

Eligible Assets and Credit-to-asset Ratio for Secured Credit

Effective from 3 Oct 2019

List of Eligible Assets (Excluding Stocks)

| Asset Types | Credit-to-asset Ratio |
|---|-----------------------|
| HKD Time Deposits | 100% |
| Foreign Currency Time or Saving Deposits in | |
| USD | 90% |
| RMB | 90% |
| Other currencies | 85% |
| Deposit Plus | 70% |
| Structured Investment Deposits (Including Capital Protected Investment Deposits) | 70% |
| Gold | 70% |
| Unit Trusts*/Bonds*/Certificates of Deposit/Equity Link Notes/Equity Link Investment with | |
| Risk Rating 1 | 70% |
| Risk Rating 2 | 70% |
| Risk Rating 3 | 50% |
| Risk Rating 4 | 30% |
| Risk Rating 5 | – |

*exclude the Non-eligible Products listed below.

List of Non-eligible Products (Bonds issued by HSBC and its subsidiaries, Hedge Funds, Liquid Alternative Funds are not eligible)

| Product Type | Bond / Fund Code | Bond / Fund Name |
|--------------|------------------|--|
| Bonds | USG4639DVV48 | HSBC BANK PLC 4.125% USD BOND 8/12/2020 |
| Bonds | XS0794525054 | HSBC BANK PLC 0% USD BOND 6/15/2022 |
| Bonds | USG4639DWC57 | HSBC BANK PLC 4.75% USD BOND 1/19/2021 |
| Bonds | XS0857400161 | HSBC BANK PLC 0% CAD BOND 12/6/2022 |
| Bonds | XS0526606537 | HSBC BANK PLC 4% EUR BOND 1/15/2021 |
| Bonds | US40429CGD83 | HSBC FINANCE CORP 6.676% USD BOND 1/15/2021 |
| Bonds | US404280AN99 | HSBC HOLDINGS PLC 4% USD BOND 3/30/2022 |
| Bonds | US404280AL34 | HSBC HOLDINGS PLC 4.875% USD BOND 1/14/2022 |
| Bonds | US404280AK50 | HSBC HOLDINGS PLC 5.1% USD BOND 4/5/2021 |
| Bonds | XS0088317853 | HSBC BANK PLC 6.5% GBP BOND 7/7/2023 |
| Bonds | US404280AM17 | HSBC HOLDINGS PLC 6.1% USD BOND 1/14/2042 |
| Bonds | XS0429422271 | HSBC HOLDINGS PLC 6.5% GBP BOND 5/20/2024 |
| Bonds | US404280AG49 | HSBC HOLDINGS PLC 6.5% USD BOND 5/2/2036 |
| Bonds | US404280AH22 | HSBC HOLDINGS PLC 6.5% USD BOND 9/15/2037 |
| Bonds | US404280AJ87 | HSBC HOLDINGS PLC 6.8% USD BOND 6/1/2038 |
| Bonds | USG4637HAB45 | HSBC CAPITAL FUNDING USD 10.176% USD BOND 12/29/2049 |
| Unit Trust | U62345 | MAN AHL DIVERSIFIED FUTURES LTD |
| Unit Trust | U62782 | JPM GLOBAL MACRO OPPORTUNITIES A (ACCUMULATION) - AUD (HEDGED) |
| Unit Trust | U62783 | JPM GLOBAL MACRO OPPORTUNITIES A (ACCUMULATION) - USD (HEDGED) |
| Unit Trust | U62784 | JPM GLOBAL MACRO OPPORTUNITIES A (ACCUMULATION) - EUR |

List of Eligible Stocks

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 00001 | CK HUTCHISON HOLDINGS LIMITED | 50% |
| 00002 | CLP HOLDINGS LIMITED | 50% |
| 00003 | THE HONG KONG & CHINA GAS COMPANY LIMITED | 50% |
| 00004 | THE WHARF (HOLDINGS) LIMITED | 50% |
| 00005 | HSBC HOLDINGS PLC | 50% |
| 00006 | POWER ASSETS HOLDINGS LIMITED | 50% |
| 00008 | PCCW LIMITED | 50% |
| 00010 | HANG LUNG GROUP LIMITED | 50% |
| 00011 | HANG SENG BANK LIMITED | 50% |
| 00012 | HENDERSON LAND DEVELOPMENT COMPANY LIMITED | 50% |
| 00014 | HYSAN DEVELOPMENT COMPANY LIMITED | 50% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|---------------------------------------|-----------------------|
| 00016 | SUN HUNG KAI PROPERTIES LIMITED | 50% |
| 00017 | NEW WORLD DEVELOPMENT COMPANY LIMITED | 50% |
| 00019 | SWIRE PACIFIC LIMITED 'A' SHARES | 50% |
| 00020 | WHEELLOCK AND COMPANY LIMITED | 50% |
| 00023 | THE BANK OF EAST ASIA LIMITED | 50% |
| 00027 | GALAXY ENTERTAINMENT GROUP LIMITED | 50% |
| 00066 | MTR CORPORATION LIMITED | 50% |
| 00069 | SHANGRI-LA ASIA LTD | 50% |
| 00083 | SINO LAND COMPANY LIMITED | 50% |
| 00101 | HANG LUNG PROPERTIES LIMITED | 50% |
| 00135 | KUNLUN ENERGY COMPANY LIMITED | 50% |

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List of Eligible Stocks (continued)

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 00144 | CHINA MERCHANTS HOLDINGS (INTERNATIONAL) COMPANY LIMITED | 50% |
| 00148 | KINGBOARD CHEMICAL HOLDINGS LIMITED | 50% |
| 00151 | WANT WANT CHINA HOLDINGS LIMITED | 50% |
| 00152 | SHENZHEN INTERNATIONAL HOLDINGS LIMITED | 50% |
| 00165 | CHINA EVERBRIGHT LIMITED | 50% |
| 00175 | GEELY AUTOMOBILE HOLDINGS LIMITED | 50% |
| 00177 | JIANGSU EXPRESSWAY COMPANY LIMITED 'H' SHARES | 50% |
| 00257 | CHINA EVERBRIGHT INTERNATIONAL LIMITED | 50% |
| 00267 | CITIC LIMITED | 50% |
| 00270 | GUANGDONG INVESTMENT LIMITED | 50% |
| 00285 | BYD ELECTRONIC (INTERNATIONAL) COMPANY LIMITED | 50% |
| 00288 | WH GROUP LIMITED | 50% |
| 00293 | CATHAY PACIFIC AIRWAYS LIMITED | 50% |
| 00303 | VTECH HOLDINGS LIMITED | 50% |
| 00322 | TINGYI (CAYMAN ISLANDS) HOLDINGS CORP | 50% |
| 00323 | MAANSHAN IRON & STEEL CO., LIMITED 'H' SHARES | 50% |
| 00338 | SINOPEC SHANGHAI PETROCHEMICAL COMPANY LIMITED 'H' SHARES | 50% |
| 00347 | ANGANG STEEL COMPANY LIMITED | 50% |
| 00363 | SHANGHAI INDUSTRIAL HOLDINGS LIMITED | 50% |
| 00371 | BEIJING ENTERPRISES WATER GROUP LIMITED | 50% |
| 00384 | CHINA GAS HOLDINGS LIMITED | 50% |
| 00386 | CHINA PETROLEUM & CHEMICAL CORPORATION 'H' SHARES | 50% |
| 00388 | HONG KONG EXCHANGES AND CLEARING LIMITED | 50% |
| 00390 | CHINA RAILWAY GROUP LTD 'H' SHARES | 50% |
| 00392 | BEIJING ENTERPRISES HOLDINGS LIMITED | 50% |
| 00425 | MINTH GROUP LIMITED | 50% |
| 00489 | DONGFENG MOTOR GROUP COMPANY LIMITED 'H' SHARES | 50% |
| 00522 | ASM PACIFIC TECHNOLOGY LIMITED | 50% |
| 00551 | YUE YUEN INDUSTRIAL (HOLDINGS) LIMITED | 50% |
| 00552 | CHINA COMMUNICATIONS SERVICES CORPORATION LIMITED 'H' SHARES | 50% |
| 00570 | CHINA TRADITIONAL CHINESE MEDICINE HOLDINGS COMPANY LIMITED | 50% |
| 00576 | ZHEJIANG EXPRESSWAY CO. LIMITED 'H' SHARES | 50% |
| 00586 | CHINA CONCH VENTURE HOLDINGS LIMITED | 50% |
| 00598 | SINOTRANS LIMITED 'H' SHARES | 50% |
| 00656 | FOSUN INTERNATIONAL LIMITED | 50% |
| 00659 | NWS HOLDINGS LIMITED | 50% |
| 00665 | HAITONG INTERNATIONAL SECURITIES GROUP LIMITED | 50% |
| 00669 | TECHTRONIC INDUSTRIES COMPANY LIMITED | 50% |
| 00670 | CHINA EASTERN AIRLINES CORPORATION LIMITED 'H' SHARES | 50% |
| 00683 | KERRY PROPERTIES LIMITED | 50% |
| 00688 | CHINA OVERSEAS LAND AND INVESTMENT LIMITED | 50% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|---|-----------------------|
| 00694 | BEIJING CAPITAL INTERNATIONAL AIRPORT COMPANY LIMITED 'H' SHARES | 50% |
| 00696 | TRAVELSKY TECHNOLOGY LIMITED 'H' SHARES | 50% |
| 00700 | TENCENT HOLDINGS LIMITED | 50% |
| 00728 | CHINA TELECOM CORPORATION LIMITED 'H' SHARES | 50% |
| 00753 | AIR CHINA LIMITED 'H' SHARES | 50% |
| 00762 | CHINA UNICOM (HONG KONG) LIMITED | 50% |
| 00772 | CHINA LITERATURE LTD | 50% |
| 00778 | FORTUNE REAL ESTATE INVESTMENT TRUST | 50% |
| 00788 | CHINA TOWER CORPORATION LTD - H SHS | 50% |
| 00813 | SHIMAO PROPERTY HOLDINGS LIMITED | 50% |
| 00817 | CHINA JINMAO HOLDINGS GROUP LIMITED | 50% |
| 00823 | THE LINK REAL ESTATE INVESTMENT TRUST | 50% |
| 00836 | CHINA RESOURCES POWER HOLDINGS COMPANY LIMITED | 50% |
| 00857 | PETROCHINA COMPANY LIMITED 'H' SHARES | 50% |
| 00868 | XINYI GLASS HOLDINGS LIMITED | 50% |
| 00874 | GUANGZHOU BAIYUNSHAN PHARMACEUTICAL HOLDINGS CO., LTD. 'H' SHARES | 50% |
| 00880 | SJM HOLDINGS LIMITED | 50% |
| 00881 | ZHONGSHENG GROUP HOLDINGS LIMITED | 50% |
| 00883 | CNOOC LIMITED | 50% |
| 00902 | HUANENG POWER INTERNATIONAL, INC. 'H' SHARES | 50% |
| 00914 | ANHUI CONCH CEMENT COMPANY LIMITED 'H' SHARES | 50% |
| 00916 | CHINA LONGYUAN POWER GROUP CORPORATION LIMITED 'H' SHARES | 50% |
| 00939 | CHINA CONSTRUCTION BANK CORPORATION 'H' SHARES | 50% |
| 00941 | CHINA MOBILE LIMITED | 50% |
| 00958 | HUANENG RENEWABLES CORPORATION LIMITED | 50% |
| 00960 | LONGFOR PROPERTIES CO. LTD. | 50% |
| 00966 | CHINA TAIPING INSURANCE HOLDINGS COMPANY LIMITED | 50% |
| 00968 | XINYI SOLAR HOLDINGS LTD | 50% |
| 00992 | LENOVO GROUP LIMITED | 50% |
| 00998 | CHINA CITIC BANK CORPORATION LIMITED 'H' SHARES | 50% |
| 01038 | CHEUNG KONG INFRASTRUCTURE HOLDINGS LIMITED | 50% |
| 01044 | HENGAN INTERNATIONAL GROUP COMPANY LIMITED | 50% |
| 01055 | CHINA SOUTHERN AIRLINES COMPANY LIMITED 'H' SHARES | 50% |
| 01066 | SHANGDONG WEIGAO GROUP MEDICAL POLYMER COMPANY LIMITED 'H' SHARES | 50% |
| 01088 | CHINA SHENHUA ENERGY COMPANY LIMITED 'H' SHARES | 50% |
| 01093 | CSPC PHARMACEUTICAL GROUP LIMITED | 50% |
| 01099 | SINOPHARM GROUP CO. LTD. H SHARES | 50% |
| 01109 | CHINA RESOURCES LAND LIMITED | 50% |
| 01113 | CHEUNG KONG PROPERTY HOLDINGS LIMITED | 50% |

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List of Eligible Stocks (continued)

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 01114 | BRILLIANCE CHINA AUTOMOTIVE HOLDINGS LIMITED | 50% |
| 01169 | HAIER ELECTRONICS GROUP CO., LTD | 50% |
| 01171 | YANZHOU COAL MINING 'H' SHARES | 50% |
| 01177 | SINO BIOPHARMACEUTICAL LIMITED | 50% |
| 01186 | CHINA RAILWAY CONSTRUCTION CORPORATION LIMITED 'H' SHARES | 50% |
| 01193 | CHINA RESOURCES GAS GROUP LIMITED | 50% |
| 01199 | COSCO PACIFIC LIMITED | 50% |
| 01288 | AGRICULTURAL BANK OF CHINA LIMITED 'H' SHARES | 50% |
| 01299 | AIA GROUP LIMITED | 50% |
| 01313 | CHINA RESOURCES CEMENT HOLDINGS LIMITED | 50% |
| 01316 | NEXTEER AUTOMOTIVE GROUP LTD | 50% |
| 01336 | NEW CHINA LIFE INSURANCE COMPANY LIMITED | 50% |
| 01339 | THE PEOPLE'S INSURANCE COMPANY (GROUP) OF CHINA LIMITED 'H' SHARES | 50% |
| 01359 | CHINA CINDA ASSET MANAGEMENT CO LTD | 50% |
| 01398 | INDUSTRIAL AND COMMERCIAL BANK OF CHINA 'H' SHARES | 50% |
| 01658 | POSTAL SAVINGS BK OF CHINA-H SHARES | 50% |
| 01766 | CRRC CORPORATION LIMITED | 50% |
| 01776 | GF SECURITIES COMPANY LIMITED | 50% |
| 01800 | CHINA COMMUNICATIONS CONSTRUCTION COMPANY LIMITED 'H' SHARES | 50% |
| 01810 | XIAOMI CORPORATION - W CLASS B SHARES | 50% |
| 01816 | CGN POWER CO LTD | 50% |
| 01898 | CHINA COAL ENERGY COMPANY LIMITED 'H' SHARES | 50% |
| 01910 | SAMSONITE INTERNATIONAL S.A. | 50% |
| 01928 | SANDS CHINA LTD. | 50% |
| 01929 | CHOW TAI FOOK JEWELLERY GROUP LIMITED | 50% |
| 01972 | SWIRE PROPERTIES LIMITED | 50% |
| 01958 | BAIC MOTOR CORPORATION LIMITED | 50% |
| 01988 | CHINA MINSHENG BANKING CORP., LTD. 'H' SHARES | 50% |
| 01997 | WHARF REAL ESTATE INVESTMENT COMPANY LIMITED | 50% |
| 02007 | COUNTRY GARDEN HOLDINGS COMPANY LIMITED | 50% |
| 02009 | BBMG CORPORATION 'H' SHARES | 50% |
| 02018 | AAC TECHNOLOGIES HOLDINGS INC. | 50% |
| 02020 | ANTA SPORTS PRODUCTS LIMITED | 50% |
| 02039 | CHINA INTL MARINE CONTAINERS(G)C L | 50% |
| 02196 | SHANGHAI FOSUN PHARMACEUTICAL (GROUP) COMPANY LIMITED - 'H' SHARES | 50% |
| 02202 | CHINA VANKE CO LTD- H SHARES | 50% |
| 02208 | XINJIANG GOLDWIND SCIENCE AND TECHNOLOGY COMPANY 'H' SHARES | 50% |
| 02238 | GUANGZHOU AUTOMOBILE GROUP COMPANY LIMITED 'H' SHARES | 50% |
| 02313 | SHENZHOU INTERNATIONAL GROUP HOLDINGS LIMITED | 50% |
| 02314 | LEE & MAN PAPER MANUFACTURING LIMITED | 50% |
| 02318 | PING AN INSURANCE (GROUP) COMPANY OF CHINA LIMITED 'H' SHARES | 50% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|---|-----------------------|
| 02319 | CHINA MENGNIU DAIRY COMPANY LIMITED | 50% |
| 02328 | PICC PROPERTY AND CASUALTY COMPANY LIMITED 'H' SHARES | 50% |
| 02333 | GREAT WALL MOTOR COMPANY LIMITED 'H' SHARES | 50% |
| 02338 | WEICHAI POWER COMPANY LIMITED 'H' SHARES | 50% |
| 02357 | AVICHINA INDUSTRY AND TECHNOLOGY COMPANY LIMITED 'H' SHARES | 50% |
| 02380 | CHINA POWER INTERNATIONAL DEVELOPMENT LIMITED | 50% |
| 02382 | SUNNY OPTICAL TECHNOLOGY (GROUP) COMPANY LIMITED | 50% |
| 02388 | BOC HONG KONG (HOLDINGS) LIMITED | 50% |
| 02588 | BOC AVIATION LTD | 50% |
| 02601 | CHINA PACIFIC INSURANCE (GROUP) CO., LTD. 'H' SHARES | 50% |
| 02607 | SHANGHAI PHARMACEUTICALS HOLDING COMPANY LIMITED | 50% |
| 02611 | GUOTAI JUNAN SEC CO LTD-H SHARES | 50% |
| 02628 | CHINA LIFE INSURANCE COMPANY LIMITED 'H' SHARES | 50% |
| 02638 | HK ELECTRIC INVESTMENTS AND HK ELECTRIC INVESTMENTS LIMITED | 50% |
| 02688 | ENN ENERGY HOLDINGS LIMITED | 50% |
| 02689 | NINE DRAGONS PAPER (HOLDINGS) LIMITED | 50% |
| 02777 | GUANGZHOU R AND F PROPERTIES CO., LTD 'H' SHARES | 50% |
| 02799 | CHINA HUARONG ASSET MANAGEMENT COMPANY LIMITED | 50% |
| 02800 | TRACKER FUND OF HONG KONG | 50% |
| 02822 | CSOP FTSE CHINA A50 ETF | 50% |
| 02823 | ISHARES ASIA TRUST – ISHARES FTSE/XINHUA A50 CHINA INDEX ETF | 50% |
| 02828 | HANG SENG H-SHARE INDEX EXCHANGE TRADED FUND | 50% |
| 02888 | STANDARD CHARTERED PLC | 50% |
| 02899 | ZIJIN MINING GROUP COMPANY LIMITED 'H' SHARES | 50% |
| 03188 | CHINAAMC ETF SERIES – CHINAAMC CSI 300 INDEX ETF (EXCHANGE-TRADED FUND) | 50% |
| 03311 | CHINA STATE CONSTRUCTION INTERNATIONAL HOLDINGS LIMITED | 50% |
| 03320 | CHINA RES PHARMACEUTICAL GR LTD | 50% |
| 03323 | CHINA NATIONAL BUILDING MATERIAL COMPANY LIMITED 'H' SHARES | 50% |
| 03328 | BANK OF COMMUNICATIONS CO., LTD 'H' SHARES | 50% |
| 03377 | SINO-OCEAN LAND HOLDINGS LIMITED | 50% |
| 03383 | AGILE GROUP HOLDINGS LIMITED | 50% |
| 03606 | FUYAO GLASS INDUSTRY GROUP CO LIMITED | 50% |
| 03618 | CHONGQING RURAL COMMERCIAL BANK COMPANY LIMITED - 'H' SHARES | 50% |
| 03699 | DALIAN WANDA COMMERCIAL PROPERTIES CO LTD | 50% |
| 03799 | DALI FOODS GROUP COMPANY LIMITED | 50% |
| 03898 | HUZHOU CSR TIMES ELECTRIC CO.,LTD. 'H' SHARES | 50% |
| 03908 | CHINA INTERNATIONAL CAPITAL CORPORATION LIMITED - H SHARES | 50% |

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List of Eligible Stocks (continued)

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|---|-----------------------|
| 03968 | CHINA MERCHANTS BANK CO. LTD 'H' SHARES | 50% |
| 03988 | BANK OF CHINA LIMITED 'H' SHARES | 50% |
| 03993 | CHINA MOLYBDENUM CO.,LTD. 'H' SHARES | 50% |
| 06030 | CITIC SECURITIES COMPANY LIMITED 'H' SHARES | 50% |
| 06060 | ZHONGAN ONLINE P & C INSURANCE CO LTD H SHARE | 50% |
| 06818 | CHINA EVERBRIGHT BANK COMPANY LIMITED | 50% |
| 06823 | HKT TRUST AND HKT LIMITED | 50% |
| 06837 | HAITONG SECURITIES COMPANY LIMITED-'H' SHARES | 50% |
| 06881 | CHINA GALAXY SECURITIES CO., LTD 'H' SHARES | 50% |
| 06886 | HUATAI SECURITIES CO LTD | 50% |

| | | |
|-------|---|-----|
| 00081 | CHINA OVERSEAS GRAND OCEANS GROUP | 40% |
| 00087 | SWIRE PACIFIC LIMITED 'B' SHARES | 40% |
| 00123 | YUEXIU PROPERTY COMPANY LIMITED | 40% |
| 00173 | K. WAH INTERNATIONAL HOLDINGS LIMITED | 40% |
| 00178 | SA SA INTERNATIONAL HOLDINGS LIMITED | 40% |
| 00179 | JOHNSON ELECTRIC HOLDINGS LIMITED | 40% |
| 00200 | MELCO INT'L DEV LTD | 40% |
| 00220 | UNI-PRESIDENT CHINA HOLDINGS LTD. | 40% |
| 00272 | SHUI ON LAND LIMITED | 40% |
| 00308 | CHINA TRAVEL INTERNATIONAL INVESTMENT HONG KONG LIMITED | 40% |
| 00341 | CAFE DE CORAL HOLDINGS LIMITED | 40% |
| 00354 | CHINASOFT INTERNATIONAL LIMITED | 40% |
| 00358 | JIANGXI COPPER COMPANY LIMITED 'H' SHARES | 40% |
| 00405 | YUEXIU REAL ESTATE INVESTMENT TRUST | 40% |
| 00410 | SOHO CHINA LIMITED | 40% |
| 00440 | DAH SING FINANCIAL HOLDINGS LIMITED | 40% |
| 00460 | SIHUAN PHARMACEUTICAL HLDGS GP LTD | 40% |
| 00525 | GUANGSHEN RAILWAY COMPANY LIMITED 'H' SHARES | 40% |
| 00546 | FUFENG GROUP LIMITED | 40% |
| 00548 | SHENZHEN EXPRESSWAY COMPANY LIMITED 'H' SHARE | 40% |
| 00581 | CHINA ORIENTAL GROUP COMPANY LIMITED | 40% |
| 00590 | LUK FOOK HOLDINGS (INTERNATIONAL) LIMITED | 40% |
| 00604 | SHENZHEN INVESTMENT LIMITED | 40% |
| 00606 | CHINA AGRI-INDUSTRIES HOLDINGS LIMITED | 40% |
| 00631 | SANY HEAVY EQUIPMENT INTERNATIONAL HOLDINGS COMPANY LIMITED | 40% |
| 00636 | KERRY LOGISTICS NETWORK LIMITED | 40% |
| 00751 | SKYWORTH DIGITAL HOLDINGS LIMITED | 40% |
| 00763 | ZTE CORPORATION | 40% |
| 00816 | HUADIAN FUXIN ENERGY CORPORATION LIMITED | 40% |
| 00855 | CHINA WATER AFFAIRS GROUP LTD | 40% |
| 00867 | CHINA MEDICAL SYSTEM HOLDINGS LIMITED | 40% |
| 00884 | CIFI HOLDINGS (GROUP) COMPANY LIMITED | 40% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 00934 | SINOPEC KANTONS HOLDINGS LIMITED | 40% |
| 00956 | CHINA SUNTIEN GREEN ENERGY CORPORATION LIMITED | 40% |
| 00973 | L'OCCITANE INTERNATIONAL S.A. | 40% |
| 00981 | SEMICONDUCTOR MANUFACTURING INTERNATIONAL CORPORATION | 40% |
| 01052 | YUEXIU TRANSPORT INFRASTRUCTURE LTD | 40% |
| 01071 | HUADIAN POWER INTERNATIONAL CORPORATION LIMITED 'H' SHARES | 40% |
| 01083 | TOWNGAS CHINA COMPANY LIMITED | 40% |
| 01211 | BYD COMPANY LIMITED 'H' SHARES | 40% |
| 01257 | CHINA EVERBRIGHT GREENTECH LIMITED | 40% |
| 01308 | SITC INTERNATIONAL HOLDINGS COMPANY LIMITED | 40% |
| 01317 | CHINA MAPLE LEAF EDUCATION SYSTEMS LIMITED | 40% |
| 01347 | HUA HONG SEMICONDUCTOR LIMITED | 40% |
| 01368 | XTEP INTERNATIONAL HOLDINGS LIMITED | 40% |
| 01381 | CANVEST ENVIRONMENTAL PROTECTION GROUP COMPANY LIMITED | 40% |
| 01382 | PACIFIC TEXTILES HOLDINGS LIMITED | 40% |
| 01508 | CHINA REINSURANCE (GROUP) CORPORATION | 40% |
| 01513 | LIVZON PHARMACEUTICAL GP INC H SHS | 40% |
| 01528 | RED STAR MACALLINE GROUP CORPORATION LIMITED | 40% |
| 01530 | 3SBIO INC | 40% |
| 01618 | METALLURGICAL CORPORATION OF CHINA LTD. 'H' SHARES | 40% |
| 01666 | TONG REN TANG TECHNOLOGIES CO. LIMITED 'H' SHARES | 40% |
| 01728 | CHINA ZHENG TONG AUTO SERVICES HOLDINGS LIMITED | 40% |
| 01788 | GUOTAI JUNAN INTERNATIONAL HOLDINGS LIMITED | 40% |
| 01813 | KWG PROPERTY HOLDING LIMITED | 40% |
| 01882 | HAITIAN INTERNATIONAL HOLDINGS LIMITED | 40% |
| 01883 | CITIC TELECOM INTERNATIONAL HLDGS | 40% |
| 01888 | KINGBOARD LAMINATES HOLDINGS LIMITED | 40% |
| 01919 | COSCO SHIPPING HOLDINGS COMPANY LIMITED - H SHARES | 40% |
| 02005 | SSY GROUP LIMITED | 40% |
| 02128 | CHINA LESSO GROUP HOLDINGS LIMITED | 40% |
| 02186 | LUYE PHARMA GROUP LIMITED | 40% |
| 02282 | MGM CHINA HOLDINGS LIMITED | 40% |
| 02386 | SINOPEC ENGINEERING (GROUP) CO. LTD 'H' SHARES | 40% |
| 02727 | SHANGHAI ELECTRIC GROUP COMPANY LIMITED 'H' SHARES | 40% |
| 02778 | CHAMPION REAL ESTATE INVESTMENT TRUST | 40% |
| 02833 | HANG SENG INDEX EXCHANGE TRADED FUND | 40% |
| 02840 | SPDR GOLD TRUST | 40% |
| 03319 | A-LIVING SERVICES CO LTD-H SHARES | 40% |
| 03333 | CHINA EVERGRANDE GROUP | 40% |
| 03339 | LONKING HOLDINGS LTD | 40% |
| 03380 | LOGAN PROPERTY HLDGS CO LTD | 40% |
| 03396 | LEGEND HOLDINGS CORPORATION | 40% |
| 03808 | SINOTRUK (HONG KONG) LIMITED | 40% |
| 03899 | CIMC ENRIC HOLDINGS LTD | 40% |

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List of Eligible Stocks (continued)

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 03969 | CHINA RAILWAY SIGNAL AND COMMUNICATION CORPORATION LIMITED – H SHARE | 40% |
| 03983 | CHINA BLUECHEMICAL LTD. 'H' SHARES | 40% |
| 06066 | CSC FINANCIAL CO LTD – H SHARES | 40% |
| 06088 | FIT HON TENG LTD | 40% |
| 06099 | CHINA MERCHANTS SEC CO LTD – H SHS | 40% |
| 06169 | CHINA YUHUA EDUCATION CORP LTD | 40% |
| 06808 | SUN ART RETAIL GROUP LIMITED | 40% |
| 06869 | YANGTZE OPTICAL FIBRE AND CABLE J S | 40% |

| | | |
|-------|--|-----|
| 00119 | POLY PROPERTY GROUP COMPANY LIMITED | 30% |
| 00142 | FIRST PACIFIC COMPANY LIMITED | 30% |
| 00168 | TSINGTAO BREWERY CO., LTD 'H' SHARES | 30% |
| 00315 | SMARTONE TELECOMMUNICATIONS HOLDINGS LIMITED | 30% |
| 00336 | HUABAO INTERNATIONAL HOLDINGS LIMITED | 30% |
| 00345 | VITASOY INTERNATIONAL HOLDINGS LIMITED | 30% |
| 00435 | SUNLIGHT REAL ESTATE INV TRUST | 30% |
| 00494 | LI AND FUNG LIMITED | 30% |
| 00520 | XIABUXIABU CATERING MANAGEMENT (CHINA)HOLDINGS CO., LTD | 30% |
| 00579 | BEIJING JINGNENG CLEAN ENERGY COMPANY LIMITED – 'H' SHARES | 30% |
| 00698 | TONGDA GROUP HOLDINGS LIMITED | 30% |
| 00699 | CAR INC | 30% |
| 00709 | GIORDANO INTERNATIONAL LIMITED | 30% |
| 00743 | ASIA CEMENT (CHINA) HOLDINGS CORPORATION | 30% |
| 00799 | IGG INC | 30% |
| 00806 | VALUE PARTNERS GROUP LTD | 30% |
| 00808 | PROSPERITY REAL ESTATE INV TRUST | 30% |
| 00811 | SICHUAN XINHUA WINSHARE CHAINSTORE CO.,LTD. 'H' SHARES | 30% |
| 00819 | TIANNENG POWER INT'L LTD | 30% |
| 00826 | TIANGONG INTERNATIONAL COMPANY LIMITED | 30% |
| 00839 | CHINA EDUCATION GROUP HOLDINGS LTD | 30% |
| 00921 | HISENSE KELON ELEC HLDGS 'H' SHS | 30% |
| 00991 | DATANG INTERNATIONAL POWER GENERATION COMPANY LIMITED 'H' SHARES | 30% |
| 01098 | ROAD KING INFRASTRUCTURE LIMITED | 30% |
| 01112 | HEALTH N HAPPINESS (H N H)INTL HDGS | 30% |
| 01119 | IDREAMSKY TECHNOLOGY HOLDINGS LIMITED | 30% |
| 01157 | ZOOMLION HEAVY IND SCIENCE 'H' SHS | 30% |
| 01212 | LIFESTYLE INT'L HLDGS LTD | 30% |
| 01234 | CHINA LILANG LTD | 30% |
| 01293 | GRAND BAOXIN AUTO GROUP LIMITED | 30% |
| 01310 | HKBN LTD | 30% |
| 01378 | CHINA HONGQIAO GROUP LIMITED | 30% |
| 01448 | FU SHOU YUAN INTERNATIONAL GROUP LIMITED | 30% |
| 01475 | NISSIN FOODS COMPANY LIMITED | 30% |
| 01515 | CHINA RESOURCES MEDICAL HOLDINGS CO LTD | 30% |
| 01558 | YICHANG HEC CHANGJIANG PHAR - H SHS | 30% |
| 01628 | YUZHOU PROPERTIES COMPANY LTD | 30% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 01681 | CONSUN PHARMACEUTICAL GROUP LIMITED | 30% |
| 01818 | ZHAOJIN MINING INDUSTRY COMPANY LIMITED 'H' SHARES | 30% |
| 01913 | PRADA S.P.A | 30% |
| 01918 | SUNAC CHINA HOLDINGS LIMITED | 30% |
| 02006 | SHANGHAI JIN JIANG INTERNATIONAL HOTELS (GROUP) COMPANY LIMITED 'H' SHARES | 30% |
| 02168 | YINGDE GASES GROUP COMPANY LIMITED | 30% |
| 02233 | WEST CHINA CEMENT LTD | 30% |
| 02269 | WUXI BIOLOGICS (CAYMAN) INC. | 30% |
| 02331 | LI NING CO LTD | 30% |
| 02343 | PACIFIC BASIN SHIPPING LIMITED | 30% |
| 02359 | WUXI APPTec CO LTD - H SHARES | 30% |
| 02666 | UNIVERSAL MEDICAL FIN AND TECH | 30% |
| 02669 | CHINA OVERSEAS PROPERTY HLDGS LTD | 30% |
| 02678 | TEXHONG TEXTILE GROUP LTD | 30% |
| 02866 | COSCO SHIPPING DEVELOPMENT COMPANY LIMITED -H SHARES | 30% |
| 02868 | BEIJING CAPITAL LAND LTD 'H' SHARES | 30% |
| 02869 | GREENTOWN SERVICE GROUP COMPANY LIMITED | 30% |
| 02877 | CHINA SHINEWAY PHARMACEUTICAL GP | 30% |
| 03360 | FAR EAST HORIZON LIMITED | 30% |
| 03613 | BEIJING TONG REN TANG CH MED CO LTD | 30% |
| 03690 | MEITUAN DIANPING - W CLASS B SHARES | 30% |
| 03900 | GREENTOWN CHINA HOLDINGS LIMITED | 30% |
| 03933 | THE UNITED LABORATORIES INTERNATIONAL HOLDINGS LIMITED | 30% |
| 03958 | DFZQ – H SHARES | 30% |
| 03998 | BOSIDENG INT'L HLDGS LTD | 30% |

| | | |
|-------|--|-----|
| 00041 | GREAT EAGLE HOLDINGS LIMITED | 20% |
| 00116 | CHOW SANG SANG HLDGS INT'L LTD | 20% |
| 00215 | HUTCHISON TELECOMMUNICATIONS HONG KONG HOLDINGS LIMITED | 20% |
| 00242 | SHUN TAK HOLDINGS LIMITED | 20% |
| 00268 | KINGDEE INTERNATIONAL SOFTWARE GROUP COMPANY LIMITED | 20% |
| 00291 | CHINA RESOURCES BEER (HLDGS) CO LTD | 20% |
| 00327 | PAX GLOBAL TECHNOLOGY LIMITED | 20% |
| 00467 | UNITED ENERGY GROUP LIMITED | 20% |
| 00468 | GREATVIEW ASEPTIC PACKAGING COMPANY LIMITED | 20% |
| 00506 | CHINA FOODS LTD | 20% |
| 00512 | CHINA GRAND PHARMACEUTICAL AND HEALTHCARE HOLDINGS LIMITED | 20% |
| 00588 | BEIJING NORTH STAR COMPANY LIMITED 'H' SHARES | 20% |
| 00780 | TONGCHENG-ELONG HOLDINGS LIMITED | 20% |
| 00877 | O-NET TECHNOLOGIES (GROUP) LIMITED | 20% |
| 00906 | CPMC HOLDINGS LTD | 20% |
| 00945 | MANULIFE FINANCIAL CORPORATION | 20% |
| 00995 | ANHUI EXPRESSWAY COMPANY LIMITED 'H' SHARES | 20% |
| 01070 | TCL MULTIMEDIA TECH HLDGS LTD | 20% |
| 01072 | DONGFANG ELECTRIC CORP LTD 'H' SHS | 20% |
| 01086 | GOODBABY INTERNATIONAL HLDGS LTD | 20% |
| 01128 | WYNN MACAU, LIMITED | 20% |
| 01238 | POWERLONG REAL ESTATE HLDGS LTD | 20% |

(continue on next page)



List of Eligible Stocks (continued)

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|--|-----------------------|
| 01330 | DYNAGREEN ENVIRONMENTAL PROTECTION GROUP CO LTD - H SHARES | 20% |
| 01458 | ZHOU HEI YA INTERNATIONAL HOLDINGS COMPANY LIMITED | 20% |
| 01548 | GENSCRIPT BIOTECH CORPORATION | 20% |
| 01569 | MINSHENG EDUCATION GROUP CO LTD | 20% |
| 01589 | CHINA LOGISTICS PROPERTY HOLDINGS LIMITED | 20% |
| 01600 | CHINA TIAN LUN GAS HOLDINGS LIMITED | 20% |
| 01668 | CHINA SOUTH CITY HOLDINGS LIMITED | 20% |
| 01765 | HOPE EDUCATION GROUP CO LTD | 20% |
| 01772 | GANFENG LITHIUM CO LTD - H SHARES | 20% |
| 01778 | COLOUR LIFE SERVICES GROUP CO LIMITED | 20% |
| 01787 | SHANDONG GOLD MINING CO LTD - H SHARES | 20% |
| 01806 | HUIFU PAYMENT LIMITED | 20% |
| 01812 | SHANDONG CHENMING PAPER 'H' SHS | 20% |
| 01828 | DAH CHONG HONG HOLDINGS LIMITED | 20% |
| 01829 | CHINA MACHINERY ENGINEERING CORPORATION | 20% |
| 01836 | STELLA INT'L HLDGS LTD | 20% |
| 01848 | CHINA AIRCRAFT LEASING GP HLDGS LTD | 20% |

| HKSE Code | Stock name | Credit-to-asset Ratio |
|-----------|---|-----------------------|
| 01963 | BANK OF CHONGQING CO LTD | 20% |
| 01966 | CHINA SCE GROUP HOLDINGS LTD | 20% |
| 01970 | IMAX CHINA HOLDINGS INC | 20% |
| 02001 | CHINA NEW HIGHER EDUCATION GP LTD | 20% |
| 02232 | CRYSTAL INTERNATIONAL GROUP LIMITED | 20% |
| 02280 | HC GROUP INC | 20% |
| 02356 | DAH SING BANKING GROUP LIMITED | 20% |
| 02600 | ALUMINUM CORPORATION OF CHINA LIMITED 'H' SHARES | 20% |
| 03331 | VINDA INTERNATIONAL HLDGS LTD | 20% |
| 03633 | ZHONGYU GAS HOLDINGS LIMITED | 20% |
| 03800 | GCL-POLY ENERGY HOLDINGS LIMITED | 20% |
| 03818 | CHINA DONGXIANG (GROUP) CO., LTD. | 20% |
| 03883 | CHINA AOYUAN GROUP LTD | 20% |
| 03888 | KINGSOFT CORPORATION LIMITED | 20% |
| 06068 | WISDOM EDUCATION INTERNATIONAL HOLDINGS COMPANY LIMITED | 20% |
| 06098 | COUNTRY GARDEN SERVICES HOLDINGS COMPANY LIMITED | 20% |
| 06198 | QINGDAO PORT INTL CO LTD-H SHS | 20% |

Please refer to the detailed list posted on Secured Credit page in HSBC public website for recent changes on Credit-to-asset Ratio.

Notes:

The above information is for reference only. The eligibility and relative percentage of the value of the available assets listed for inclusion in the calculation of the available credit limit shall be as determined by the Bank from time to time. The Bank may at its discretion add or remove the available assets listed from such eligibility, or increase or reduce the eligible percentage of the value thereof without prior notice.

If there is any discrepancy between the English and Chinese versions, the English version shall prevail.

HSBC is the first bank in Hong Kong to receive both ISO 9001:2008 Certification on investment and life insurance sales quality assurance and ISO 10002:2004 Certification on service quality management. We are committed to ensuring sales and service quality for customers, giving customers confidence when purchasing our products.

