



Open an account and log on our digital trading services to enjoy up to HKD2,500 rewards

From now until 31 Dec 2022, you can enjoy the following two offers (terms and conditions apply):

Offer 1: HSBC Broking New Account Opening Offer - Open an account with HSBC Broking and fund in at least HK\$100K for at least 90 days to enjoy HKD2,000 cash rebate; and

Offer 2: HSBC Broking New Digital Trading Services Registration Promotion - Successfully register for and log on to our digital trading services to enjoy HKD500 supermarket cash coupon(s) / voucher(s).

Offer 1: HSBC Broking New Account Opening Offer

Promotional Terms and Conditions

1. HSBC Broking Securities (Asia) Limited (and its successors and assigns) (“we” or “us”) reserves the right to change these Promotional Terms and Conditions at any time and the offer may be withdrawn or terminated by us at its discretion without prior notice. We accept no liability for any such change, withdrawal and / or termination.
2. The offer is effective from 1 January 2022 to 31 December 2022, both dates inclusive (the “Promotional Period”).
3. The offer is applicable to individuals who are (i) customers of The Hongkong and Shanghai Banking Corporation Limited in Hong Kong (“HSBC”) and (ii) not our existing customers, and:
 - (a) are HSBC Prime Club members; or
 - (b) have a total relationship balance of HKD3,000,000 or above with HSBC; or
 - (c) provide to us liquid asset proof (e.g. a bank statement) dated within the three most recent months showing liquid net worth of HKD3,000,000 or above with HSBC or another financial institution (each, an “Eligible Customer”).
4. In order to enjoy the offer, an individual must:
 - (i) open an individual account as an Eligible Customer with us (the “Eligible Account”) within the Promotional Period; and
 - (ii) fund the Eligible Account with at least HKD100,000 in securities and/ or cash before the end of the next calendar month immediately following the opening date of the Eligible Account; and
 - (iii) maintain at least HKD100,000 in securities and/or cash in the Eligible Account for at least 90 consecutive calendar days starting from the day the Eligible Account is first funded with at least HKD100,000.
5. We will calculate the value of securities in the Eligible Account by multiplying the quantity of the securities by their latest market closing price. For securities or cash in a currency other than HKD, we will calculate their value in HKD on the basis of the then-prevailing exchange rates as determined by us.
6. The offer will be given in the form of a cash rebate of HKD2,000 credited to the Eligible Account:

(i) on or before 30 November 2022 for the Eligible Accounts opened between 1 January and 30 June 2022; and

(ii) on or before 31 May 2023 for the Eligible Accounts opened between 1 July and 31 December 2022 (collectively the "Rebate Date").

No rebate will be paid in respect of an Eligible Account closed on or before the Rebate Date.

7. Each Eligible Customer can enjoy the HKD2,000 cash rebate once only during the Promotional Period.
8. The offer is not transferable.
9. No person other than the Eligible Customer and us will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
10. In the event of any dispute arising out of this promotion, our decision shall be final and conclusive.
11. The offer provided is subject to the prevailing regulatory requirements.
12. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
13. Where there is any discrepancy or inconsistency between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply and prevail.

Offer 2: HSBC Broking New Digital Trading Services Registration Promotion

General terms and conditions

1. HSBC Broking Securities (Asia) Limited (and its successors and assigns) (“we” or “us”) reserves the right to change these terms and conditions at any time. We may also withdraw or terminate this offer at our discretion without prior notice. We are not liable for any loss due to these changes, withdrawal and / or termination.
2. No person other than you and us will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these terms and conditions.
3. In the event of any dispute arising out of this promotion, our decision shall be final and conclusive.
4. The offer is provided subject to the prevailing regulatory requirements.
5. These terms and conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
6. In the event of any discrepancy or inconsistency between the English version and the Chinese version of the promotional materials and these terms and conditions, the English version shall prevail.

Specific Terms and Conditions

1. The promotional period is **from 22 November 2021 to 31 December 2022**, both dates inclusive (the “Promotional Period”).
2. The offer applies to you if:
 - a. you are our existing customer;
 - b. your registered mailing address with us is in Hong Kong from 22 November 2021 to 31 March 2023, both dates inclusive;
 - c. during the Promotional Period, you successfully register for the HSBC Broking Digital Trading Services (the “Services”) and **log on to the Services via HSBC Broking Services mobile trading app or internet trading platform**; and
 - d. you and any joint account holder do not close the account used to access the Services before 31 March 2023.
3. If you have a joint account with us, you can enjoy this offer only if you are the first account holder who satisfies the above requirements.
4. If this offer applies to you, you can enjoy **HK\$500 supermarket cash coupon(s) / voucher(s)** (the “Coupon”). We will mail the Coupon to your registered mailing address in Hong Kong as set out in the table below. We will only mail you one Coupon, even if you log on to the Services more than once during the Promotional Period.

Register for and log on to the Services on or before	We will send you the Coupon on or before
31 January 2022	30 April 2022
30 June 2022	30 September 2022
31 December 2022	31 March 2023

5. The Coupon is subject to the terms and conditions stated on the Coupon and it may be subject to change. We have no obligation or liabilities whatsoever in relation to goods / products and / or services provided. You will need to resolve any disputes arising from the Coupon directly with the Coupon supplier.
6. We won't replace the Coupon if it is lost or damaged.
7. This offer cannot be exchanged for cash, other products, services or discounts and is not transferrable.
8. You cannot use this offer together with other offers or promotions related to the Services.

Risk Disclosures

1. The information in this material does not constitute a solicitation or recommendation or an offer for the purchase or sale or investment in any products.
2. Making available to you any advertisements, marketing or promotional materials, market information or other information relating to a product or service shall not, by itself, constitute solicitation of the sale or recommendation of any product or service. If you wish to receive solicitation or recommendation from us, please contact us and, where relevant, go through our suitability assessment before transacting.
3. The contents of this document have not been reviewed by the Securities and Futures Commission or any regulatory authority in Hong Kong.
4. Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The prices of securities fluctuate, sometimes dramatically. The price of a security may move up or down, and may become valueless. It is as likely that losses will be incurred rather than profit made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products.
5. For Renminbi (RMB) products:
 - There may be exchange rate risks if you choose to convert RMB payments made on the securities to your home currency.
 - RMB products may suffer significant losses in liquidating the underlying investments if such investments do not have an active secondary market and their prices have large bid / offer spreads.
 - In general, RMB equity products are exposed to the usual kind of default risks that might be associated with equity products denominated in other currencies.
6. For details, please read the relevant risk disclosure statements set out in Schedule 1 of the Terms of Business of HSBC Broking Securities (Asia) Limited, which is available at our public website (<https://www.hsbc.com.hk/broking/>).

Issued by HSBC Broking Securities (Asia) Limited