

# **\$0** Brokerage Fee Offer for U.S. Stock Purchases

## **Promotional Terms and Conditions**

#### **General terms and conditions**

- 1. The Hongkong and Shanghai Banking Corporation Limited, Hong Kong (and its successors and assigns) (the "Bank" or "HSBC") reserves the right to change these Promotional Terms and Conditions at any time and the offers may be withdrawn or terminated by the Bank at its discretion without prior notice. The Bank accepts no liability for any such change, withdrawal and / or termination.
- 2. No person other than the eligible customer and the Bank will have any right under the Contracts (Rights of Third Parties) Ordinance to enforce or enjoy the benefit of any of the provisions of these Promotional Terms and Conditions.
- 3. In the event of any dispute arising out of this promotion, the decision of the Bank shall be final and conclusive.
- 4. The offers under this promotion are provided subject to the prevailing regulatory requirements.
- 5. These Promotional Terms and Conditions are governed by and construed in accordance with the laws of the Hong Kong Special Administrative Region.
- 6. Where there is any discrepancy or inconsistency between the Chinese and English versions of these Promotional Terms and Conditions, the English version shall apply and prevail.

### Specific Terms and Conditions for U.S. Stocks Offer

#### \$0 brokerage fee offer for U.S. stocks purchases (the Offer")

- 1. The Offer is effective from **23 January 2019 to 31 March 2019**, both dates inclusive (the "Promotional Period").
- 2. The Offer is only applicable to the **sole or principal** account holders of (a) **HSBC Premier** Investment Services Account; or (b) **HSBC Advance** Investment Services

  Account; or (c) **Personal Integrated Account\*** Investment Services Account, with account suffix 380 (the "Eligible Customers") (the "Eligible Investment Account").
- 3. The Offer is applicable to purchase transactions of U.S. stocks which are effected through any Eligible Investment Account via Stock Express (www.hsbc.com.hk/stockexpress), HSBC HK Easy Invest or HSBC Mobile Banking during the Promotional Period (collectively referred to as the "Eligible Purchase Transactions"). The Offer is not applicable to sell transaction of U.S. stocks. The Offer is also not applicable to transactions executed via HSBC Manned Phonebanking Service, Automated Phonebanking Service or HSBC branches.
- 4. During the Promotional Period, an Eligible Customer is entitled to enjoy \$0 brokerage fee for each Eligible Purchase Transaction.
- Other transaction fees / charges / levy still apply. These include, without limitation, U.S. Securities and Exchange Commission (SEC) fee (for sell transactions only) and American Depository Receipt (ADR) fee.
- 6. If an Eligible Customer for the offer is eligible for more than one concurrently running promotional offer for U.S. stocks, the Eligible Customer will be entitled to one of the

offers only, and the Bank reserves the right to award only the offer deemed to be of the highest value to the customer at the Bank's discretion.

# Risk disclosure:

Investment involves risk. You should carefully consider whether any investment products or services mentioned herein are appropriate for you in view of your investment experience, objectives, financial resources and relevant circumstances. The price of securities may move up or down. Losses may be incurred as well as profits made as a result of buying and selling securities. This document does not constitute an offer for the purchase or sale of any investment products.

Making available to you any advertisements, marketing or promotional materials, market information or other information relating to a product or service shall not, by itself, constitute solicitation of the sale or recommendation of any product or service.

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Issued by The Hongkong and Shanghai Banking Corporation Limited