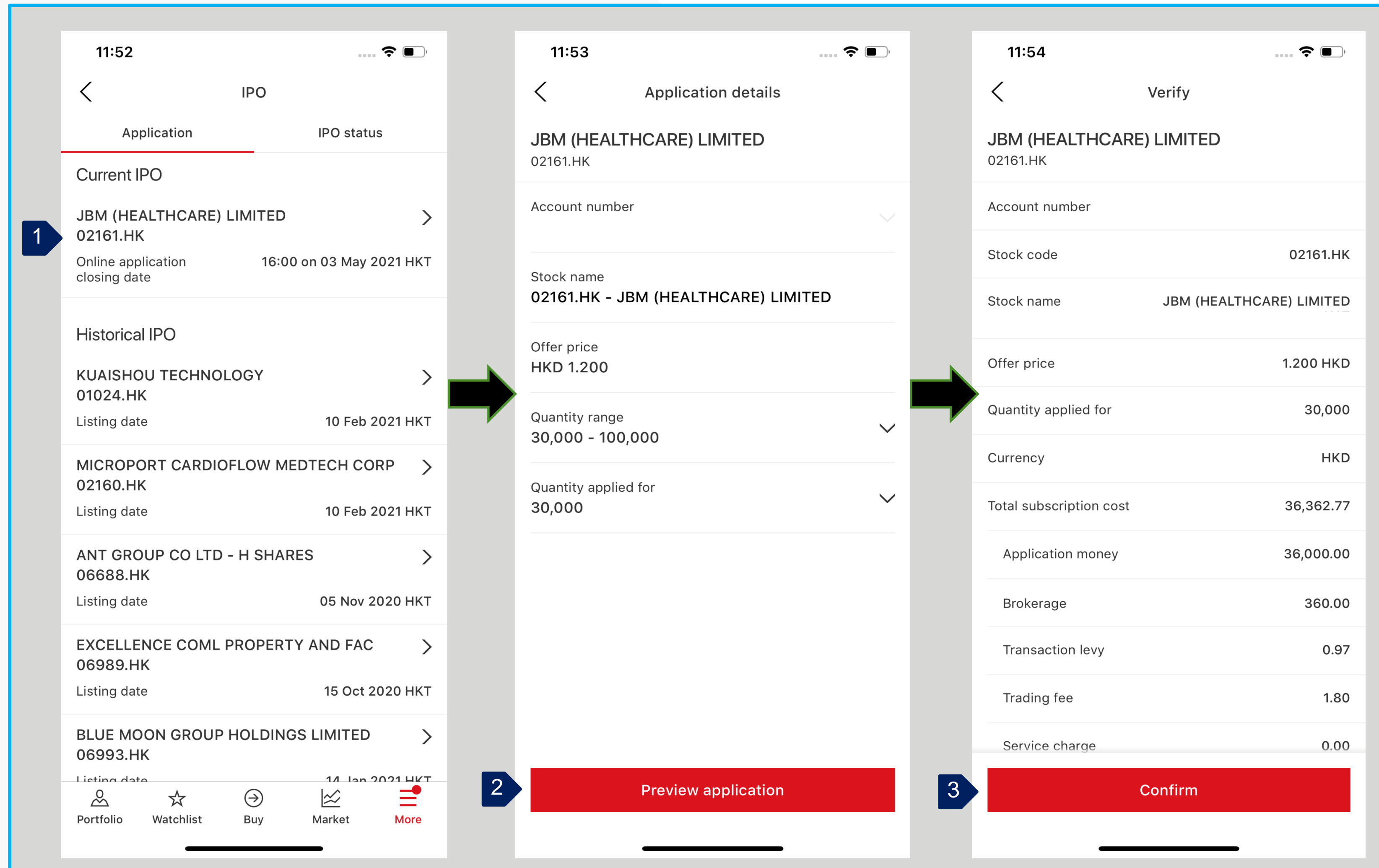


You can access the research reports by following the steps below:

1. Press the “More” tab on the bottom menu then press “Research reports”.
2. After agreeing to the declaration and Terms and Conditions, you will be able to see the latest research reports.
3. You can also search the reports by market, company or sector.

# HSBC Broking Mobile Trading App

IPO Applications and Corporate Events > IPO Application Placement



You can submit IPO application by following the steps below:

1. Press the “More” tab on the bottom menu, then press “IPO application”, you will be able to see a list of IPO that you can apply under the “Current IPO” section. You may press the one that you want and start the application.
2. After agreeing to the Terms and Conditions, choose the quantity range and quantity that you would like to apply.
3. Review all the details and ensure all information is correct, then press “Confirm” to place the application.

# HSBC Broking Mobile Trading App

IPO Applications and Corporate Events > IPO Application Amendment and Cancellation

The image displays three sequential screenshots from the HSBC Broking Mobile Trading App, illustrating the steps to amend or cancel an IPO application.

**Screenshot 1: IPO Applications List**  
The screen shows a list of IPO applications under the heading "IPO". The "IPO status" column is highlighted with a red line and a blue arrow labeled "1". The applications listed are:

- JBM (HEALTHCARE) LIMITED - UAT (02161.HK): Quantity applied for 30,000, Application date 11:54 on 15 Mar 2021 HKT, Status Active.
- JBM (HEALTHCARE) LIMITED - UAT (02161.HK): Quantity applied for 30,000, Application date 11:53 on 15 Mar 2021 HKT, Status Cancelled.
- JBM (HEALTHCARE) LIMITED - UAT (02161.HK): Quantity applied for 8,000, Application date 18:30 on 05 Feb 2021 HKT, Status Cancelled.
- KUAISHOU TECHNOLOGY (01024.HK): Quantity applied for 100, Application date 10:02 on 05 Feb 2021 HKT, Status Completed.
- NEWLINK TECHNOLOGY INC (09600.HK): Quantity applied for 20,000.

**Screenshot 2: Application details**  
The screen shows the details for the selected application: "JBM (HEALTHCARE) LIMITED - UAT" (02161.HK). The status is "Active". The details include:

- Account number
- Application date: 11:54 on 15 Mar 2021 HKT
- Stock code: 02161.HK
- Stock name: JBM (HEALTHCARE) LIMITED - UAT
- Offer price: 1.200 HKD
- Quantity applied for: 30,000
- Currency: HKD
- Total subscription cost: 36,362.77
- Allotment date: 07 May 2021 HKT
- Refund date: 07 May 2021 HKT

At the bottom, there are two buttons: "Modify" (red) and "Cancel application" (white).

**Screenshot 3: Verify**  
The screen shows the verification details for the application:

- Account number
- Stock code: 02161.HK
- Stock name: JBM (HEALTHCARE) LIMITED - UAT
- Offer price: 1.200 HKD
- Quantity applied for: 30,000
- Currency: HKD
- Total amount release: 36,362.77

At the bottom, there is a red button labeled "Confirm Cancel".

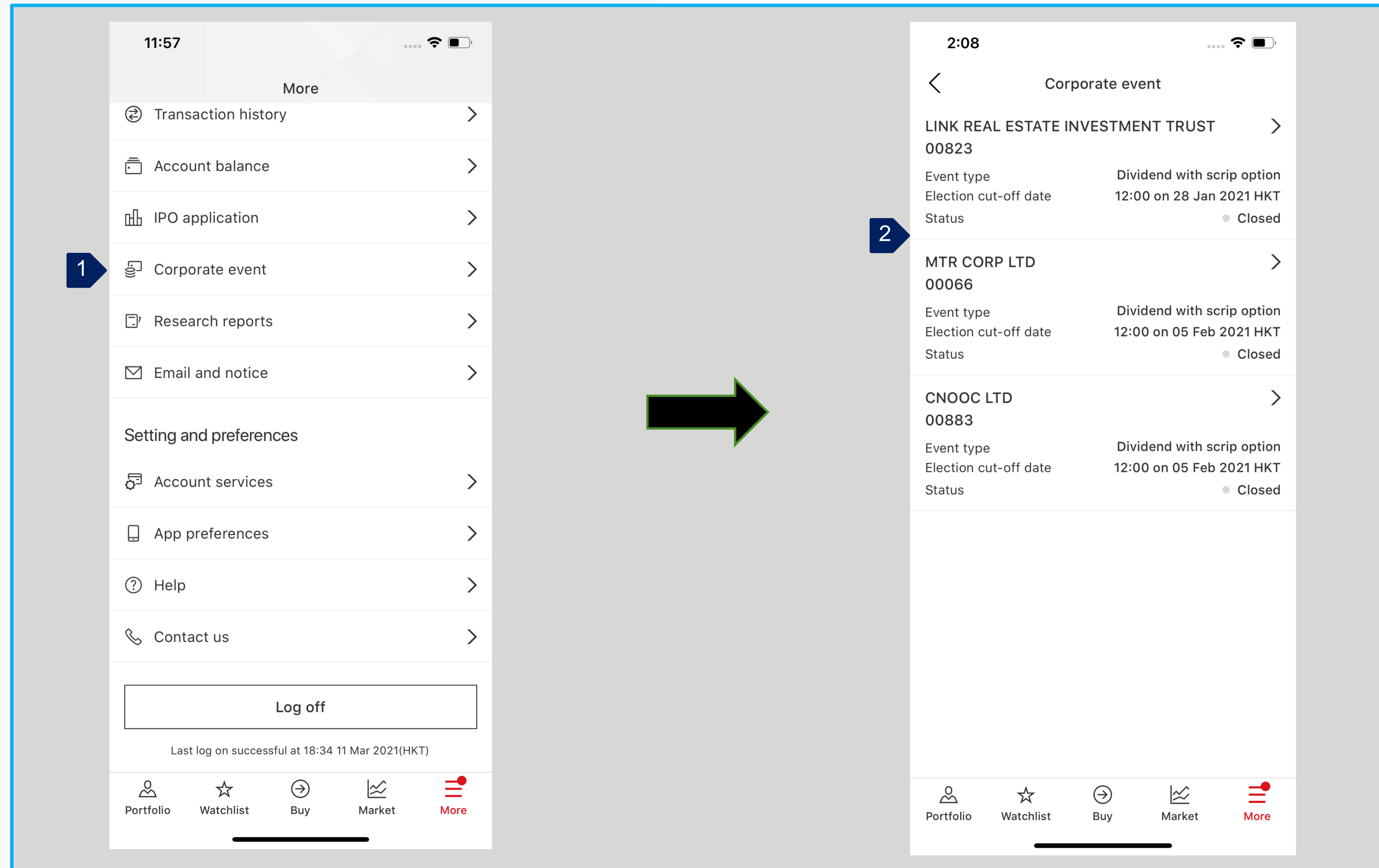
You can amend / cancel the IPO application by following the steps below:

1. Under the "IPO status", you will be able to see all IPO application(s) that you have placed (if any). Only those with "Active" status can be amended or cancelled.
2. Press the IPO application that you want to modify or cancel.
3. Review all the details and ensure all information is correct, then press "Confirm" to cancel or amend the application.



# HSBC Broking Mobile Trading App

IPO Applications and Corporate Events > Corporate Event Election and Amendment



You may place instruction for the corporate event by following the steps below:

1. Press the "More" tab on bottom menu, then press "Corporate event".
2. You will be able to see a list of active corporate event(s) (if any), you can then press to select an event to elect or amend.

# HSBC Broking Mobile Trading App

Account Details and Services > Transaction History

The image shows two screenshots of the HSBC Broking Mobile Trading App. The first screenshot, on the left, shows the 'More' menu with 'Transaction history' selected. A blue arrow labeled '1' points to the 'Transaction history' option. The second screenshot, on the right, shows the 'Transaction history' screen with a date range filter applied. A blue arrow labeled '2' points to the date range filter. A large black arrow points from the first screenshot to the second.

**Step 1:** Press the "More" tab on bottom menu, then press "Transaction history".

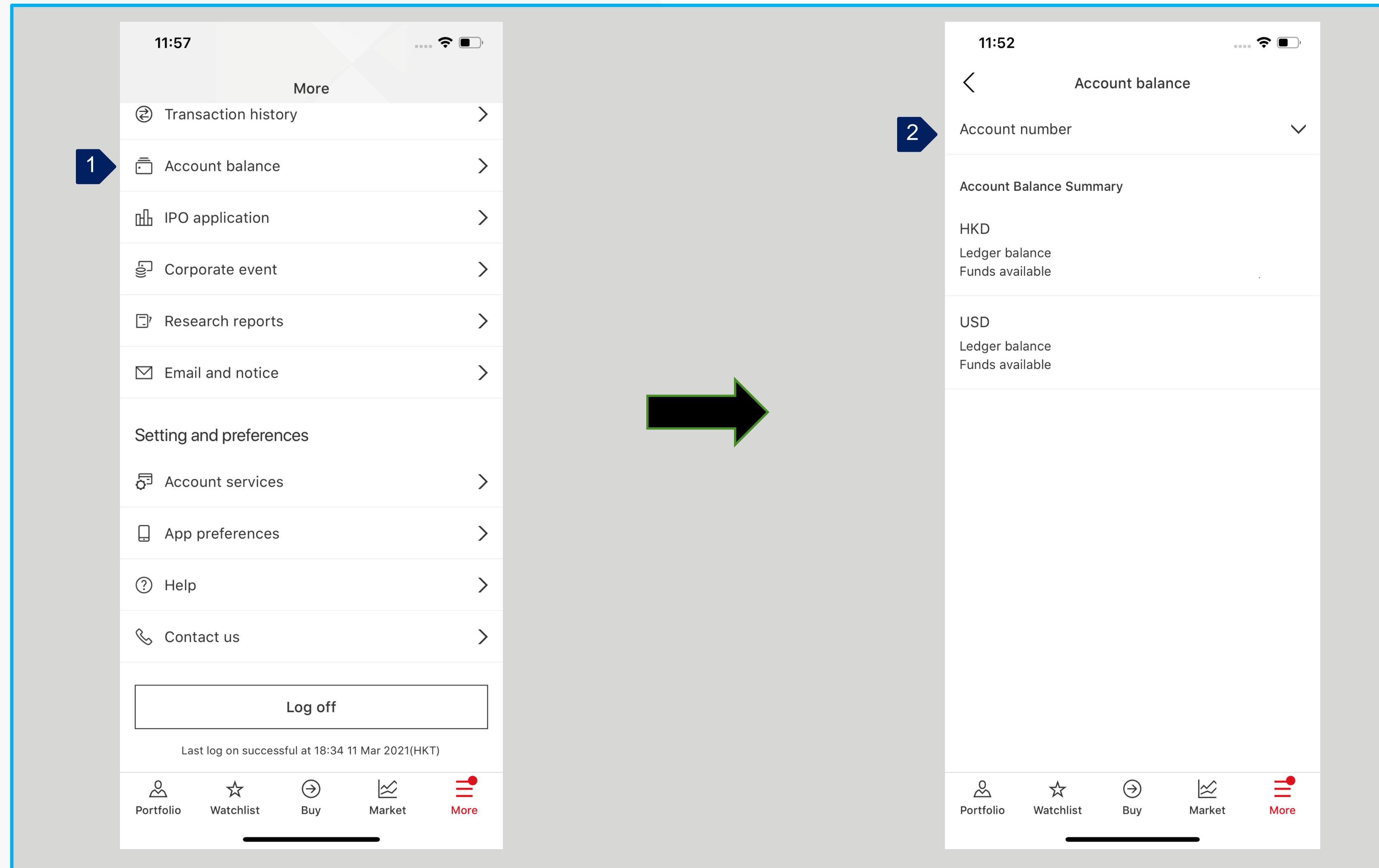
**Step 2:** You will be able to view a list of transaction. You can filter the history by selecting a date range. Transaction history from the beginning of last month (at most two months) can be shown.

You will be able to view the transaction history by following the steps below:

1. Press the "More" tab on bottom menu, then press "Transaction history".
2. You will be able to view a list of transaction. You can filter the history by selecting a date range. Transaction history from the beginning of last month (at most two months) can be shown.

# HSBC Broking Mobile Trading App

Account Details and Services > Account Balance

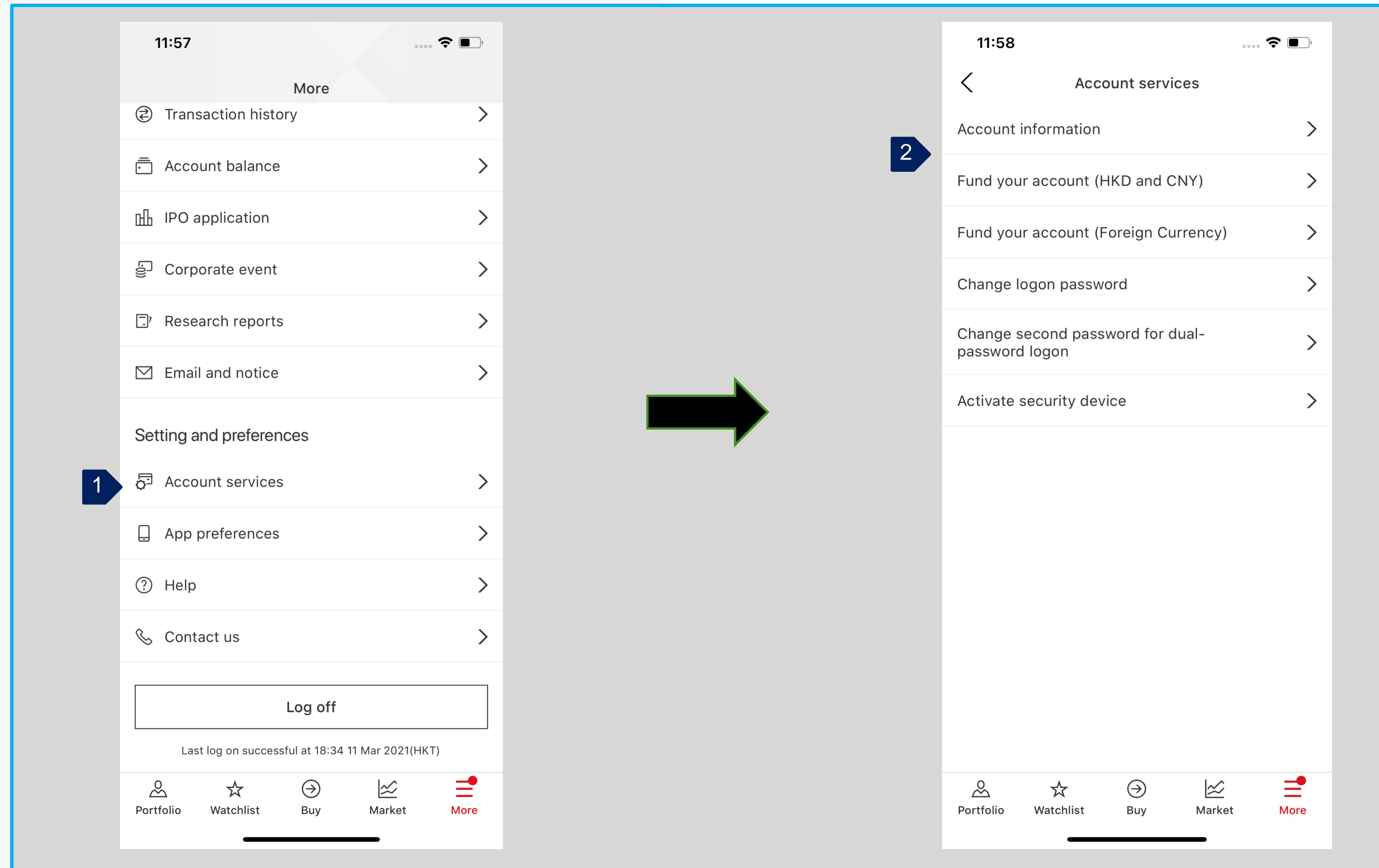


You will be able to view the account balance by following the steps below:

1. Press the “More” tab on bottom menu, then press “Account balance”.
2. You will be able to view both ledger and available balance. You may check the balance of your sub-accounts by selecting “Account number” using the drop down menu.

# HSBC Broking Mobile Trading App

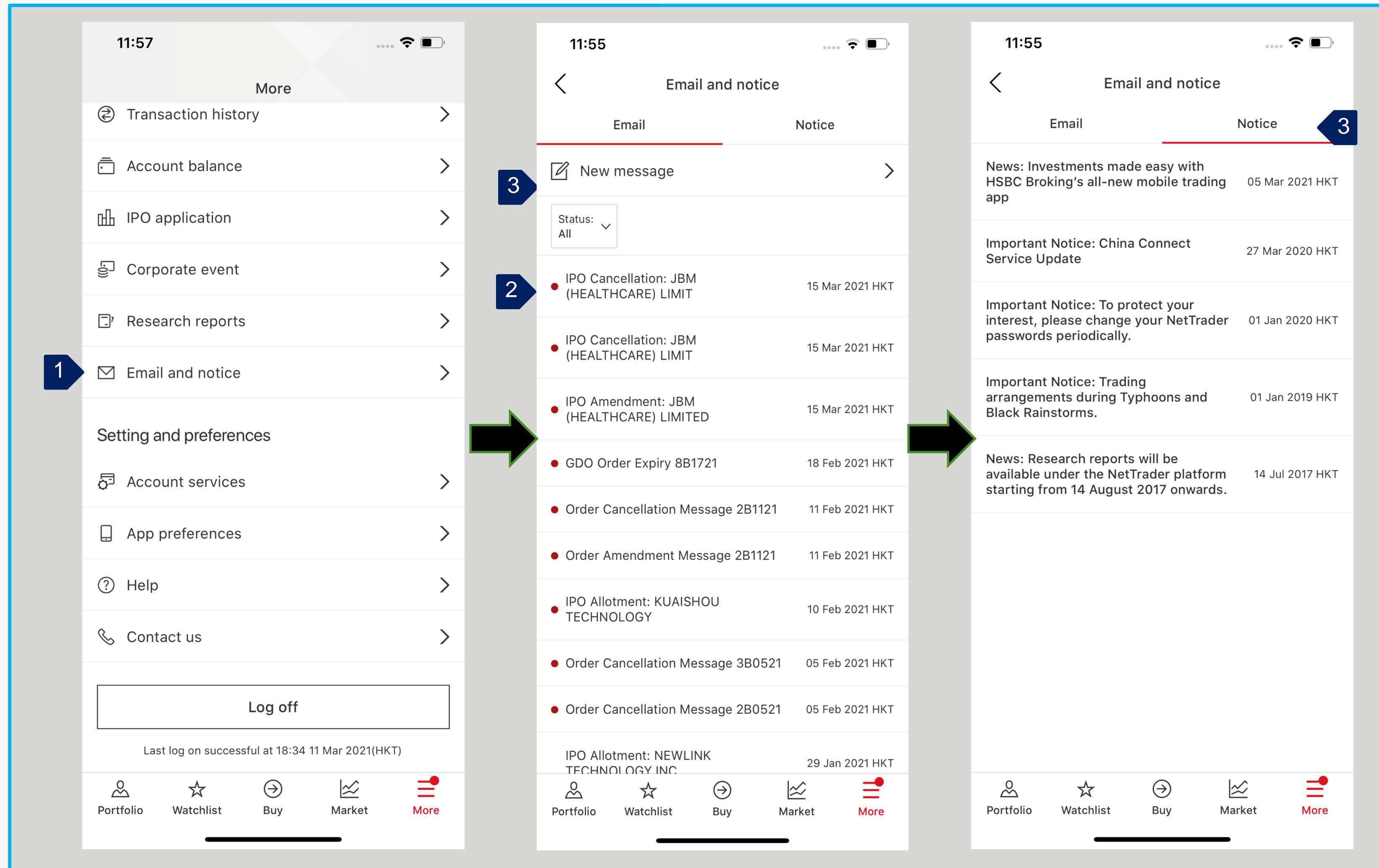
Account Details and Services > Account Services



1. Press the “More” tab on bottom menu, then press “Account services”.
2. You will be able to view a list of account services, including how to fund your account, change password and active security device etc.

# HSBC Broking Mobile Trading App

Others > Email and Notice

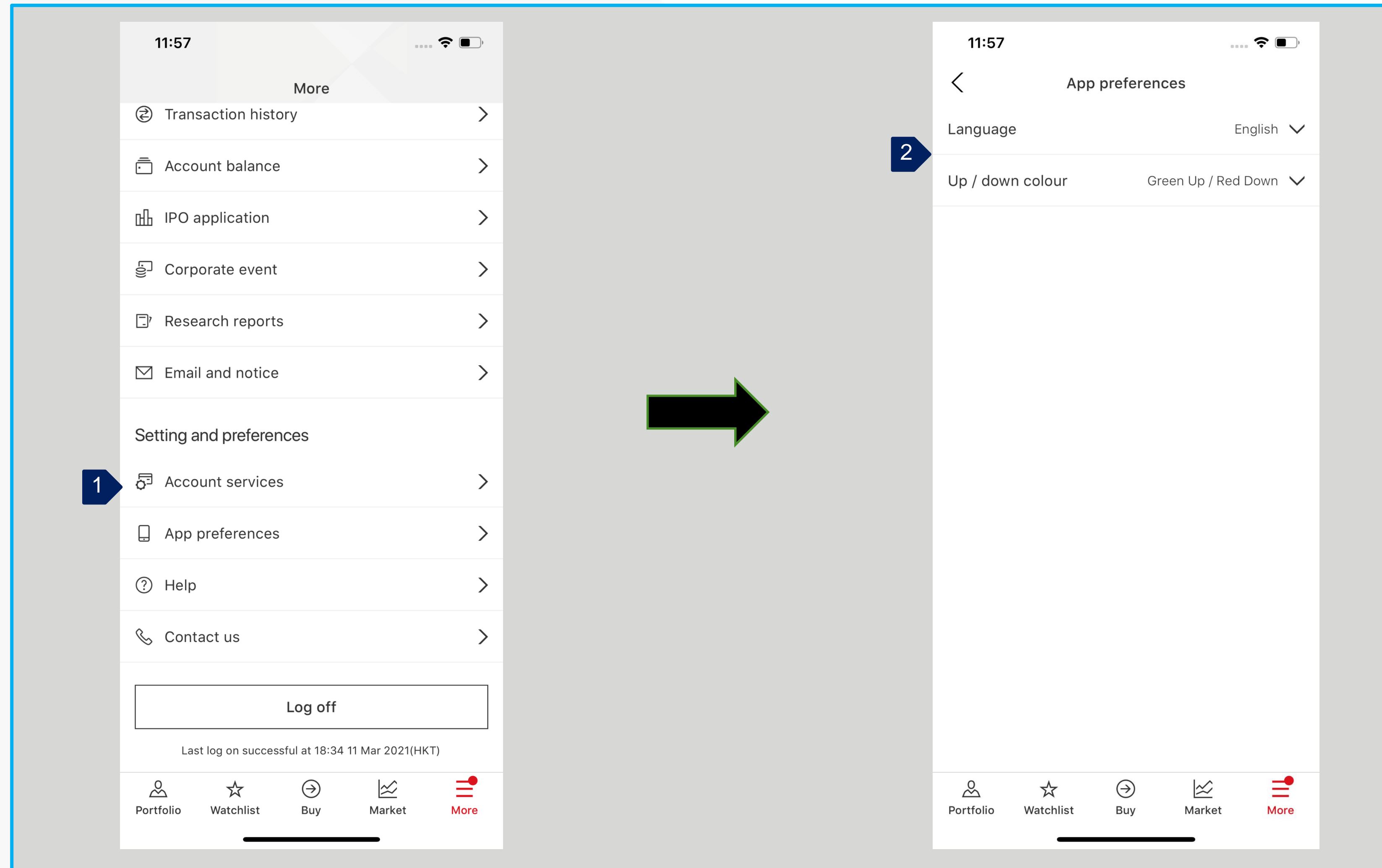


1. Press the "More" tab on bottom menu, then press "Email and notice".
2. You can then able to view messages from us.
3. You can also send a message to us by pressing "New message". We will get back to you timely.
4. You can also view the system notice by pressing the Notice tab.

Please check the Email and notice page regularly.

# HSBC Broking Mobile Trading App

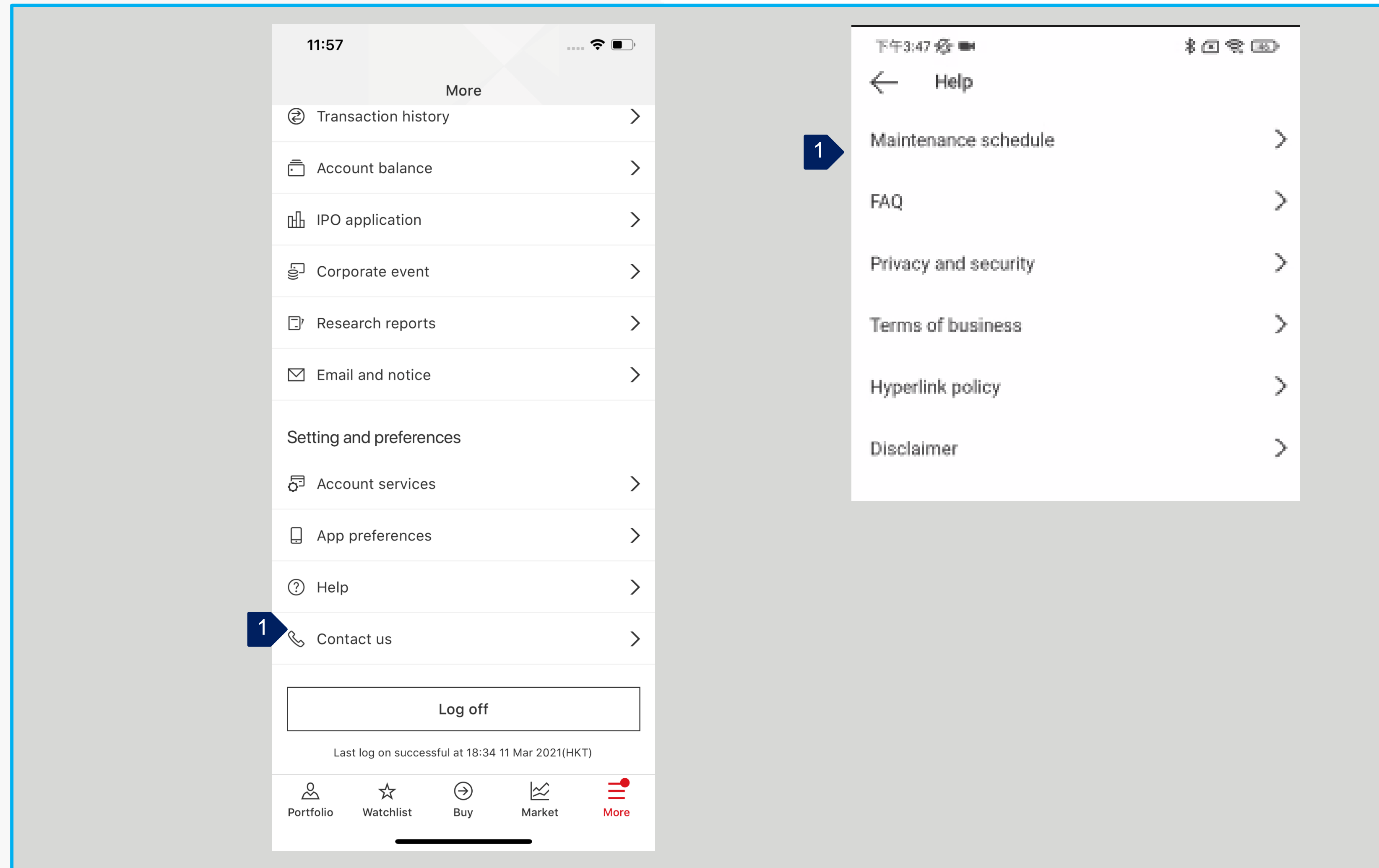
Others > App Preferences



1. Press the “More” tab on bottom menu, then press “App preferences”.
2. You can change the language and up / down colour that you like.

# HSBC Broking Mobile Trading App

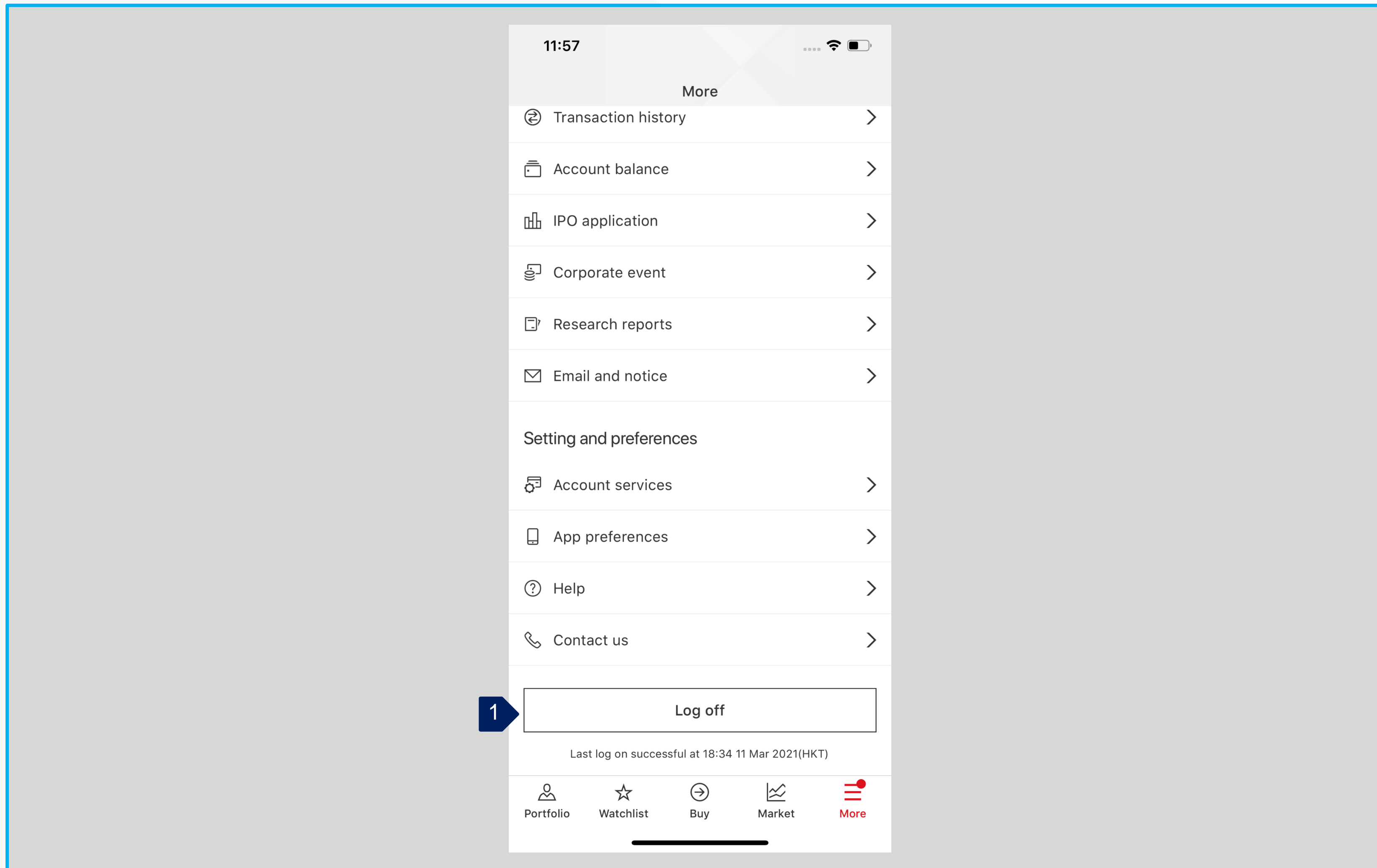
Others > Help and Contact Us



1. If you need assistance, you may press “Help” button under the “More” tab to obtain some useful information including FAQ, etc.
2. If you would like to talk to our Customer Service Representative during office hours, you may press “Contact us”.

# HSBC Broking Mobile Trading App

Others > Log Off



1. To log off safely, press the “More” tab on bottom menu, then press the “Log off” button.



