

Structured Products Risk Rating Revision

1. Deposit Plus (Effective Date : 21 June 2010)

With effect from 21 June 2010, the risk level of Deposit Plus (DPS) is low to medium (risk level 2).

2. Daily Accrual and Daily Callable Equity Linked Investments/Private Placement Notes (Effective Date : 26 Jul 2018)

Please find below list of underlying stock for Daily Accrual and Daily Callable Equity Linked Investments / Private Placement Notes with risk rating change for your reference. Please refer to the last update for the latest product risk rating.

For Basket Daily Accrual and Daily Callable Equity Linked Investments / Private Placement Notes, the product risk rating is determined in accordance with the risk rating of its underlying, whichever highest is referenced.

1 = low risk. Minimal chance of losing a significant portion of your capital over the term of the investment (although this is not guaranteed). Expected to demonstrate minimal price fluctuations over short periods of time.

2 = low to medium risk. Low chance of losing a significant portion of your capital over the term of the investment (although this is not guaranteed). Expected to demonstrate moderately low level of price fluctuations over short periods of time.

3 = medium risk. Moderate chance of losing a significant portion of your capital over the term of the investment (although this is not guaranteed). Expected to demonstrate moderate level of price fluctuations over short periods of time.

4 = medium to high risk. Moderately high chance of losing a significant portion of your capital over the term of the investment. Expected to demonstrate moderately high level of price fluctuations over short periods of time.

5 = high risk. High chance of losing a significant portion of your capital over the term of the investment. Expected to demonstrate high level of price fluctuations over short periods of time.

Stock Code	Stock Name	Risk Rating as of 27 Jul 2017	Risk Rating as of 19 Oct 2017	Risk Rating as of 26 Jan 2018	Risk Rating as of 26 Jul 2018
16	Sun Hung Kai Properties Ltd	5	5	4	4
23	Bank of East Asia Ltd	5	4	4	4
177	Jiangsu Expressway Co Ltd	5	5	4	4
1508	China Reinsurance Group Corp	5	5	5	4
1972	Swire Properties Ltd	5	4	4	4
2588	BOC Aviation Ltd	5	5	5	4
3908	China International Capital Corp Ltd	4	5	5	5