



## 2019 Stock Transfer-in / Deposit Offer

### Promotional Terms and Conditions

#### **General terms and conditions**

1. The Hongkong and Shanghai Banking Corporation Limited, Hong Kong (and its successors and assigns) (the "Bank" or "HSBC") reserves the right to change these Promotional Terms and Conditions at any time and the offer may be withdrawn or terminated by the Bank at its discretion without prior notice. The Bank accepts no liability for any such change, withdrawal and / or termination.
2. No person other than the Eligible Customer (as defined below) and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
3. In the event of any dispute arising out of this promotion, the decision of the Bank shall be final and conclusive.
4. All remarks and footnotes stated in the promotional materials are intended to serve as part of these Promotional Terms and Conditions. If there is any discrepancy between the remarks and footnotes and these Promotional Terms and Conditions, these Promotional Terms and Conditions shall apply and prevail.
5. The offer under this promotion is provided subject to the prevailing regulatory requirements.
6. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
7. Where there is any discrepancy or inconsistency between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply and prevail.

### Specific Terms and Conditions of Stock Transfer-in/Deposit Offer

#### **0.2% cash rebate for Hong Kong Stocks, China A Shares and U.S. stocks transfer-in/deposit offer (the "Offer")**

1. The Offer is effective from **1 January 2019 to 31 December 2019**, both dates inclusive (the "Promotional Period").
2. The Offer is only applicable to HSBC customers who are the sole or principal account holders of any HSBC Investment Services Accounts (the "Eligible Accounts") (the "Eligible Customers"). Eligible Customers must **not** terminate or downgrade these accounts before the reimbursement date stated in Clause 4 of these Specific Terms and Conditions below.
3. The Offer is a cash rebate equivalent to 0.2% of the market value of any **Hong Kong Stocks, China A Shares or U.S. stocks transferred-in or deposited into** any HSBC's Eligible Investment Account from a non-HSBC account outside the Bank during the Promotional Period. The Offer is not applicable to any transfer between any HSBC investment accounts. The market value of the transferred-in/deposited stocks is determined by the market closing price at the stock settlement date multiplying the number of shares of transferred-in/deposited stocks. Each Eligible Customer (with the same identity document and regardless of the number of Eligible Investment Accounts

he/she opens during the Promotional Period) is only entitled to receive the cash rebate for a maximum of (a) **HK\$10,000** for each existing member of Jade by HSBC Premier; or (b) **HKD5,000** for each HSBC Premier Eligible Customer; or (c) **HKD3,500** for each HSBC Advance / Personal Integrated Account\* Eligible Customer under this promotion, whichever is the highest.

4. The cash rebate will be credited to the settlement account of the Eligible Investment Account on or before 31 March 2020.
5. Other transaction fees / charges / levy still apply. These include, without limitation, brokerage fee, deposit transaction charges (for purchase orders only), Securities and Futures Commission of Hong Kong transaction levy, investor compensation levy, Hong Kong Exchange and Clearing Limited trading fee and stamp duty, handling fee (collected for Shanghai Stock Exchange/Shenzhen Stock Exchange), securities management fee (collected for China Securities Regulatory Commission) and transfer fee (collected for China Securities Depository and Clearing Corporation Limited), U.S. Securities and Exchange Commission (SEC) fee (for sell transaction only) and American Depository Receipt (ADR) fee.
6. If an Eligible Customer is eligible for more than one concurrently running Hong Kong Stocks, China A Shares or U.S. stocks promotional offer, the Eligible Customer will be entitled to one of the offers only, and the Bank reserves the right to award **only** the offer deemed to be of higher value to the customer at the Bank's discretion.

\* "Personal Integrated Account" is also named as "SmartVantage"; Personal Integrated Account and SmartVantage are used interchangeably in customer communications including account statement, bank forms / advices, internet banking platform etc.

**Risk disclosure:**

Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The price of securities may move up or down. Losses may be incurred as well as profits made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products.

For Renminbi (RMB) products:

- There may be exchange rate risks if you choose to convert RMB payments made on the securities to your home currency.
- RMB products may suffer significant losses in liquidating the underlying investments if such investments do not have an active secondary market and their prices have large bid/ offer spreads.
- In general, RMB equity products are exposed to the usual kind of default risks that might be associated with equity products denominated in other currencies.

For China A shares trading, please refer to the China Connect: Shanghai-Hong Kong and Shenzhen-Hong Kong Terms and Conditions for respective risk disclosure. These Terms and Conditions can also be found in HSBC website.

Making available to you any advertisements, marketing or promotional materials, market information or other information relating to a product or service shall not, by itself, constitute solicitation of the sale or recommendation of any product or service.

The information in this material does not constitute a solicitation or recommendation for making any deposit or an offer for the purchase or sale or investment in any products. The contents of this document have not been reviewed by the Securities and Futures Commission.

*Issued by The Hongkong and Shanghai Banking Corporation Limited*